



AGENDA

SPECIAL MEETING

CLAYTON CITY COUNCIL

Tuesday, July 30, 2013

7:00 p.m.

*Hoyer Hall, Clayton Community Library
6125 Clayton Road, Clayton, CA 94517*

Mayor: Julie K. Pierce

Vice Mayor: Hank Stratford

Council Members

Jim Diaz

Howard Geller

David T. Shuey

- A complete packet of information containing staff reports and exhibits related to each public item is available for public review in City Hall located at 6000 Heritage Trail on Monday prior to the Council meeting.
- Agendas are posted at: 1) City Hall, 6000 Heritage Trail; 2) Library, 6125 Clayton Road; 3) Ohm's Bulletin Board, 1028 Diablo Street, Clayton; and 4) City Website at www.ci.clayton.ca.us
- Any writings or documents provided to a majority of the City Council after distribution of the Agenda Packet and regarding any public item on this Agenda will be made available for public inspection in the City Clerk's office located at 6000 Heritage Trail during normal business hours.
- If you have a physical impairment that requires special accommodations to participate, please call the City Clerk's office at least 72 hours in advance of the meeting at (925) 673-7304.

*** CITY COUNCIL ***

July 30 2013

7:00 P.M.

1. **CALL TO ORDER THE CITY COUNCIL** – Mayor Pierce.

2. **CLOSED SESSION** - None.

3. **PLEDGE OF ALLEGIANCE** – led by Mayor Pierce.

4. **CONSENT CALENDAR**

Consent Calendar items are typically routine in nature and are considered for approval by the City Council with one single motion. Members of the Council, Audience, or Staff wishing an item removed from the Consent Calendar for purpose of public comment, question or input may request so through the Mayor.

- (a) Approve the minutes of the regular meeting of July 16, 2013. ([View Here](#))
- (b) Approve Financial Demands and Obligations of the City. ([View Here](#))
- (c) Approve the City's response letter to Civil Grand Jury Report No. 1305, "Getting to Clean Water in Contra Costa County - What's The Plan and Where's the Money," and authorize the Mayor to sign the letter. ([View Here](#))
- (d) Approve a Consulting Services Agreement with Raney Planning and Management to prepare the Environmental Analysis of the Silver Oak Estates Residential Development Project; DP-01-10; Map-01-10 & ENV-02-10. ([View Here](#))

5. **RECOGNITIONS AND PRESENTATIONS** – None.

6. **REPORTS**

- (a) Planning Commission – Commissioner Dave Bruzzone.
- (b) Trails and Landscaping Committee – No meeting held.
- (c) City Manager/Staff
- (d) City Council - Reports from Council liaisons to Regional Committees,
Commissions and Boards.
- (e) Other

7. PUBLIC COMMENT ON NON - AGENDA ITEMS

Members of the public may address the City Council on items within the Council's jurisdiction, (which are not on the agenda) at this time. To facilitate the recordation of comments, it is requested each speaker complete a speaker card available on the Lobby table and submit it in advance to the City Clerk. To assure an orderly meeting and an equal opportunity for everyone, each speaker is limited to 3 minutes, enforced at the Mayor's discretion. When one's name is called or you are recognized by the Mayor as wishing to speak, the speaker shall approach the public podium and adhere to the time limit. In accordance with State Law, no action may take place on any item not appearing on the posted agenda. The Council may respond to statements made or questions asked, or may at its discretion request Staff to report back at a future meeting concerning the matter.

Public comment and input on Public Hearing, Action Items and other Agenda Items will be allowed when each item is considered by the City Council.

8. PUBLIC HEARINGS

- (a) Public Hearing on the proposed City of Clayton Budget for Fiscal Year 2013-2014 and its 5-Year Capital Improvement Project Budget (CIP) for Fiscal Years 2013-2018.
(City Manager) ([View Here](#))

Staff recommendations: **1)** Receive the staff report; **2)** Open the Public Hearing and receive public comments; **3)** Close the Public Hearing; and **4)** Provide any final Budget modifications and then by motion adopt the Resolution approving a City Budget for FY 2013-2014 and a 5-Year CIP Budget for FY 2013-2018.

9. ACTION ITEMS - None.

10. COUNCIL ITEMS – limited to requests and directives for future meetings.

11. ADJOURNMENT– the City Council regular meeting of August 6, 2013 was cancelled; therefore, the next regularly scheduled City Council meeting is August 20, 2013.

**MINUTES
OF THE
REGULAR MEETING
CLAYTON CITY COUNCIL**

TUESDAY, July 16, 2013

Agenda Date: 7-30-13

Agenda Item: 4a

1. **CALL TO ORDER & ROLL CALL** – The meeting was called to order at 6:04 p.m. by Mayor Pierce in Hoyer Hall of the Clayton Community Library, 6125 Clayton Road, Clayton, CA. Councilmembers present: Mayor Pierce, Vice Mayor Stratford, Councilmembers Diaz and Geller (left meeting at 8:15 p.m.). Councilmembers absent: Councilmember Shuey. Staff present: City Manager Gary Napper, City Attorney Mala Subramanian, City Engineer Rick Angrisani, Police Chief Chris Thorsen, Finance Manager Merry Pelletier, and City Clerk Laci Jackson
2. **CLOSED SESSION** On call by Mayor Pierce the Council adjourned into Closed Session for the following purpose:
 - (a) Conference with Labor Negotiator
Government Code Section 54957.6
Instructions to City-designated labor negotiator: City Manager.
 1. Employee Organization: Clayton Police Officers Association (CPOA).
 2. Employee Organization: Undesignated City Employees Unit.

7:12 p.m. Report out of Closed Session: Mayor Pierce stated the Council discussed and gave direction to its City Negotiator.
3. **PLEDGE OF ALLEGIANCE** – led by Mayor Pierce.
4. **CONSENT CALENDAR- It was moved by Councilmember Geller, seconded by Vice Mayor Stratford, to approve the Consent Calendar (Passed; 4-0 vote).**
 - (a) Approved the minutes of the regular meeting of July 2, 2013.
 - (b) Approved Financial Demands and Obligations of the City.
 - (c) Adopted Resolution 20-2013 ordering the annual levy of a Special Tax within the High Street Permanent Road Division (Fund 217) for FY 2013-2014.
 - (d) Adopted Resolution 21-2013 ordering the annual levy of a Special Tax within the Oak Street Permanent Road Division (Fund 218) for FY 2013-2014.
 - (e) Adopted Resolution 22-2013 authorizing the levy of annual assessments for the Lydia Lane Sewer Assessment District in FY 2013-2014.
 - (f) Adopted Resolution 23-2013 authorizing the levy of annual assessments for the Oak Street Sewer Assessment District in FY 2013-2014.
 - (g) Adopted Resolution 24-2013 authorizing the levy of annual tax assessments for Community Facility District No. 2007-1 (Landscape Maintenance District; Fund No. 210) in FY 2013-2014.

- (h) Adopted Resolution 25-2013 authorizing the levy of annual tax assessments for Community Facility District No. 2006-1 (Downtown Park O & M; Fund No. 211) in FY 2013-2014.
- (i) Accepted a \$40,000.00 grant from the 511 Contra Costa County "*Street Smarts Infrastructure Program*" for the purchase and installation of two (2) solar-powered traffic signs with flashing beacons and speed readouts along Marsh Creek Road adjacent to the Diablo View Middle School with City agreement to maintain and repair the traffic control devices.

5. RECOGNITIONS AND PRESENTATIONS- None.

6. REPORTS

- (a) Planning Commission- Commissioner Gregg Manning reported on the June 25th meeting of the Planning Commission. The Commission discussed the Mazzei property assessor structure issue and has recommended to the City Council the Medical Marijuana Ordinance.
- (b) Trails and Landscaping Committee – No meeting held.
- (c) The City Manager had no report.
- (d) City Council

Councilmember Diaz participated in the 4th of July Parade and the Concert in The Grove. He attended the Fire Services Ad-hoc Sub-committee meeting and noted the Committee is drafting letters to the Board of Supervisors and the Fire District. He attended a CBCA Rib Cook-off meeting, a Budget Sub-committee meeting, and organized the first Thursday night concert of the year. He noted the next Thursday night concert will be held on August 8th.

Vice Mayor Stratford thanked City staff and Mayor Pierce for their work on the 4th of July Parade. He stated he also met with the Budget Sub-committee and added the "Do the Right Thing" trait of the month for May, June and July is "Courage."

Councilmember Geller participated in the 4th of July Parade, attended Concord's Singing Flag and the Clayton Farmers' Market. He stated the last Concert in The Grove featuring "Livin' in the USA" brought in a huge crowd. He noted the next concert features "Mixed Nuts." He thanked everyone for attending the concerts and for their generous donations.

Mayor Pierce reported the 4th of July Parade had a great turnout. She noted she and the City Manager met with a potential business owner in Clayton and provided encouragement and guidance. She attended a meeting of TRANSPAC, the Mayor's Conference, and several ABAG meetings.

- (e) Other

7. PUBLIC COMMENTS

Ed Clealand, resident, stated the Clayton Community Church is starting a new outreach project called Building Bridges CCC. He stated the program is intended to help residents and is modeled after a similar program by the San Ramon Presbyterian Church. He asked that the City provide information to citizens in need of help cleaning up or rectifying a code enforcement problem that their church is willing to assist with labor and other aid to cure the problem. He stated the programs kick-off is targeted for October 26th.

8. PUBLIC HEARINGS

- (a) Public Hearing to consider the Introduction and First Reading of Ordinance No. 448 amending Title 17 of the *Clayton Municipal Code* establishing Prohibited Uses and Activities, and specifically prohibiting medical marijuana dispensaries and certain cultivation activities (ZOA-02-13).

The Community Development Director presented the staff report. He stated in 2005 the City Council considered Ordinance No. 387 to ban medical marijuana dispensaries. The City Council introduced but did not adopt the Ordinance due to the pending court cases. He stated since that time a number of court cases and the federal government have clarified their enforcement position on medical marijuana. He stated Ordinance No. 448 was considered and recommended by the Planning Commission on June 25th. He stated the purpose of the City Ordinance is to prohibit medical marijuana dispensaries, and to establish local control and regulation. He stated the Ordinance does not prohibit personal medical marijuana use or indoor cultivation and is not intended to impinge on the personal rights of qualified users. He suggested the Council move forward with introduction of the Ordinance.

Mayor Pierce opened the Public Hearing at 7:35 p.m.

Andrew Miller asked if there had been any applications for these types of businesses in Clayton? The Community Development Director responded no. He asked why then is the City doing this? Mayor Pierce responded for preventative purpose and to give the City the authority to deny any land use application of this type.

Mayor Pierce closed the Public Hearing at 7:37 p.m.

It was moved by Vice Mayor Stratford, seconded by Councilmember Diaz, to have the City Clerk read Ordinance No. 448 by title and number only and waive further reading (Passed; 4-0 vote).

The City Clerk read Ordinance No. 448 by title and number only.

It was moved by Vice Mayor Stratford, seconded by Councilmember Diaz, to approve Ordinance No. 448 for Introduction (Passed; 4-0 vote).

- (b) Public Hearing to consider the adoption of a Resolution approving the Diablo Estates at Clayton Benefit Assessment District (BAD) proposed real property assessment and rates for FY 2013-2014.

The City Engineer stated at the June 18th City Council meeting the Engineer's Report was presented which suggested a 2.4% increase to the Benefit Assessment District

rates for FY 2013-2014. He stated the meeting tonight is to hear comments or protests from the affected real property owners. He stated if a majority protest does not exist the Council can approve the Resolution.

Mayor Pierce opened the Public Hearing at 7:40 p.m.

Andrew Miller, resident, stated he felt property owners were in the dark as to how the \$72,000 per year was arrived at. He noted the report stated the fees were based on Toll Brothers' assessments and he stated he would like to propose the numbers be scrutinized again to see if a lower dollar amount could be arrived at. He stated the fund already has a \$19,000 balance which will increase as the years go by and he wants to know if it is earmarked.

The City Engineer stated the numbers were put together by Toll Brothers but last year prior to proposing the assessment the City went out to bid and the numbers came in amazingly tight to what is in the budget. He noted the balance or extra money is for future replacement of large items such as street lights, trees, and storm drainage facilities.

Mayor Pierce stated people who live in a Homeowners Association (HOA) are required to have reserves because it would be difficult for property owners to replace these items on their own. Because the developer did not want an HOA, a Benefit Assessment District was formed to care for these private property benefits.

The City Engineer stated this is not a Homeowners Association and the scope of the work of the district is specific to what is outlined in the Engineers Report. He stated unlike a Homeowners Association private fences are not repaired by this assessment.

Andrew Miller asked if the CPI increase is warranted by actual costs? The City Engineer stated the contract with the property management company has an automatic increase for the CPI and that covers the increase that will happen every year.

Mayor Pierce closed the Public Hearing at 7:50 p.m.

Vice Mayor Stratford asked if the homeowners can give input on the District? The City Engineer responded the District was set up with a very specific scope of work and everything that is being done was a requirement to approve the subdivision.

Vice Mayor Stratford asked how it works if the majority of the property owners protest. The City Engineer stated the rates would stay the same from the previous year but eventually inadequate monies will exist to maintain the improvements.

It was moved by Vice Mayor Stratford, seconded by Councilmember Geller, to determine the lack of majority property owner protests exists and adopt Resolution 26-2013 approving and authorizing the levy of the Diablo Estates at Clayton Benefit Assessment District rates for FY 2013-14 (Passed; 4-0 vote).

- c) Public Hearing on the Engineer's Report and proposed levy of annual assessments on real property for operation and maintenance of street lights in the Street Lighting Assessment District for FY 2013-2014; pursuant to Streets and Highways Code 18070 and CA Government Code 54954.6.

The City Engineer presented the staff report. He stated the assessment levy rate has stayed the same since 1996, and this pays for street lights in residential neighborhoods.

He noted after reviewing the procedures with the new City Attorney it was determined that notices were not required by Proposition 218 because there was no increase to the assessment rates. He stated there is no provision for a majority protest and without this assessment the City would have to fund the cost of the street lights out of the General Fund. Staff recommended the Council adopt the Resolution.

Mayor Pierce opened the Public Hearing at 7:55 p.m., no public comments were offered, and she closed the Public Hearing at 7:56 p.m.

It was moved by Councilmember Geller, seconded by Vice Mayor Stratford, to adopt Resolution 27-2013 setting the annual street lighting real property assessments for FY 2013-2014 (Passed; 4-0 vote).

9. ACTION ITEMS

- (a) Introduction of the proposed City of Clayton Budgets for Fiscal Year 2013–2014 and the Capital Improvement Program (CIP) for Fiscal Years 2013-2018.

The City Manager stated this Budget is being presented late due to internal organizational issues. He noted there is a change on the first page of the budget message in the second line noting the 2013-14 "Other Funds" should read \$3,444,246. This revision resets the City's overall budget from a 6.2% annual increase to a 28% decrease.

He stated the Total budget for this year is \$8,512,696. He stated the City lost money in the Successor Agency and the CIP budget (due to a large streets rehab project in FY 2012-13). He stated staff and the Council Budget Sub-committee are recommending a status quo Budget.

He explained most of the City's revenue to the General Fund comes from local property tax money. Councilmember Geller asked how much the City receives in Sales Tax? He responded 1% of the total sales tax rate or approximately \$250,000 per year.

He stated the Expenditures side of the budget almost mirrors the revenues. He noted the Police Department makes up 48% of the expenditures for the General Fund. City Manager stated in FY 2012-13 the City received \$213,000 in unexpected revenue from the demise of redevelopment agencies, and also received a \$50,000 one-time rebate from excessive Property Tax Administration Fees assessed by the County.

He stated expenses are also down for employees as new employees were brought in for less compensation and are subject to the new CalPERS benefit plans, creating a savings for the City. He stated Franchise Fees make up 13% of the budget, and interest revenue was down.

He stated for the FY 2012-13 the approved Budget projected a General Fund deficit of year \$199,725 and at this time the estimated expected deficit is only expected to be \$32,532. He stated for FY 2013-14 there is an expected conditional surplus of \$24,320 but that is based on a status quo of continuing the labor concessions which includes no merit increases, 11 days of furloughs, no holiday premium time for public safety, and a loss in wages of 9.8% due to no CPI adjustments. He noted there have been no reductions in community services other than the few days when City Hall is closed for the unpaid furloughs.

He stated the Gas Tax Fund will have only \$45,822 because the City used this year's money to recently complete a \$1.4 million street project which will move the overall street condition from 79 to the mid-80's of "Very Good". He stated the money will be set aside for a 2014 street project which will include \$6,000 for installation of handicap ramps.

He stated Landscape Maintenance District collects almost a million dollars each year and this District is where Maintenance personnel spend most of their time. He noted the District recently completed several large projects and the reserve fund has been brought down to \$240,000. He stated the District will continue its open space fire prevention, Keller Ridge drive landscaping, replace the irrigation control system, and re-landscape the Jeffrey Ranch median.

He stated the Capital Equipment Replacement Fund (CERF) replaced two police vehicles last year, a riding mower, and purchased a trailer to carry the mower. The Maintenance Department does need a new tractor but it is not a recommended purchase this year at approximately \$50,000. He noted the General Fund has not been replenishing this fund annually at \$98,000 because of the tight budget situation.

He stated the Capital Improvement (CIP) Budget has no new projects for FY 2013-14 but many projects were completed last fiscal year. He stated those projects include: a \$1.27 street project; acquisition of a 1.66 acres of piece of land in the downtown area \$1,040,843; public parking lots resurfacing; and trail system repaving. He noted the City will receive a safety grant of \$40,000 to slow down and calm traffic by Diablo View Middle School.

He noted in regards to the Development Impact Funds, the fees are collected when development occurs in the City and are restricted for specific purposes.

He commented the City's CalPERS Unfunded Liability is now included in annual budget discussions since last year. The City has a \$1.2 million unfunded liability in part because of changing retirement system plans and also due to a 2001 CalPERS unilateral decision to put small employers in a pool for risk sharing. These actions created an unfunded liability for the City. Recently, the City Council reviewed a report on the paying off of the unfunded liability in March and learned it was best to do nothing but continue to pay the liability off over time as it has been. He stated within the rate structure for the employer the liability is retired by approximately \$100,000 per year. He also noted there are now three pension plans for employees and each of those plans cost less. He stated through attrition the City's annual pension expenses have gone down \$106,989. Lastly, he requested the Council to call a special meeting for July 30th for the Public Hearing and adoption of the Budget.

Vice Mayor Stratford thanked staff for their work on the Budget. Mayor Pierce thanked staff for keeping expenses down.

Mayor Pierce called for public comments on the City Budget. No comments were offered.

It was moved by Vice Mayor Stratford, seconded by Councilmember Diaz, to call and set a Special Meeting of the City Council for Tuesday, July 30th at 7:00 p.m. in Hoyer Hall of the Clayton Community Library and instruct the City Manager to prepare a Public Hearing on said date, time and location for adoption of the FY 2013-14 City Budgets. (Passed; 3-0 vote).

10. **COUNCIL ITEMS** – None.

11. **ADJOURNMENT**– on call by Mayor Pierce the meeting adjourned at 8:40 p.m.

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Respectfully submitted,

Laci J. Jackson, City Clerk

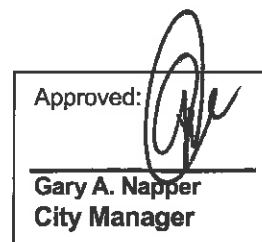
APPROVED BY CLAYTON CITY COUNCIL

Julie K. Pierce, Mayor



Agenda Date 7/30/2013

Agenda Item: 4b



STAFF REPORT

TO: HONORABLE MAYOR AND COUNCILMEMBERS

FROM: MERRY PELLETIER, FINANCE MANAGER

DATE: 7/30/2013

SUBJECT: INVOICE SUMMARY

RECOMMENDATION:

Approve the following Invoices:

7/24/2013 Cash Requirements Report	\$293,417.74
7/24/2013 Advance Check - Clayton Library Foundation	
Withdrawal of Funds	\$ 23,000.00
7/24/2013 Advance Check - US Bank	
Annual Fees	\$ 4,825.00

Total: \$321,242.74

Attachments:

Cash Requirements Report dated 7/24/2013 (4 pages)

Advance Check Copies (9 pages)

City of Clayton

Cash Requirements Report

Vendor Name	Due Date	Invoice Date	Invoice Number	Invoice Description	Invoice Balance	Potential Discount	Discount Expires On	Net Amount Due
All-Guard Systems, Inc.								
All-Guard Systems, Inc.	7/30/2013	8/1/2013	25835	AF&DTS Annual Fire w/ Daily Test EH 8/1	\$576.00	\$0.00		\$576.00
				Totals for All-Guard Systems, Inc.:	\$576.00	\$0.00		\$576.00
American Fidelity Assurance Company								
American Fidelity Assurance Company	7/30/2013	7/17/2013	B021016	August 2013 billing	\$156.64	\$0.00		\$156.64
				Totals for American Fidelity Assurance Company:	\$156.64	\$0.00		\$156.64
Bay Area Barricade Serv.								
Bay Area Barricade Serv.	7/30/2013	6/25/2013	0294069-IN	T-shirts	\$311.50	\$0.00		\$311.50
Bay Area Barricade Serv.	7/30/2013	7/23/2013	0296348-IN	supplies	\$830.97	\$0.00		\$830.97
				Totals for Bay Area Barricade Serv.:	\$1,142.47	\$0.00		\$1,142.47
Bay Area News Group East Bay (CCT)								
Bay Area News Group East Bay (CCT)	7/30/2013	6/30/2013	650638	Planning advertising	\$282.24	\$0.00		\$282.24
				Totals for Bay Area News Group East Bay (CCT):	\$282.24	\$0.00		\$282.24
Best Best & Kreiger LLP								
Best Best & Kreiger LLP	7/30/2013	7/9/2013	705907	services through 6/30/13	\$1,265.00	\$0.00		\$1,265.00
				Totals for Best Best & Kreiger LLP:	\$1,265.00	\$0.00		\$1,265.00
CalPERS Health								
CalPERS Health	7/30/2013	7/16/2013	1041	Health Premium August 2013	\$30,707.62	\$0.00		\$30,707.62
				Totals for CalPERS Health:	\$30,707.62	\$0.00		\$30,707.62
CalPERS Retirement								
CalPERS Retirement	7/30/2013	7/22/2013	07/21/2013	Retirement PPE 7/21/13	\$21,477.67	\$0.00		\$21,477.67
CalPERS Retirement	7/30/2013	7/24/2013	7/24/13	City Council ending 7/24/13	\$369.48	\$0.00		\$369.48
				Totals for CalPERS Retirement:	\$21,847.15	\$0.00		\$21,847.15
Caltronics Business Systems, Inc								
Caltronics Business Systems, Inc	7/30/2013	7/16/2013	1344529	Contract 6/15/13-6/30/13	\$157.75	\$0.00		\$157.75
Caltronics Business Systems, Inc	7/30/2013	7/16/2013	1344529	Contract 7/1/13-7/15/13	\$157.75	\$0.00		\$157.75
				Totals for Caltronics Business Systems, Inc:	\$315.50	\$0.00		\$315.50
CCC Auditor-Controller (LAFCO)								
CCC Auditor-Controller (LAFCO)	7/30/2013	6/17/2013	1314-222	LAFCO Net Cost Appt. for FY 13/14	\$1,184.69	\$0.00		\$1,184.69
				Totals for CCC Auditor-Controller (LAFCO):	\$1,184.69	\$0.00		\$1,184.69
City National Bank								
City National Bank	7/30/2013	7/15/2013	Loan 99-131 9/2/13	Loan Payment 99-131	\$122,236.50	\$0.00		\$122,236.50
				Totals for City National Bank:	\$122,236.50	\$0.00		\$122,236.50
City of Pleasant Hill								
City of Pleasant Hill	7/30/2013	7/17/2013	9000006461	13-14 Transpac Revenue Contrib	\$22,197.00	\$0.00		\$22,197.00
				Totals for City of Pleasant Hill:	\$22,197.00	\$0.00		\$22,197.00

City of Clayton Cash Requirements Report

Vendor Name	Due Date	Invoice Date	Invoice Number	Invoice Description	Invoice Balance	Potential Discount	Discount Expires On	Net Amount Due
Clayton Valley/Concord Sunrise								
Clayton Valley/Concord Sunrise	7/30/2013	7/22/2013	026040	deposit refund 7/3 & 7/4/13	\$395.00	\$0.00		\$395.00
				<i>Totals for Clayton Valley/Concord Sunrise:</i>	<u>\$395.00</u>	<u>\$0.00</u>		<u>\$395.00</u>
Comcast								
Comcast	7/30/2013	7/5/2013	8/1/13	High Speed Internet Service 7/10-8/9	\$395.70	\$0.00		\$395.70
				<i>Totals for Comcast:</i>	<u>\$395.70</u>	<u>\$0.00</u>		<u>\$395.70</u>
CR Fireline Inc								
CR Fireline Inc	7/30/2013	7/18/2013	96651	WO 95267	\$52.13	\$0.00		\$52.13
				<i>Totals for CR Fireline Inc:</i>	<u>\$52.13</u>	<u>\$0.00</u>		<u>\$52.13</u>
Hammons Supply Company								
Hammons Supply Company	7/30/2013	7/11/2013	78717	supplies CCP	\$394.73	\$0.00		\$394.73
Hammons Supply Company	7/30/2013	7/11/2013	78718	supplies City Hall	\$32.72	\$0.00		\$32.72
Hammons Supply Company	7/30/2013	7/11/2013	78713	supplies Library	\$311.43	\$0.00		\$311.43
Hammons Supply Company	7/30/2013	7/11/2013	78716	supplies Grove Park	\$303.72	\$0.00		\$303.72
				<i>Totals for Hammons Supply Company:</i>	<u>\$1,042.60</u>	<u>\$0.00</u>		<u>\$1,042.60</u>
Hawkins Pools								
Hawkins Pools	7/30/2013	7/15/2013	0066	Deposit refund	\$1,000.00	\$0.00		\$1,000.00
Hawkins Pools	7/30/2013	7/15/2013	0066	deposit refund	\$904.54	\$0.00		\$904.54
				<i>Totals for Hawkins Pools:</i>	<u>\$1,904.54</u>	<u>\$0.00</u>		<u>\$1,904.54</u>
Health Care Dental Trust								
Health Care Dental Trust	7/30/2013	7/17/2013	162388	Dental August 2013	\$3,068.80	\$0.00		\$3,068.80
				<i>Totals for Health Care Dental Trust:</i>	<u>\$3,068.80</u>	<u>\$0.00</u>		<u>\$3,068.80</u>
ICMA Retirement Corporation								
ICMA Retirement Corporation	7/30/2013	7/8/2013	13018	Annual Plan Fee (Qtrly Bill) 7/1/13-9/30/13	\$125.00	\$0.00		\$125.00
				<i>Totals for ICMA Retirement Corporation:</i>	<u>\$125.00</u>	<u>\$0.00</u>		<u>\$125.00</u>
J & R Floor Services								
J & R Floor Services	7/30/2013	8/1/2013	Seven	July Billing	\$4,940.00	\$0.00		\$4,940.00
				<i>Totals for J & R Floor Services:</i>	<u>\$4,940.00</u>	<u>\$0.00</u>		<u>\$4,940.00</u>
John Deere Landscapes Inc								
John Deere Landscapes Inc	7/30/2013	6/19/2013	65122715	Rainmaster Oasis Software Pac	\$7,595.00	\$0.00		\$7,595.00
				<i>Totals for John Deere Landscapes Inc:</i>	<u>\$7,595.00</u>	<u>\$0.00</u>		<u>\$7,595.00</u>
Ken Mitolo								
Ken Mitolo	7/30/2013	7/15/2013	0079	deposit refund	\$500.00	\$0.00		\$500.00
				<i>Totals for Ken Mitolo:</i>	<u>\$500.00</u>	<u>\$0.00</u>		<u>\$500.00</u>
LarryLogic Productions								
LarryLogic Productions	7/30/2013	7/17/2013	1346	City Council Meeting 7/16/13	\$375.00	\$0.00		\$375.00

City of Clayton Cash Requirements Report

Vendor Name	Due Date	Invoice Date	Invoice Number	Invoice Description	Invoice Balance	Potential Discount Expires On	Net Amount Due
Marken Mechanical Services Inc							
Marken Mechanical Services Inc	7/30/2013	7/10/2013	413-1152-6	Totals for LarryLogic Productions:	\$375.00	\$0.00	\$375.00
Marken Mechanical Services Inc	7/30/2013	7/10/2013	113-17365	Maintenance Library June 2013	\$150.00	\$0.00	\$150.00
Marken Mechanical Services Inc	7/30/2013	7/10/2013	413-1151-7	Service Call Library 7/3/13	\$485.00	\$0.00	\$485.00
Marken Mechanical Services Inc	7/30/2013	7/10/2013	413-1152-7	maintenance City Hall July 2013	\$150.00	\$0.00	\$150.00
Marken Mechanical Services Inc	7/30/2013	7/10/2013	413-1151-6	maintenance Library July 2013	\$150.00	\$0.00	\$150.00
				maintenance City Hall June 2013	\$150.00	\$0.00	\$150.00
				Totals for Marken Mechanical Services Inc:	\$1,085.00	\$0.00	\$1,085.00
Municipal Pooling Authority							
Municipal Pooling Authority	7/30/2013	7/17/2013	ER-1303	ERMA Premium 2013-2014	\$7,741.00	\$0.00	\$7,741.00
Municipal Pooling Authority	7/30/2013	7/19/2013	E1303	Vehicle Damage Policy Premium 2013-14	\$1,110.00	\$0.00	\$1,110.00
Municipal Pooling Authority	7/30/2013	7/22/2013	P1303	Property Coverage Premium 2013-14	\$10,207.00	\$0.00	\$10,207.00
Municipal Pooling Authority	7/30/2013	7/24/2013	August	Life LTD for August 2013	\$1,402.55	\$0.00	\$1,402.55
				Totals for Municipal Pooling Authority:	\$20,460.55	\$0.00	\$20,460.55
NORCAL printing, inc.							
NORCAL printing, inc.	7/30/2013	7/15/2013	29195	"Kid's Guide to Backyard Bugs" Booklets x 3	\$491.98	\$0.00	\$491.98
				Totals for NORCAL printing, inc.:	\$491.98	\$0.00	\$491.98
PG&E							
PG&E	7/30/2013	6/18/2013	Through 6/21/13	Service 5/23/13-6/21/13	\$466.34	\$0.00	\$466.34
PG&E	7/30/2013	7/17/2013	7293447797-6	Service thru 6/21/13	\$19,018.51	\$0.00	\$19,018.51
PG&E	7/30/2013	7/18/2013	11996637689	Service 5/23/13-7/18/13	\$553.95	\$0.00	\$553.95
				Totals for PG&E:	\$20,038.80	\$0.00	\$20,038.80
PMT Pest Control Service							
PMT Pest Control Service	7/30/2013	7/14/2013	476	Gopher Control Services 3/13-6-13	\$5,080.00	\$0.00	\$5,080.00
PMT Pest Control Service	7/30/2013	7/14/2013	477	Ground Squirrel Services June 2013	\$1,450.00	\$0.00	\$1,450.00
				Totals for PMT Pest Control Service:	\$6,530.00	\$0.00	\$6,530.00
Robert H Winn							
Robert H Winn	7/30/2013	7/19/2013	7/18/13	Hoyer Hall deposit refund	\$200.00	\$0.00	\$200.00
				Totals for Robert H Winn:	\$200.00	\$0.00	\$200.00
Sheri Wilson							
Sheri Wilson	7/30/2013	7/19/2013	25941-6-29.13	Deposit Refund	\$100.00	\$0.00	\$100.00
				Totals for Sheri Wilson:	\$100.00	\$0.00	\$100.00
Underground Service Alert							
Underground Service Alert	7/30/2013	7/20/2013	13070168	Annual Membership 13/14	\$172.80	\$0.00	\$172.80
				Totals for Underground Service Alert:	\$172.80	\$0.00	\$172.80
United Site Services Inc							
United Site Services Inc	7/30/2013	7/5/2013	114-1376694	Sanitary Services July 4th 2013	\$427.52	\$0.00	\$427.52
				Totals for United Site Services Inc:	\$427.52	\$0.00	\$427.52

City of Clayton

Cash Requirements Report

Vendor Name	Due Date	Invoice Date	Invoice Number	Invoice Description	Invoice Balance	Potential Discount	Discount Expires On	Net Amount Due
US Bank Corp Pymt System (Cal Card)								
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	Admin 6/24	Supplies	\$112.77	\$0.00		\$112.77
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	Admin 6/24	Geoconsultants - Well Monitoring	\$4,639.50	\$0.00		\$4,639.50
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	Admin 6/24	Oriental Trading - 4th of July supplies	\$56.49	\$0.00		\$56.49
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	Admin 6/24	Central Storage - Rent	\$88.00	\$0.00		\$88.00
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PW 6/24	master locks, office supplies	\$218.49	\$0.00		\$218.49
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PW 6/24	Library Lights	\$34.66	\$0.00		\$34.66
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PW 6/24	CCP Restroom Plumbing Repair - Roto Root	\$2,704.30	\$0.00		\$2,704.30
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PW 6/24	Vehicle Fuel	\$1,143.97	\$0.00		\$1,143.97
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PW 6/24	Streetlight supplies	\$56.83	\$0.00		\$56.83
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PW 6/24	Grove supplies	\$54.42	\$0.00		\$54.42
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PW 6/24	Landscape supplies	\$912.55	\$0.00		\$912.55
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PW 6/24	Repair F350 & Battery	\$2,393.01	\$0.00		\$2,393.01
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PW 6/24	Landscape Fuel	\$1,485.21	\$0.00		\$1,485.21
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PW 6/24	CCP Path Repair	\$233.64	\$0.00		\$233.64
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PW 6/24	plywood for desktop	\$115.51	\$0.00		\$115.51
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PD 6/24	memory cards for camera in car 1731	\$108.00	\$0.00		\$108.00
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PD 6/24	office supplies	\$153.87	\$0.00		\$153.87
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PD 6/24	Postage	\$46.15	\$0.00		\$46.15
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PD 6/24	Vehicle Gas	\$3,542.13	\$0.00		\$3,542.13
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PD 6/24	car washes and chain for bicycle	\$70.15	\$0.00		\$70.15
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PD 6/24	California Police Chief's Dues	\$300.00	\$0.00		\$300.00
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PD 6/24	radar trailer parts	\$15.00	\$0.00		\$15.00
US Bank Corp Pymt System (Cal Card)	7/30/2013	6/24/2013	PD 6/24	training class and lunches	\$458.86	\$0.00		\$458.86
Totals for US Bank Corp Pymt System (Cal Card):					\$18,943.51	\$0.00		\$18,943.51
Valley Clinical & Consulting Services								
Valley Clinical & Consulting Services	7/30/2013	7/11/2013	2881	Fitness for Duty Evaluation	\$1,175.00	\$0.00		\$1,175.00
Totals for Valley Clinical & Consulting Services:					\$1,175.00	\$0.00		\$1,175.00
Waraner Brothers Tree Service								
Waraner Brothers Tree Service	7/30/2013	6/17/2013	10470	Tree Work Peacock Creek 5/14/13	\$1,488.00	\$0.00		\$1,488.00
Totals for Waraner Brothers Tree Service:					\$1,488.00	\$0.00		\$1,488.00
GRAND TOTALS:					\$293,417.74	\$0.00		\$293,417.74

City Of Clayton

 Requisition

____ Purchase Order #____

X Check Request

Vendor: Clayton Library Foundation Address: P.O. Box 182 Clayton, CA 94517 Attention: Dave Atkinson	Ordered By: Merry Pelletier Date: 7/15/13
---	--

[illegible]

Comments:					
	Total			\$23,000.00	

Department Approval: <i>Mary Puleo</i>	Date: 7/1/13
City Manager Approval:	Date:

Finance Use Only:

Vendor Number:			
Invoice Number(s):	Amt. \$		

#29584

Merry Pelletier

From: Merry Pelletier <mpelletier@ci.clayton.ca.us>
Sent: Monday, July 15, 2013 6:01 PM
To: 'David Atkinson'
Subject: RE: \$ to County

I will attach this email as documentation of the request. Enjoy your trip ☺

Merry

From: David Atkinson [<mailto:deatkinson@sbcglobal.net>]
Sent: Monday, July 15, 2013 11:35 AM
To: mpelletier@ci.clayton.ca.us
Cc: jgiantvalley@ci.clayton.ca.us
Subject: RE: \$ to County

Thanks, I'll pick it up next Monday ☺. Do you need a letter, or will the cancelled check do?

Dave

From: Merry Pelletier [<mailto:mpelletier@ci.clayton.ca.us>]
Sent: Monday, July 15, 2013 11:40 AM
To: 'David Atkinson'
Cc: jgiantvalley@ci.clayton.ca.us
Subject: RE: \$ to County

Ok Dave. I will have Jennifer prepare a check for \$23,000 for the Library Foundation.

Merry

From: David Atkinson [<mailto:deatkinson@sbcglobal.net>]
Sent: Monday, July 15, 2013 11:19 AM
To: mpelletier@ci.clayton.ca.us
Subject: RE: \$ to County

Hi Merry,

Please get a check for \$23,000 if possible. That will give me enough to handle furniture, I think, plus \$21k +/- to the county for books. I cannot pick it up until next week, say Monday since both Joyce and I will be out of town.

Dave Atkinson
Treasurer, Clayton Community Library Foundation.

From: Merry Pelletier [<mailto:mpelletier@ci.clayton.ca.us>]
Sent: Monday, July 15, 2013 10:40 AM
To: 'David Atkinson'
Subject: RE: \$ to County

Dave,

I will put in a request for a check this week and can expedite it since we have a City Council meeting tomorrow night. Let me know how much you need it for A.S.A.P.

Merry

From: David Atkinson [<mailto:deatkinson@sbcglobal.net>]

Sent: Saturday, July 13, 2013 11:22 AM

To: 'Karen Hansen-Smith'

Cc: Merry Pelletier

Subject: \$ to County

Hi Karen

Shall I transfer the entire \$21,000 budgeted, or just half of it? Either way, I will need to request a check for \$15000 or \$20,000 from the City (with whom we have a savings account, which probably will mean I cannot write a check until Joyce and I return from Michigan on 7/21 (Sunday). I cannot write a check for half or the entire amount without a check from the City, and they take time, unfortunately.

Dave

Merry Pelletier

From: David Atkinson <deatkinson@sbcglobal.net>
Sent: Saturday, July 13, 2013 11:22 AM
To: 'Karen Hansen-Smith'
Cc: Merry Pelletier
Subject: \$ to County

Hi Karen

Shall I transfer the entire \$21,000 budgeted, or just half of it? Either way, I will need to request a check for \$15000 or \$20,000 from the City (with whom we have a savings account, which probably will mean I cannot write a check until Joyce and I return from Michigan on 7/21 (Sunday). I cannot write a check for half or the entire amount without a check from the City, and they take time, unfortunately.

Dave

Item # F935 To reorder, please call Blackboard Forms at our toll free number 800-421-8570.



6000 HERITAGE TRAIL, CLAYTON, CALIFORNIA 94517

BANK OF AMERICA, NA
11-35/1210

029607

CHECK DATE	CHECK NO.
------------	-----------

7/17/2013 29607

CHECK AMOUNT

\$ 4,825.00**

PAY

TO THE
ORDER
OF

US Bank
CM-9690
PO Box 70870
St Paul, MN 55170 9690

VOID AFTER 6 MONTHS

AUTHORIZED SIGNATURE

Security Features Included

[illegible]



Corporate Trust Services
EP-MN-WN3L
60 Livingston Ave.
St. Paul, MN 55107

Invoice Number:
Account Number:
Invoice Date:
Direct Inquiries To:
Phone:

3427141
95465560
06/25/2013
MYRNA P CHOROSKI
415-677-3599

CITY OF CLAYTON
6000 HERITAGE TRAIL
CLAYTON CA 94517

CITY OF CLAYTON
REDEVELOPMENT PROJECT AREA TAB SER 1999

The following is a statement of transactions pertaining to your account. For further information, please review the attached.

STATEMENT SUMMARY

PLEASE REMIT BOTTOM COUPON PORTION OF THIS PAGE WITH CHECK PAYMENT OF INVOICE.

TOTAL AMOUNT DUE

\$2,625.00

All invoices are due upon receipt.



Merry Kuleta 7/1/13
AUTHORIZED BY DATE
1989 615-7613-02
VENDOR # ACCOUNT #
\$ 2,625-
AMOUNT CITY MANAGER APPROVAL

RSA 1999
Paying Agent Fees
on Raps 13-14A

07-10-13

Please detach at perforation and return bottom portion of the statement with your check, payable to U.S. Bank.



Corporate Trust Services
EP-MN-WN3L
60 Livingston Ave.
St Paul, MN 55107

CLAYTON FINANCING AUTHORITY
CITY OF CLAYTON
C/O FINANCE DEPT
6000 HERITAGE TRL
CLAYTON, CA 94517

CLAYTON FINANCING AUTHORITY
2007 SPECIAL TAX REVENUE REFUNDING BDS

Invoice Number: 3429569
Account Number: 113041000
Invoice Date: 06/25/2013
Direct Inquiries To: MYRNA P CHOROSKI
Phone: 415-677-3599

The following is a statement of transactions pertaining to your account. For further information, please review the attached.

STATEMENT SUMMARY

PLEASE REMIT BOTTOM COUPON PORTION OF THIS PAGE WITH CHECK PAYMENT OF INVOICE.

TOTAL AMOUNT DUE

\$2,200.00

All invoices are due upon receipt.



ENTERED

Merry Puletic 7/1/13
AUTHORIZED BY DATE
1989 422-7613-00
VENDOR # ACCOUNT #
19205
AMOUNT CITY MANAGER APPROVAL
iFA 2007 Fiscal Agent Plus
13-14
07-10-13

Please detach at perforation and return bottom portion of the statement with your check payable to U.S. Bank



Corporate Trust Services
EP-MN-WN3L
60 Livingston Ave.
St. Paul, MN 55107

Invoice Number: 3429569
Invoice Date: 06/25/2013
Account Number: 113041000
Direct Inquiries To: MYRNA P CHOROSKI
Phone: 415-677-3599

**CLAYTON FINANCING AUTHORITY
2007 SPECIAL TAX REVENUE REFUNDING BDS**

Accounts Included 113041000 113041001 113041002 113041003 113041004 113041006
In This Relationship: 113041007

CURRENT CHARGES SUMMARIZED FOR ENTIRE RELATIONSHIP

Detail of Current Charges	Volume	Rate	Portion of Year	Total Fees
04200 Trustee	1.00	2,000.00	100.00%	\$2,000.00
Subtotal Administration Fees - In Advance 06/01/2013 - 05/31/2014				\$2,000.00
Incidental Expenses 06/01/2013 to 05/31/2014	2,000.00	0.10		\$200.00
Subtotal Incidental Expenses				\$200.00
TOTAL AMOUNT DUE				\$2,200.00

The fees shown on this invoice are reflective of the most recent fee schedule or notice of fee adjustment provided by U.S. Bank.



Corporate Trust Services
EP-MN-WN3L
60 Livingston Ave.
St. Paul, MN 55107

Invoice Number: 3427141
Invoice Date: 06/25/2013
Account Number: 95465560
Direct Inquiries To: MYRNA P CHOROSKI
Phone: 415-677-3599

**CITY OF CLAYTON
REDEVELOPMENT PROJECT AREA TAB SER 1999**

Accounts Included 95465560 95465561 95465562 95465563

In This Relationship:

CURRENT CHARGES SUMMARIZED FOR ENTIRE RELATIONSHIP

Detail of Current Charges	Volume	Rate	Portion of Year	Total Fees
04090 Fiscal Agent	1.00	2,500.00	100.00%	\$2,500.00
Subtotal Administration Fees - In Advance 06/01/2013 - 05/31/2014				\$2,500.00
Incidental Expenses 06/01/2013 to 05/31/2014	2,500.00	0.05		\$125.00
Subtotal Incidental Expenses				\$125.00
TOTAL AMOUNT DUE				\$2,625.00

The fees shown on this invoice are reflective of the most recent fee schedule or notice of fee adjustment provided by U.S. Bank.



Agenda Date: 7-30-13

Agenda Item: 4c

Approved:

Gary A. Napper
City Manager

AGENDA REPORT

TO: HONORABLE MAYOR AND COUNCILMEMBERS

FROM: CITY MANAGER

DATE: 30 JULY 2013

SUBJECT: CITY RESPONSE TO CIVIL GRAND JURY REPORT NO. 1305

RECOMMENDATION

It is recommended the City Council review the prepared City response letter regarding Civil Grand Jury Report No. 1305, "Getting to Clean Water in Contra Costa County – What's The Plan and Where's the Money?"; and then subject to any Council modifications to the proposed response, by minute motion approve the letter as the City's official response and authorize Mayor Pierce to sign.

BACKGROUND

A Civil Grand Jury is commissioned annually in Contra Costa County to investigate city and county governments, special districts and certain non-profit corporations to ensure functions are performed in a lawful, economical and efficient manner. Pursuant to *California Government Code* Section 933.5(a), whenever a civil grand jury issues a report that involves matters within a particular municipality's jurisdiction or area of responsibility, the respective city is required to respond in writing and in accord with a specific response format.

On 30 May 2013, the FY 2012-13 Contra Costa Civil Grand Jury released a Report directed to cities and selected other public agencies and entities within Contra Costa County. Report No. 1305 considered the Contra Costa Clean Water Program (CCWP), of which our City is a member and active participant, the failed 2012 Community Clean Water Initiative [ballot measure], and other matters related to the municipal National Pollutant Discharge Elimination System (NPDES) permit and programs.

Civil Grand Jury Report No. 1305 concluded with eleven (11) Findings and six (6) Recommendations requiring structured responses by each of the County's nineteen cities and listed respondents. Attached is staff's recommended draft letter for the City Council to consider and approve constituting our City's response to Civil Grand Jury Report No. 1305. Input was received from the CCWP to assist in preparation of our City's reply. The City's response is due by 29 August 2013.

FISCAL IMPACT

None directly. However, there are certainly indirect staff costs and direct time incurred in responding to Civil Grand Jury Reports, Findings and Recommendations.

Attachments: 1. Proposed City Response Letter [6 pp.]
 2. Civil Grand Jury Report No. 1305 and Cover Letter [12 pp.]



COMMUNITY
DEVELOPMENT (925) 673-7340
ENGINEERING (925) 363-7433

6000 HERITAGE TRAIL • CLAYTON, CALIFORNIA 94517-1250
TELEPHONE (925) 673-7300 FAX (925) 672-4917

City Council
JULIE K. PIERCE, MAYOR
HANK STRATFORD, VICE MAYOR
JIM DIAZ
HOWARD GELLER
DAVID T. SHUEY

July 31, 2013

Contra Costa Civil Grand Jury, 2012-2013
Attn: Marc Hamaji, Foreperson
725 Court Street
P O Box 431
Martinez, CA 94553-0091

DRAFT

**Re: Contra Costa County Civil Grand Jury Report No. 1305, FY 2012-13
"Getting to Clean Water in Contra Costa County"**

Dear Mr. Hamaji:

In behalf of the Clayton City Council, this letter responds to the Contra Costa Civil Grand Jury's Report No. 1305 regarding its impressions of the Contra Costa Clean Water Program, the 2012 Community Clean Water Initiative, and matters related to the municipal National Pollutant Discharge Elimination System (NPDES) permit program. The Clayton City Council met in a noticed special public meeting on July 30, 2013 to consider Report No. 1305 and therein authorized this written response.

Pursuant to California Government Code section 933.5(a), the City of Clayton does hereby respond to the Civil Grand Jury's required Findings (Nos. 1 thru 11) and Recommendations No. 1 through 6, as contained within Report No. 1305:

FINDINGS

Finding # 1: *In the most recent Annual Reports, Permittees reported compliance with their permits; however, Contra Costa County recently received a "Notice of Violation" with regard to its stormwater program.*

The City agrees with this finding.

Finding # 2: *Many Permittees are currently spending more than the total amounts collected from fees/taxes/assessments, etc., designated for stormwater management purposes; any funding shortfalls are covered via supplements from the general fund.*

The City partially disagrees with this finding. The City of Clayton is not currently expending more than the total amounts collected from fees and assessments designated and restricted for purposes related to the City's Clean Water programs. Further, the City of Clayton has not supplemented its Clean Water unfunded-mandate programs with General Fund monies (yet).

Finding # 3: *Despite the current levels of money being spent on the stormwater control initiatives, many Permittees do not think they are doing as much as necessary to position themselves to meet future compliance requirements.*

The City partially disagrees with this finding. The 9th Circuit Court of Appeal decision in *NRDC v. County of LA* (9th Cir., July 13, 2011, No. 10-56017) determined that a municipality is strictly liable for violations of its NPDES permit if its discharges cause or contribute to an exceedance of a water quality standard in receiving waters. This decision potentially places every municipal stormwater discharger in the State of California in immediate non-compliance with its NPDES permit if monitoring data show an exceedance, and exposes each to considerable liability, including fines and costly remediation.

Permittees, regulators and watershed stakeholders agree compliance with strict numeric water quality standards will compel substantial public investment for the redesign and retrofit of existing municipal separate storm sewer systems (MS4s). Currently, stormwater treatment and flow control measures are required on many new and redevelopment projects. Pilot studies and projects are being conducted under current municipal NPDES permits to evaluate the costs and benefits of implementing facilities that treat runoff from existing developed areas.

Due to these compulsory actions, current dedicated funding in Clayton is insufficient to meet all existing and future NPDES-compliant permit requirements. New revenue sources dedicated to these public policy unfunded mandates will be necessary for the City of Clayton to adequately design, construct, operate and maintain stormwater drainage infrastructure improvements in the future.

Finding # 4: *The requirements for compliance are expected to become increasingly demanding and the process of negotiating the terms and conditions of the next permit are unclear.*

The City agrees with this finding. Regional Water Quality Control Board staff determines the process for negotiating the terms and conditions of the next municipal permit in accordance with state law and public policy. Recently through the Bay Area Stormwater Management Agencies Association (BASMAA), CCCWP Permittees have joined with other Bay Area municipalities that are also Permittees under the Municipal Regional Stormwater Permit (MRP) to participate in discussions with Regional Water Quality Control Board staff regarding cost-benefit terms and scope of conditions in the next permit. As one of the smallest public agencies in the Bay Area, the Clayton City Council is increasingly concerned about the local financial burdens of this well-intended yet unfunded state mandate.

Finding # 5: Permittees disagree on what reasonable/practical program requirements should entail.

The City partially disagrees with this finding. Each municipality has different water-quality issues that must be addressed, different pollutant sources, different drainage system characteristics, different availability of funds, and different priorities for use of restricted funds. Despite these local differences, Permittees, through representation on the Contra Costa County Clean Water Program's (CCCWP's) Management Committee, rarely disagree as to reasonable/practical programs and continue to maintain consensus regarding permit negotiating positions and the successful implementation of unfunded mandated program requirements.

Finding # 6: All Permittees are forecasting that the lack of funds needed to undertake the critical activities to reach compliance levels will result in the majority of them being non-compliant in 2-5 years.

The City agrees with this finding.

Finding # 7: The CCCWP seems to be doing a reasonable job in terms of its role for centralized activities such as public education, outreach, training and monitoring.

The City agrees with this finding. As the smallest city in Contra Costa County, Clayton expressly values the benefits of working collectively with other municipalities in sharing ideas, successful programs, and realizing taxpayer savings through economies of scale.

Finding # 8: As an intermediary between the Permittees and the regulatory bodies, the CCCWP appears to be failing because there is a significant difference between the expectations and views of the regulators and the Permittees. There are dramatically different perspectives of what needs to be done, how it should be done and what happens if it is not done.

The City disagrees with this finding. Clayton can only imagine in a frightening way what its setting would be like if faced alone with stormwater regulators. There are indeed significant disparities between the expectations and views of the regulators and those of the Permittees; however, this friction is typical of most regulatory processes. It must be noted that non-governmental organizations (NGOs) and other interest groups inject critical influence into the NPDES decision-making process.

Finding # 9: *It is unclear what the impact of non-compliance status will be for a Permittee.*

The City partially disagrees with this finding. Permittees and this City have been advised repeatedly by regulators that civil penalties of up to \$10,000 per day plus \$10 per gallon of polluted discharge for each violation may be imposed administratively by Regional Water Quality Control Boards; fines of up to \$25,000 per day for each violation may also be assessed if imposed by a Superior Court. Further, the Clean Water Act provides that any U.S. citizen may file a citizen suit against any person who has allegedly violated an effluent limitation regulation. Citizen enforcers are entitled to measures sufficient to ensure compliance, the imposition of civil penalties of up to \$27,500 per violation per day, and costs of litigation, including reasonable attorney's fees. Within these guidelines Regional Water Quality Control Boards have discretion on their own enforcement actions yet Clayton and Permittees would not know what action the Board would engage until it actually acts on a violation.

Finding # 10: *The potential future risk associated with funding deficits and non-compliance is not being accurately communicated to citizens by the Permittees.*

The City disagrees with this finding. The CCCWP and the City of Clayton have consistently communicated and broadcast that funding deficits for mandated stormwater pollution prevention and control, and non-compliance with current and future permits, may result in significant fines, costly remediation and/or third party lawsuits. The more definitive Finding would have been: "is anyone listening?"

Finding # 11: *Following failure of the 2012 Community Clean Water Initiative, cities do not appear to have formulated realistic alternative plans.*

The City agrees with this finding. It is an unfathomable challenge to plan for the unfunded programmatic mandates of a state regulator which relies on an open check book of a local Permittee.

RECOMMENDATIONS

Recommendation # 1: *The permit negotiation process be clarified with roles, negotiating strategies, and negotiation objectives defined.*

The recommendation has been implemented. The permit negotiation process is dynamic and must adapt to the exchange between the state regulator and the Permittees. This is an on-going process performed in cooperation between BASMAA and the Regional Water Quality Control Board staff. BASMAA committees, Water Board staff, and Permittee

representatives are attending regularly scheduled meetings to negotiate the terms and conditions of the next permit.

Recommendation # 2: *The CCWP immediately begin to implement more direct communications between the individual Permittees and the regulatory authorities to eliminate the confusion that currently exists between the two parties as to program requirements, solutions for meeting long-term permit compliance and development of mutually agreed-upon plans for the path forward.*

The recommendation has been implemented. BASMAA and the Regional Water Quality Control Board staff have agreed to a permit negotiation process that includes Permittee representatives. Further, Permittee representatives and Water Board staff continue to meet regularly to discuss permit issues in BASMAA committees.

Recommendation # 3: *Permittees immediately quantify a range of future expenditure requirements associated with a range of negotiation outcomes and develop funding plans.*

The recommendation will not be implemented because it is not reasonable. Future expenditure requirements under the current permit were estimated as part of the Engineer's Report for the 2012 Community Clean Water Initiative [ballot measure], and funding plans are difficult to develop (ref. response to Finding # 11.) Estimates of future expenditure requirements associated with a range of future negotiation outcomes is not practical due to the complexity of issues surrounding the management of municipal stormwater conveyance systems coupled with the number of permit requirements and the fluctuating and unpredictable nature of this regulatory permit process. The City of Clayton does not have the resources or magic crystal ball to provide a meaningful prediction of the outcomes of future negotiations in order to generate future funding plans at this time.

Recommendation # 4: *Permittees consider identifying funds to disclose to the public "the issues" surrounding the lack of funding to fulfill their NPDES permit requirements, including the discussion of potential, but realistic, impacts of non-compliance.*

The recommendation requires further analysis. As a member of CCWP, the CCWP acts as the City's agent in matters related to NPDES permit requirements. The CCWP's Management Committee will consider preparing a "fact sheet" addressing these issues, which would be posted on the CCWP's website. This action will be considered in August 2013, and if approved, implemented in the last quarter of calendar year 2013.

Recommendation # 5: *The CCWP consider immediately beginning to re-align its activities and operating costs with; (a) probable outcomes from the negotiation of the next permit's compliance requirements; (b) projected available funding; and (c) constituent needs.*

This recommendation has been partially implemented. In response to Item (a) of this recommendation, please refer to the City's response to Recommendation # 3 above. In response to Item (b) and Item (c), through the CCWP our City continually evaluates its activities and operating costs based on projected available funding and constituent needs.

Recommendation # 6: *Before any Permittee makes any effort to approach its citizens with another request for additional funding, all stakeholders reach consensus on a plan for the path forward that includes articulations of reasonable objectives, ways to measure those objectives and reasonable timelines for accomplishment of those objectives.*

This recommendation will not be implemented as it is not within this Permittee's power or authority to ensure that the objectives, timelines, or provisions of its NPDES unfunded mandated permit are reasonable. Tests of reasonableness, if used, are applied by the Regional Water Quality Control Board pursuant to the applicable provisions of the California Water Code. Again, state and federal legislators need to be more conscious of the forced funding mandates facing Permittees in obtaining compliance with the unfunded provisions and requirements they set while remaining cognizant of the fact Permittees are constrained in ability and capacity to get voters to accept additional revenue measures for state and federal unfunded mandates.

We appreciate the time and effort the Civil Grand Jury spent researching and considering these matters, and we trust this response will be helpful in its endeavors.

Sincerely,

Julie K. Pierce
Mayor

DRAFT



May 29, 2013

Received

MAY 30 2013

City of Clayton

Gary A. Napper, City Manager
City of Clayton
6000 Heritage Trail
Clayton, CA 94517

Dear Mr. Napper:

Attached is a copy of **Grand Jury Report No. 1305, "Getting To Clean Water In Contra Costa County"** by the 2012-2013 Contra Costa Grand Jury.

In accordance with California Penal Code Section 933.05, this report is being provided to you at least two working days before it is released publicly.

Section 933.5(a) of the California Government Code requires that (the responding person or entity shall report one of the following actions) in respect to each finding:

- (1) The respondent agrees with the finding.
- (2) The respondent disagrees with the finding.
- (3) The respondent partially disagrees with the finding.

In the cases of both (2) and (3) above, the respondent shall specify the portion of the finding that is disputed, and shall include an explanation of the reasons therefore.

In addition, Section 933.05(b) requires that the respondent reply to each recommendation by stating one of the following actions:

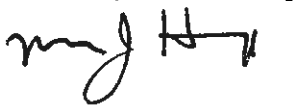
1. The recommendation has been implemented, with a summary describing the implemented action.
2. The recommendation has not yet been implemented, but will be implemented in the future, with a time frame for implementation.
3. The recommendation requires further analysis. This response should explain the scope and parameters of the analysis or study, and a time frame for the matter to be prepared for discussion. This time frame shall not exceed six months from the date of the publication of the Grand Jury Report.

4. The recommendation will not be implemented because it is not warranted or is not reasonable, with an explanation thereof.

Please be reminded that Section 933.05 specifies that no officer, agency, department or governing body of a public agency shall disclose any contents of the report prior to its public release. Please insure that your response to the above noted Grand Jury report includes the mandated items. We will expect your response, using the form described by the quoted Government Code, no later than **AUGUST 29, 2013.**

It would be greatly appreciated if you could send this response in hard copy to the Grand Jury as well as by e-mail to clope2@contracosta.courts.ca.gov (Word document).

Sincerely,

A handwritten signature in black ink, appearing to read 'Marc Hamaji', followed by two short horizontal dashes.

Marc Hamaji, Foreperson
2012-2013 Contra Costa County Civil Grand Jury

Received

MAY 30 2013

City of Clayton

**A REPORT BY
THE 2012-2013 CONTRA COSTA COUNTY GRAND JURY
725 Court Street
Martinez, California 94553**

Report 1305

**GETTING TO CLEAN WATER IN
CONTRA COSTA COUNTY**

What's the Plan and Where's the Money?

APPROVED BY THE GRAND JURY:

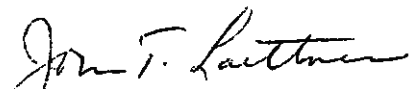
Date: 5/21/2013



MARTHA WHITTAKER
GRAND JURY FOREPERSON-PRO TEM

ACCEPTED FOR FILING:

Date: 5/29/13



JOHN T. LAETTNER
JUDGE OF THE SUPERIOR COURT

Contra Costa County Grand Jury Report 1305

GETTING TO CLEAN WATER IN CONTRA COSTA COUNTY

What's the Plan and Where's the Money?

TO: Cities and Towns of Contra Costa County; Contra Costa County Board of Supervisors; Contra Costa Flood and Water Conservation District (collectively "Permittees"), and the Contra Costa Clean Water Program

SUMMARY

The Contra Costa Clean Water Program (CCCWP) is characterized by an inability among the stakeholder organizations to reach agreement regarding exactly what they are trying to accomplish, in what manner, in what period of time, and the consequences of failing to do so. Stakeholders include CCCWP management and Permittees, empowered regulatory bodies, and interested activist community groups. They have different opinions and perspectives of what is important, what should or should not be prioritized, what is urgent, what quantifiable indicators should be used to gauge progress and compliance and what is the real exposure for non-compliance. The result is a stream of public communication and comment that is, at best, contradictory and, at worst, misleading. As a starting point, there needs to be constructive dialogue between each of the Permittees and the appropriate regulatory authorities.

The failure of Proposition 218, the 2012 Community Clean Water Initiative, to receive voter approval was a serious setback for the program. The ballot initiative was intended to, at least partially and for a short period of time, address the imbalance between the current and projected future costs for planned clean water activities that far exceeded available funds. Now the Permittees must determine alternative funding sources.

It is projected that by 2015, with no changes in the current permit requirements, a funding gap of several million dollars will exist. This shortfall could significantly grow if new permit requirements are incrementally more onerous than current requirements, as expected. This funding gap, if not resolved, may result in an inability to conduct critical activities needed to meet permit standards. It may also place some Permittees in a condition of non-compliance, with consequent exposure to fines, other monetary damages and enforcement actions.

As the challenge of finding additional funding is addressed, it is an appropriate time for the Permittees to make an effort to better define and understand their paths forward and develop more detailed plans, timelines, and desired outcomes. These re-evaluations should, at least,

include:

- a) negotiation of more realistic, better-defined compliance terms that take into account differences in participant characteristics;
- b) implementation of more efficient and effective operating practices of the Contra Costa Clean Water Program; and,
- c) identification of ways to make the impacted communities more aware of the importance of the program and the challenges ahead.

METHODOLOGY

In evaluating the stormwater pollution control activities as instituted in Contra Costa County by the Permittees, the following tasks were performed:

- Interviews with selected city managers and selected county officials
- Interviews with CCCWP staff, selected Permittee representatives to the CCCWP (collectively “program personnel”) and regulatory personnel
- Interview with a representative from an environmental Non-Governmental Organization (NGO)
- Review of the stormwater permits applicable in Contra Costa County
- Review of individual Permittee stormwater program budgets
- Review of CCCWP publications and operating data
- Review of Permittee Annual Reports for the most recent year
- Attendance at CCCWP Management Committee Meetings and review of minutes for those meetings and others not attended
- Review of Environmental Protection Agency (EPA) and California State Water Board literature with regard to stormwater programs and requirements
- Review of information prepared (and in some cases sent to voters) as part of the 2012 Community Clean Water Initiative, including a number of different outside consultant reports
- Review of public media articles involving the local storm water program and recent ballot initiative
- Review of informational websites including California Stormwater Quality Association (casqa.org), Bay Area Stormwater Management Agencies Association (basmaa.org) and Contra Costa Clean Water Program (cccleanwater.org)

BACKGROUND

The Federal Clean Water Act (as amended from time to time) established the National Pollutant Discharge Elimination System (NPDES) Permit Program to control water pollution. The program regulates point sources that discharge pollutants into the waterways of the United States. The Permit Program is administered by the individual states - in California, by the State Water Board and a series of Regional Water Quality Control Boards (RWQCB). The various water boards are responsible for issuing NPDES permits governing discharges into specific watersheds and determining and enforcing compliance with the individual permit requirements.

One requirement of amendments to the Clean Water Act in 1987 was that many municipalities were obligated to obtain NPDES permits for discharges of urban runoff from their storm sewer systems into local watersheds. Accordingly, the 19 incorporated cities/towns of Contra Costa County, along with the Contra Costa County Flood Control District and the unincorporated areas of Contra Costa County (collectively the "Permittees"), were required to obtain these NPDES permits. The Permittees are covered by one of two applicable permits as shown in the following table:

San Francisco Permit (Discharge into San Francisco Bay)		Central Valley Permit (Discharge into Delta)
Clayton	Pittsburg	Antioch
Concord	Pleasant Hill	Brentwood
El Cerrito	Richmond	Oakley
Hercules	San Pablo	
Lafayette	San Ramon	
Martinez	Walnut Creek	
Orinda	Moraga	
Pinole	Danville	

The Contra Costa Flood Control and Water Conservation District and Contra Costa County are parties to both permits. For purposes of the discussion in this report, no distinction is made between the two permits.

These parties to the two permits -- the Permittees -- are individually responsible for complying with the requirements of their respective permits. The activities typically include street sweeping, storm drain maintenance and cleaning, litter control, creek cleanup programs, construction site and business inspection and control, and public outreach.

In Contra Costa County, in 1991 the Permittees formed the Contra Costa Clean Water Program (CCCWP) to coordinate certain centralized services or group activities such as training and monitoring programs, and public outreach. The CCCWP also serves as the point organization for the Permittees' interface with the Regional Water Quality Control Boards and is expected to continue to do so in the upcoming permit renegotiations. CCCWP is run by a "management committee" comprised of representatives of each of the Permittees. Each of the Permittees and the CCCWP file an Annual Report detailing their compliance with the permit. Reviews of these reports indicate that, for the most recent period, no instances of non-compliance were reported.

However, in December, 2012, Contra Costa County received a "Notice of Violation" with regard to its stormwater program identifying 9 different violations and 30 required actions. Moreover, in January, 2013, several of the Permittees received "Notices of Deficiency" related to a review by the Water Board of certain sections of the 2012 Annual Reports. County personnel interviewed acknowledged that, the most recent Annual Report notwithstanding, the County is not in compliance under the permits. Additionally, a number of the cities interviewed suggested that they were either on the verge of non-compliance, if not already noncompliant.

Generally, the cities and county fund their storm water permit activities via a Stormwater Utility Assessment (SUA) levied on property owners (exceptions are Brentwood and Richmond which use alternative sources including general fund revenues). CCCWP is funded by the participating cities out of the assessment revenue collected.

According to a report to the CCCWP from SCI Consulting Group, since the implementation of the SUA, "inflation and ever-expanding permit mandates have progressively increased the cost of NPDES permit implementation and drainage system maintenance. All municipalities now charge the maximum authorized by the SUA."

From a budgetary perspective, over the past two fiscal years the majority of cities are spending more than they collect in assessment revenue. Municipalities are absorbing shortfalls using non-storm water funds, general fund resources or prior years' reserves. Several city managers indicate that, to the extent general fund monies are required for this purpose; there will be an impact on their city's ability to provide other services.

The regulatory authorities are aware of and sympathetic to the fiscal challenges faced by cities with respect to the program, but have a somewhat different view as to why the cities face the challenges they do. The regulatory personnel indicate that when the SUA structure was enacted, many of the cities immediately shifted the funding of certain program-eligible activities (such as street sweeping and other elements of public works) out of their general funds to be funded out of the new stormwater assessments. While this may have been beneficial to the cities in the short term, in the long run it removed funds which should have been available for the long-term growth and development of the stormwater program, which all understood would necessarily grow increasingly more complex and rigorous over time.

Some city managers and program personnel indicate that, while still in compliance, they are not necessarily doing everything they need to do to ensure continued future compliance due to funding limitations. In a report by SCI Consulting Group commissioned by the CCCWP, consultants stated "Because of current fiscal difficulties, most municipalities are deferring some required maintenance on infrastructure. Some permit-mandated activities, such as staff training, routine surveillance and inspections and outreach are also being minimized. While these budget balancing reductions will not necessarily compromise permit compliance in the short term, in the long term, they could erode local program effectiveness."

The current permits have introduced additional requirements including expanded storm water monitoring and increased trash control. It is expected that the renegotiated permits will have additional significant implementation requirements. For example, cities now have a requirement that 40% of all trash be removed from the storm water discharge by mid-2014. This rises to 70% by 2017 and 100% by 2022. The State Water Board has rejected the short-term plan submitted by CCCWP for meeting these requirements.

The exact timing of negotiations and program requirements of the new permits are not fully known at this time. Discussion with program personnel and observation of select CCCWP management committee meetings indicate that there is some disagreement as to what Permittees view as reasonable requirements. However, the Permittees also believe that, individually, they have very limited ability to influence the permit process.

The regulators believe that they must balance the requirements of the legal mandates (including Federal Clean Water Act requirements and California state requirements), the desires of outside advocacy groups (primarily environmental groups such as San Francisco Baykeeper, Natural Resource Defense Council, etc.) and the Permittees. Regulators feel the permits are sufficiently flexible to account for size differentials and, to the extent possible, take into account individual circumstances.

The overall structure of the Clean Water Program, with the resulting multiple layers of bureaucracy between the regulatory authorities and the individual Permittees may be a key contributing factor to the dramatically different perspectives of what needs to be done, how it should be done, and what happens if it is not done. Discussions with representatives of many stakeholder organizations revealed a lack of alignment on these issues. In particular, while regulators indicate that the underlying rules are flexible and enforcement activity is subjective, Permittees indicate they are forced to “interpret” the message and this makes formulation of their stormwater program plans and activities difficult. This is especially true during a time of scarcity of financial resources, and a need to make hard choices between competing demands for those resources.

To address the current and expected future fiscal shortfalls, the municipalities and CCCWP sponsored a county-wide Proposition 218 compliant ballot initiative to increase funding by approximately \$8.7 million per year. According to program personnel, this additional funding level was based on “what the public would accept”; however, consultants to CCCWP estimated future costs to be well in excess of those requested via the ballot measure. The ballot measure failed. In its Annual Report the CCCWP described the results as follows:

“The defeat of the 2012 Community Clean Water Initiative represents a setback for Permittees’ clean water programs in Contra Costa County. Permittees have exhausted their reserves. Many are now relying on other municipal revenues, such as their general funds, to implement MRP (Municipal Regional Permit) compliance. At the same time, reductions in general fund revenues due to significant losses in property and sales tax revenues has resulted in reductions in staffing, salaries and benefits, and community services and programs. Local elected officials are faced with agonizing and unpopular public policy decisions on how to use and allocate their limited resources and revenues to continue to provide critical services (e.g. public safety, road maintenance, public facilities operation and maintenance, natural resource protection).”

Some city managers have referred to the current storm water situation as an “unfunded mandate”. They indicate that specific solutions to the potential funding problems have not been determined. At least one city, El Cerrito, has held some limited public discussion on the issue and has begun to explore potential funding options including a city-specific bond measure. In its 2012 Annual Report, the CCCWP indicates that it does not believe any additional county-wide funding measures would be successful at this time.

Interviews with some city managers and program personnel indicate that, given the fiscal issues

they face, the level of deferred program activities, and both currently known and expected more rigorous future program requirements, the majority of cities in the county will be in non-compliance with the NPDES permits over the next 2-5 years. The impact of non-compliance is not clear at this point. There is a wide range of potential outcomes. In a report prepared as part of the ballot initiative effort, consultants to the CCCWP indicate the following:

“Non-compliance with Permit Requirements exposes the Permittees to fines from the RWQCB as well as to potential third-party lawsuits. All Permittees must demonstrate full compliance or be subject to regulatory actions including:

- Administrative Civil Liability - \$10,000 per day of violation and/or \$10.00 per gallon of discharge
- Cease and Desist Orders for either public or private development projects
- Third-Party lawsuits alleging non-compliance and recommending regulatory actions be taken against the entity until violations have been corrected or negative impacts eliminated.”

One regulatory agency made it clear that, while these statements might reflect the “letter of the law”, they in no way reflect the “spirit of the law” or any intention on the part of that regulatory agency to implement fines of any kind in the near term on Permittees making reasonable efforts to achieve compliance. An interview conducted with a member of a prominent environmental group echoed this position that they do not intend to put undue financial burden or bring third-party lawsuits against Permittees as long as they can demonstrate that concerted and continuous efforts are being made to fulfill the mandates of the permit. The environmentalist clearly stated that while they have in the past and will continue to rigorously monitor various Permittee compliance activities in the future, their approach remains realistic and mindful of the financial and personnel constraints of those Permittees.

Some city managers and program personnel acknowledge the potential for significant monetary fines or other regulatory actions. It is possible that the Regional Water Quality Control Boards will recognize the severity of the fiscal situation and enter into a consent-decree type arrangement which, while not changing the discharge requirements, will allow more time to reach those levels as long as continuous progress can be demonstrated by each Permittee and will not impose onerous fines or penalties.

Observation of discussions at the CCCWP Management Committee meetings and a review of their meeting minutes reflect that they have not developed substantive solutions to the fiscal issues facing the group. The CCCWP Annual Report describes actions which they are currently taking to ameliorate the situation as, “specific actions identified include, but are not limited to:

- Review and analyze alternative CCCWP organizational structures, staffing and consultant support levels, and tasks;
- Review other potential sources of revenue (e.g. increased fees) to fund mandated compliance activities;
- Engage local elected officials, municipal managers, businesses, citizens and other stakeholders in development of effective water quality attainment strategies;

- Identify prioritized actions to reduce the discharge of trash and other pollutants of concern to local creeks, the Delta and bay; and,
- Seek flexibility requirements to allow individual Permittees to focus their limited resources to address local water quality priority problems.”

It is not clear from interviews with program personnel or city managers that these actions have resulted in any significant or concrete changes which go to solve the current set of problems or at least partially ameliorate the current set of problems facing the Permittees.

Attendance at CCCWP Management Committee meetings, as well as a review of the group’s minutes and discussions with city managers and program personnel indicate that there are concerns with how the organization is operating and whether it is really in a position to provide or contribute significantly to resolving the current fiscal situation. City managers in particular believe that the 2012 ballot initiative was mishandled in the way it was conducted, and the public was not educated as to its necessity. Some individual city participants question whether they might be better off trying to resolve their issues independently rather than as a combined group. Discussions with program personnel and a review of the history of local watershed permitting, as contained in the current NPDES permits, show that the regulatory authorities have fostered the creation of larger groups of participants in Contra Costa County that share standard agreements. Doing so removes the necessity of negotiating a series of unique agreements with individual municipalities. This is also true outside of Contra Costa County.

The San Francisco Bay permit expires in 2014 and the Central Valley Permit expires in 2015. Both will require renegotiation of new pollution standards and required activities. It is not clear how successfully the CCCWP (including its various committees) has undertaken the efforts to actively communicate issues and problems in order to influence the structure and requirements of the next permits, including dialogue to understand the possibility and ramifications of potential non-compliance and the regulatory bodies’ likely responses.

While some Permittees have made excellent efforts to educate their constituents as to the nature and magnitude of the problems they face, most have not. Interviews with program personnel indicate that little or no discussion with citizens of either the current or the expected longer-term fiscal issues faced by the stormwater programs have occurred.

FINDINGS

1. In the most recent Annual Reports, Permittees reported compliance with their permits; however, Contra Costa County recently received a “Notice of Violation” with regard to its stormwater program.
2. Many Permittees are currently spending more than the total amounts collected from fees/taxes/assessments etc., designated for stormwater management purposes; any funding shortfalls are covered via supplements from the general fund.
3. Despite the current levels of money being spent on the stormwater control initiatives, many Permittees do not think they are doing as much as necessary to position themselves to meet future compliance requirements.

4. The requirements for compliance are expected to become increasingly demanding and the process of negotiating the terms and conditions of the next permit are unclear.
5. Permittees disagree on what reasonable/practical program requirements should entail.
6. All Permittees are forecasting that the lack of funds needed to undertake the critical activities to reach compliance levels will result in the majority of them being non-compliant in 2-5 years.
7. The CCCWP seems to be doing a reasonable job in terms of its role for centralized activities such as public education, outreach, training and monitoring.
8. As an intermediary between the Permittees and the regulatory bodies, the CCCWP appears to be failing because there is a significant difference between the expectations and views of the regulators and the Permittees. There are dramatically different perspectives of what needs to be done, how it should be done and what happens if it is not done.
9. It is unclear what the impact of non-compliance status will be for a Permittee.
10. The potential future risk associated with funding deficits and non-compliance is not being accurately communicated to citizens by the Permittees.
11. Following failure of the 2012 Community Clean Water Initiative, cities do not appear to have formulated realistic alternative plans.

RECOMMENDATIONS

The Grand Jury recommends that:

1. The permit negotiation process be clarified with roles, negotiating strategies, and negotiation objectives defined.
2. The CCCWP immediately begin to implement more direct communications between the individual Permittees and the regulatory authorities to eliminate the confusion that currently exists between the two parties as to program requirements, solutions for meeting long-term permit compliance and development of mutually agreed-upon plans for the path forward.
3. Permittees immediately quantify a range of future expenditure requirements associated with a range of negotiation outcomes and develop funding plans.
4. Permittees consider identifying funds to disclose to the public “the issues” surrounding the lack of funding to fulfill their NPDES permit requirements, including a discussion of potential, but realistic, impacts of non-compliance.
5. The CCCWP consider immediately beginning to re-align its activities and operating costs with; (a) probable outcomes from the negotiation of the next permit’s compliance requirements; (b) projected available funding; and (c) constituent needs.

6. Before any Permittee makes any effort to approach its citizens with another request for additional funding, all stakeholders reach consensus on a plan for the path forward that includes articulations of reasonable objectives, ways to measure those objectives and reasonable timelines for accomplishment of those objectives.

REQUIRED RESPONSES

	Findings	Recommendations
City of Antioch	1 – 11	1 - 6
City of Brentwood	1 – 11	1 - 6
City of Clayton	1 – 11	1 - 6
City of Concord	1 – 11	1 - 6
Town of Danville	1 – 11	1 - 6
City of El Cerrito	1 – 11	1 - 6
City of Hercules	1 – 11	1 - 6
City of Lafayette	1 – 11	1 - 6
City of Martinez	1 – 11	1 - 6
Town of Moraga	1 – 11	1 - 6
City of Oakley	1 – 11	1 - 6
City of Orinda	1 – 11	1 - 6
City of Pinole	1 – 11	1 - 6
City of Pittsburg	1 – 11	1 - 6
City of Pleasant Hill	1 – 11	1 - 6
City of Richmond	1 – 11	1 - 6
City of San Pablo	1 – 11	1 - 6
City of San Ramon	1 – 11	1 - 6
City of Walnut Creek	1 – 11	1 - 6
Contra Costa County Board of Supervisors	1 – 11	1 - 6
Contra Costa Flood and Water Conservation District	1 – 11	1 - 6
Contra Costa Clean Water Program	1 – 11	1 - 6



Agenda Date: 7-30-13

Agenda Item: 4d

Approved:

Gary A. Napper
City Manager

STAFF REPORT

TO: HONORABLE MAYOR AND COUNCILMEMBERS

FROM: CHARLIE MULLEN, COMMUNITY DEVELOPMENT DIRECTOR *CM*

DATE: July 30, 2013

SUBJECT: CONSULTING SERVICES AGREEMENT WITH RANEY PLANNING AND MANAGEMENT TO PREPARE ENVIRONMENTAL ANALYSIS OF THE SILVER OAK ESTATES RESIDENTIAL DEVELOPMENT PROJECT; DP-01-10; MAP-01-10 & ENV-02-10.

RECOMMENDATION

Staff recommends that the City Council authorize the City Manager to enter into a Consulting Services Agreement with Raney Planning and Management, Inc. for environmental consulting services to prepare an Initial Study/Mitigated Negative Declaration (IS/MND) analysis of the Silver Oak Estates residential development project. The cost of the Raney service proposal is \$33,855, plus a staff recommended 10% contingency of \$3,856 for a not to exceed contract amount of **\$37,241**. The entire contract amount of \$37,241 will be paid for by the project applicant.

BACKGROUND

This past May 2013, the Silver Oak Estates residential development project application was deemed complete for processing. The following is a project summary:

A Development Plan and Subdivision proposal to develop the 13.96-acre Hurd Ranch property located between the northerly terminus of Lydia Lane and Oakhurst Drive in Clayton. The property is identified as 5701 Clayton Road (APN: 118-020-029). The development proposal includes seven (7) single-family homes, 28 town homes and 24 "Green Courts" located on approximately 5.37 acres, a neighborhood swimming pool and cabana on 0.59 acres, roadways on 2.10 acres and open space on 7.84 acres. Vehicular access is proposed from Oakhurst Boulevard and Lydia Lane.

On June 18, 2013, staff sent out Request For Proposals (RFP) to four professional environmental firms from our pre-approved professional environmental consultant list to

prepare a comprehensive environmental review in the form of an Initial Study/Mitigated Negative Declaration (IS/MND) or focused Environmental Impact Report (EIR) for the Silver Oaks Estates residential development project. On or before the RFP deadline of July 1, 2013 staff received proposals from all four firms (LSA Associates, Pacific Municipal Consultants, Raney Planning and Management and WRA Environmental Consultants).

In the past the City of Clayton would have most likely requested the preparation of an EIR, however, based on the approved 2006 East Contra Costa County Habitat Conservation Plan (HCP) and recent discussions with HCP staff, it appears that an EIR may not be required or warranted. As part of the RFP process staff sought consultant input and recommendation as to whether an IS/MND or an EIR would be the appropriate environmental documentation to prepare. Each of the four consultants confirmed that the preparation of an IS/MND was appropriate based on the existing HCP.

DISCUSSION

Upon receiving the four proposals, staff evaluated each of them with consideration to the following criteria:

- Relevant Professional Expertise and Qualifications
- Timeline/Schedule
- Prior Success Preparing Environmental Documentation
- Project Management/Team
- Technical Quality
- References
- Responsiveness to RFP
- Prior Experience and Familiarity with City of Clayton
- Cost

After careful consideration of each proposal Raney Planning and Management was chosen by staff as the desired consultant to assist the City in preparing the environmental review on the Silver Oak Estates residential development project.

For informational purposes, staff has attached the Cost Proposals of all four responding consultants to this report. In addition, staff will place a copy of each of the proposals in the Mayor/Council office for review.

In conclusion, staff recommends that the City Council authorize the City Manager to enter into a Consulting Services Agreement with Raney Planning and Management, Inc. for environmental consulting services to prepare an Initial Study/Mitigated Negative Declaration (IS/MND) analysis of the Silver Oak Estates residential development project, based on the criteria discussed above and specifically for the quality and responsiveness of their proposal, their technical experience and familiarity with the City of Clayton, and their lowest base proposal cost of \$33,855.

FISCAL IMPACT

The cost of the Raney service proposal is \$33,855, plus a staff recommended 10% contingency of \$3,856 for a not to exceed contract amount of \$37,241. The entire consultant services contract amount of **\$37,241** will be paid for by the project applicant.

The project applicant has signed an authorization and funding for consulting services statement and has agreed to submit a full check deposit payment in the amount of \$37,241 no later than July 30, 2013.

Attachments:

1. Raney cost proposal
2. PMC cost proposal
3. WRA cost proposal
4. LSA cost proposal

Note: A complete copy of each full proposal is available in the Mayor/Council office.

IV. Cost Proposal

The cost for completion of the Silver Oak Estates Residential Project IS/MND is not to exceed \$33,855. These costs are based on the estimates of time for each task provided in the spreadsheet below. Costs for the IS/MND preparation will be billed on a not-to-exceed basis, following Raney standard billing rates also included in the spreadsheet below.

SILVER OAK ESTATES RESIDENTIAL PROJECT IS/MND					
COST ESTIMATE					
		Cindy Gnos, AICP Vice President	Nick Pappani Senior Project Manager	Associate	Cost Per Task
Task 1	Project Initiation	4	6		\$ 1,450
Task 2	Prepare Administrative Draft IS/MND	2	8	24	\$ 3,560
Task 3	Revise AD IS/MND and Prepare Public Review IS/MND		2	4	\$ 630
	Air Quality & GHG Technical Analysis		2	24	\$ 2,430
Task 4	Prepare MMP		1	2	\$ 315
Task 5	Responses to comments, if needed	1	2	4	\$ 790
Task 6	Project Management and Meeting/Hearing Attendance	4	20		\$ 3,340
	Total Hours	11	41	58	
	Hourly Rate	\$ 160	\$ 135	\$ 90	
	Total Labor	\$ 1,760	\$ 5,535	\$ 5,220	\$ 12,515
Sub-Consultants & Expenses					
	Copying/Printing/Travel/Postage/Etc.			\$ 500	
	Subconsultant - Visual Simulations			\$ 6,900	
	Subconsultant - Cultural and Historical Resources Report			\$ 1,500	
	Subconsultant - Noise Report			\$ 5,500	
	Subconsultant - Traffic Analysis			\$ 5,000	
	10% administrative fee			\$ 1,940	
	Total Expenses				\$ 21,340
	Total Budget				\$ 33,855
	Optional Task: Hydrologic Assessment			\$ 7,820	

PMC - Cost Proposal

CITY OF CLAYTON
RE: SCOPE OF WORK AND BUDGET – SILVER OAK ESTATES RESIDENTIAL DEVELOPMENT PROJECT CEQA
DOCUMENTATION AND DETERMINATION
 Page 11

COST PROPOSAL FOR CEQA REVIEW AND DOCUMENTATION

Task	Principal	Senior Env. Planner	Air Quality/ GHG Planner	Env. Planner	Senior Biologist	Admin	Activity Totals
Task 1 hours	4	8		8		2	22
Project Initiation/Process Consultation	\$880	\$1,040		\$760		\$140	\$2,820
Task 2 hours	10	20	10	70	20	10	140
Prepare Admin Draft Initial Study Document	\$2,200	\$2,600	\$950	\$6,650	\$2,000	\$700	\$15,100
Task 3 hours	2	10	2	15		10	39
Prepare Public Review Draft IS/MND and Noticing	\$440	\$1,300	\$190	\$1,425		\$700	\$4,055
Task 4 hours	2	10		16		5	33
Response to Comments/Mitigation Monitoring Program	\$440	\$1,350		\$1,520		\$350	\$3,660
Task 5 hours	5	20					25
Meetings – Staff Level	\$1,100	\$2,600					\$3,700
J.C. Brennan (noise analysis)							\$4,180
Fehr & Peers (traffic impact analysis)							\$16,137
Direct Costs – mileage & document printing/production							\$1,500
Project Totals							\$51,152
Optional Task – photo simulations							\$10,000
Project Totals With Optional Task							\$61,152

Note: This is a not-to-exceed cost proposal based on the assumptions contained in the scope of work. Any significant deviation from the scope may result in amendments to the budget.

WRA - Cost Proposal

Table 1
Estimated Costs for Silver Oaks Estates Residential Development Project Initial Study/MND

Task	Staff Assigned	Hours/Task	Hourly Rate	Cost
LABOR COSTS				
Review Existing Information/Site Visit/Kick-off Meeting with City	Project Manager	8	\$153.00	\$1,224.00
Prepare Project Description	Project Manager	2	\$153.00	\$306.00
	Assist. Environmental Planner	4	\$97.00	\$388.00
Prepare Initial Study				
<i>Administrative Draft Initial Study</i>	Project Manager	8	\$153.00	\$1,224.00
	Assoc. Environmental Planner	8	\$135.00	\$1,080.00
	Assist. Environmental Planner	36	\$97.00	\$3,492.00
<i>Preparation of Technical Reports/Analyses</i>				
Biological Resources	Principal	4	\$160.00	\$640.00
	Biologist	20	\$114.00	\$2,280.00
Cultural Resources	Origer & Associates		Subconsultant	\$1,500.00
Geology & Soils	BASELINE Environmental		Subconsultant	\$3,585.00
Hazards & Hazardous Materials	BASELINE Environmental		Subconsultant	\$2,985.00
Hydrology & Water Quality	BASELINE Environmental		Subconsultant	\$4,185.00
Construction including Health Risk Assessment	Illingworth & Rodkin, INC.		Subconsultant	\$3,640.00
GHG Emissions	Illingworth & Rodkin, INC.		Subconsultant	\$3,100.00
Noise	Illingworth & Rodkin, INC.		Subconsultant	\$6,300.00
Transportation and Traffic	W-Trans		Subconsultant	\$14,990.00
<i>Finalize Administrative Draft Initial Study</i>	Project Manager	4	\$153.00	\$612.00
	Assist. Environmental Planner	14	\$97.00	\$1,358.00
<i>Draft Initial Study</i>	Project Manager	4	\$153.00	\$612.00
	Assist. Environmental Planner	8	\$97.00	\$776.00
Publication of the IS/MND/MMRP and Notice of Intent (NOI)				
<i>Publication of Initial Study</i>	Assist. Environmental Planner	4	\$97.00	\$388.00
<i>Notice of Intent to Adopt a MND (NOI)</i>	Assist. Environmental Planner	1	\$97.00	\$97.00
<i>Mitigation Monitoring and Reporting Program</i>	Project Manager	2	\$153.00	\$306.00
	Assist. Environmental Planner	4	\$97.00	\$388.00
Respond to Comments on the Initial Study/MND				
	Project Manager	8	\$153.00	\$1,224.00
	Assist. Environmental Planner	16	\$97.00	\$1,552.00
	BASELINE Environmental		Subconsultant *	\$1,615.00
	Illingworth & Rodkin, INC.		Subconsultant **	\$370.00
	W-Trans		Subconsultant ***	\$800.00
Public Hearings (2)	Project Manager	8	\$153.00	\$1,224.00
Notice of Determination (NOD)				
	Project Manager	1	\$153.00	\$153.00
	Assist. Environmental Planner	1	\$97.00	\$97.00
Document Review/Quality Control	Project Manager	4	\$153.00	\$612.00
Staff Meeting (1)	Project Manager	4	\$153.00	\$612.00
Project Management	Project Manager	8	\$153.00	\$1,224.00
Administrative	Administrative Assistant	4	\$68.00	\$272.00
Word Processing	Word Processor	4	\$68.00	\$272.00
Graphics/GIS	Graphics Specialist	16	\$110.00	\$1,760.00
SUBTOTAL LABOR COSTS				\$67,243.00
EXPENSES (reproduction, postage, mileage, etc.)				
<i>Reproduction of documents</i>				\$980.00
<i>Mileage, Postage, Printing, Graphics</i>				\$340.00
TOTAL				\$68,563.00
Optional Tasks (Visual Simulations by Environmental Vision)				\$8,800.00
TOTAL WITH OPTIONS				\$77,363.00

* 13 Hours for responses to comments

** 2 Hours for responses to comments

***6 hours for responses to comments

LSA - Cost Proposal

Table 3: Budget

LABOR COSTS										
	LSA Associates, Inc.									Team Total
	Principal-in-Charge (Allen)	Project Manager (Weinstein)	Planner (Staff)	Transportation and Circulation Analysis	Air Quality/Greenhouse Gas Emissions/Noise Analysis	Biological Resources Analysis	Cultural Resources Analysis	Graphics/Production	Word Processing	
Hourly Rate:	\$155	\$140	\$80	\$130	\$120	\$110	\$125	\$105	\$95	
A. Project Initiation										
1. Start-Up Meeting/Site Visit	5	5	5	5	8	12	8			\$5,805
2. Base Map Preparation		1	2					4		\$720
3. Data Gathering and Evaluation		4	4	5		16	20			\$5,790
4. Prepare Project Description	2	18						6	2	\$3,650
Subtotal	7	28	11	10	8	28	28	10	2	\$15,965
B. Conduct Environmental Analysis										
1. Aesthetics		4	12					4		\$1,940
2. Agriculture and Forestry Resources		1	4							\$460
3. Air Quality		8			46					\$6,640
4. Biological Resources	1	10				62		4		\$8,795
5. Cultural Resources	1	8					70	2		\$10,235
6. Geology/Soils		4	10					2		\$1,570
7. Greenhouse Gas Emissions		4			23					\$3,320
8. Hazards and Hazardous Materials		4	8							\$1,200
9. Hydrology and Water Quality	1	6	10							\$1,795
10. Land Use and Planning Policy		6	16							\$2,120
11. Mineral Resources		1	2							\$300
12. Noise		8			20					\$3,520
13. Population and Housing		1	6							\$620
14. Public Services and Recreation		8	16							\$2,400
15. Transportation and Circulation	2	12		32				4		\$6,570
16. Utilities and Services Systems		4	12							\$1,520
Subtotal	5	80	96	32	80	62	70	16	0	\$53,005
C. Prepare IS/MND										
1. Administrative Draft IS/MND	14	12	8					8	8	\$6,090
2. Screencheck Draft IS/MND	6	10	12					8	8	\$4,890
3. Public Review Draft IS/MND	2	8	10					6	6	\$3,430
Subtotal	22	30	30	0	0	0	0	22	22	\$14,410
D. Respond to Comments										
Subtotal	8	24	24	8	8	10	10	10	10	\$12,870
E. Mitigation Monitoring and Reporting Program										
Subtotal	1	2	6	0	0	0	0	0	0	\$915
F. Project Management										
Subtotal	12	28	0	0	0	0	0	0	0	\$5,780
G. Meetings and Public Hearings										
Subtotal	24	28	12	0	0	0	0	0	0	\$8,600
TOTAL HOURS	79	229	179	50	105	100	108	58	34	\$111,545

DETAIL OF MISCELLANEOUS COSTS	
Item	Total
1. Deliveries	\$400
2. Printing	\$1,200
2. Travel	\$600
TOTAL MISCELLANEOUS COSTS	\$2,200

RECOMMENDED 5 PERCENT CONTINGENCY	
	\$5,500

TOTAL COST INCLUDING CONTINGENCY	
	\$119,245



Agenda Date: 7-30-13

Agenda Item: 8a

BUDGET MESSAGE

Approved:

Gary A. Napper
City Manager

TO: HONORABLE MAYOR AND COUNCILMEMBERS

FROM: CITY MANAGER

DATE: 30 JULY 2013

**SUBJECT: PUBLIC HEARING AND ADOPTION OF CITY AND CIP BUDGETS
FISCAL YEAR 2013-2014**

RECOMMENDATION

Following staff presentation and conduct of the Public Hearing, it is recommended the City Council adopt the attached Resolution approving a City Budget for FY 2013-2014 and a multi-year Capital Improvement Program (CIP) Budget for FY's 2013-2018.

BACKGROUND

At a regularly scheduled meeting of the Clayton City Council on 16 July 2013, introduction and review of the proposed operational and capital improvement budgets of the City were provided by the City Manager. Of initial note was the movement of closing the FY 2012-13 budgeted General Fund gap of **\$199,725** down to a manageable annual deficit of around **\$32,532** (unaudited) upon closing last fiscal year. During a year of unknowns with the effect of a dissolved redevelopment agency, ending that fiscal year by missing a balanced annual budget by **0.87%** was much-improved news. The General Fund budget for FY 2013-14 estimates a cautionary \$24,320 excess without carrying any operational contingencies and including status quo labor concessions.

After the release of the City Budget on Friday, July 12th, a question arose whether the summary budget for FY 2013-14 was accurate when depicting a \$7.54 million figure for the City's "Other Funds" category, since it represented a huge jump from last year's budget of \$4.88 million. Staff re-examined this calculation, determined it to be inaccurate, and at the July 16th City Council meeting the amount for FY 2013-14 "Other Funds" should be \$3,444,246. The detail in the Proposed City Budget remained correct – it was a calculation error by staff. Consequently, the overall City Budget for FY 2013-14 went from a grand total of \$12,609,167 (6.2% annual increase) to \$8,512,696 (**28.3% decrease**), as shown below:

CITY OF CLAYTON BUDGETS

<u>Budget</u>	<u>FY 13-14</u>	<u>FY 12-13</u>	<u>FY 11-12</u>	<u>FY 10-11</u>	<u>FY 09-10</u>
General Fund	\$ 3,649,122	\$ 3,670,702	\$ 3,651,536	\$ 3,656,609	\$ 3,637,679
Other Funds	\$ 3,444,246	\$ 4,881,991	\$ 3,848,048	\$ 4,054,742	\$ 3,171,957
CIP	\$ 427,822	\$ 2,237,307	\$ 1,524,988	\$ 1,764,300	\$ 2,273,727
Successor Agency	\$ 991,506	\$ 1,083,794	\$ 5,540,625	\$ 5,110,922	\$ 5,196,353
TOTALS:	\$ 8,512,696	\$11,873,794	\$14,565,197	\$14,586,573	\$14,279,716

The corresponding drop in overall City Budget is primarily attributed to a stagnant year in the Capital Improvement Project (CIP) budget, reflecting the permanent loss in redevelopment agency monies to perform local improvement projects, and a \$1.4 million decrease in the "Other Funds" category (i.e. Measure J one-time grant funds of \$1.2 million for an east Marsh Creek Road shoulder widening and safety project posted last year but construction in FY 2014-15).

The City Council ordered the proposed budgets set for Public Hearing at this time and date, and instructed staff to prepare the Budgets for adoption at this meeting.

FOOTNOTE ON BANK NOTES

Other than correction to the overall Budget Summary number concerning "Other Funds", no modification to the proposed City Budget has been submitted for City Council consideration.

As noted in the Budget Message, the City organization is a member of the California Public Employees Retirement System (CalPERS) rather than Social Security for its qualified permanent employees. CalPERS is the nation's largest public pension fund and it serves about 1.7 million members. Recently, the administration of CalPERS announced that it had posted a 12.5% annual earnings on the investment portfolio of the pension system. The Fund needed to earn at least 7.5% to match its assumed rate of return, a key component of CalPERS' rate-setting procedures and current pension fund health. According to CalPERS, its Fund assets are now at nearly \$258 billion, a pre-recession high five years after the global financial crisis wiped out more than one-third of its overall value. This announcement is better news for CalPERS, its employee membership, its employer membership, and the general taxpayer in California.

PROPOSITION 4 APPROPRIATIONS LIMITATION

In 1978, the California electorate voted to end the perceived runaway "tax and spend" practices of local governments by restricting the amount of annual growth in tax money expenditures allowed for each public entity. This tax limit, known as the "Gann

Appropriations Limitation" (Proposition No. 4), remains in law today. As part of the annual Budget cycle, it is incumbent upon each public entity to calculate and declare its associated compliance with this tax limitation.

For FY 2013-2014, the statutory tax limitation would allow the City of Clayton to spend \$8,858,696 in tax revenues over the next twelve months. The sparse City Budget submitted for adoption this year contains only \$4,173,719 in tax revenues, as defined by this law, still a far gap from the citizens-imposed Tax Limit allowed our City 35 years.

The reality of the above mathematical exercise is our City receives less than one-half (47.1%) of the containment-cap taxes permissible under this former proposition imposed on local governments by tax-weary voters in California. In other words (in tax limitation theory), the City of Clayton could receive and spend an additional \$4,684,977 of annual tax revenues in our local services, *if such taxes were approved or available from within our community.*

SUMMATION

Although still reeling from the demise of our local redevelopment agency and the re-scattering of former tax increment revenues to underlying public taxing agencies in our community, the City is fortunate this year not having to ward off the fire-breathing dragon inhabiting Sacramento from further consuming local-based revenues. The proposed fiscal year plan can be cast as a "bridge year" for the City as we await the promising climb in local real property taxes from the valley below.

Clayton has a legacy of being a quality community supported by quality public services and civic volunteerism. On behalf of the City organization, we look forward to working with the City Council in the coming fiscal year to maintain our pursuit of excellence in local government.

Respectfully submitted,



Gary A. Napper
City Manager

Attachments: Draft Resolution adopting City Budget [3 pp.]
 Gann Taxation Limit exhibit [1 pg.]
 Continuing Budget Resolution No.16-2013 [2 pp.]
 Elected Board Membership Expenses [1 pg.]
 Budget Message and Proposed FY 2103-14 City Budgets [July 16th meeting]

RESOLUTION NO. - 2013

A RESOLUTION ADOPTING THE ANNUAL BUDGET FOR THE CITY OF CLAYTON FOR THE 2013-2014 FISCAL YEAR COMMENCING JULY 1, 2013 AND ENDING JUNE 30, 2014, AND ADOPTING THE 2013- 2014 GANN APPROPRIATIONS LIMITATION

THE CITY COUNCIL City of Clayton, California

WHEREAS, on 16 July 2013 the City Manager caused to be prepared, submitted and presented to the Clayton City Council the proposed budgets for operation of the City of Clayton in Fiscal Year 2013-2014 commencing 01 July 2013; and

WHEREAS, by action on June 18, 2013 (City Resolution No. 16-2013), the City Council adopted a Continuing Budget Resolution to enable the minimal operation of its municipal government in the new fiscal year beginning 01 July 2013 and until adoption of a FY 2013-14 City Budget is achieved prior to 30 August 2013; and

WHEREAS, on 30 July 2013, a Public Hearing was set and duly held on the proposed budgets whereat opportunities were provided accordingly for members of the public to offer comments and provide input on the fiscal plans presented; and

WHEREAS, after due consideration and review, the Clayton City Council finds it is in the best interest of the general health, welfare and safety of this City, its citizens and businesspersons, to formally adopt a financial plan governing the receipt and expenditure of public monies in Fiscal Year 2013-2014.

NOW, THEREFORE, BE IT RESOLVED that the City Council of Clayton, California does hereby adopt the budget revenue by fund for the City of Clayton for the Fiscal Year commencing 01 July 2013 and ending 30 June 2014 as follows:

<u>FUND</u>	<u>2013-2014 REVENUE</u>
General Fund – Fund No. 101	\$ 3,673,442
Gas Taxes – Fund No. 201	\$ 319,032
Landscape Maintenance District – Fund No. 210	\$ 982,034
The Grove Park Maintenance District – Fund 211	\$ 128,834
Geological Hazard Abatement District – Fund No. 212	\$ 34,815
Street Light Assessment District – Fund No. 214	\$ 127,991
Storm Water Assessment – Fund No. 216	\$ 126,806
High Street Bridge Assessment District – Fund No. 217	\$ 4,370
Oak Street Bridge Assessment District – Fund No. 218	\$ 5,843
Measure “J” Fund – Fund No. 220	\$ 228,500
Lydia Lane Sewer Assessment District – Fund No. 222	\$ 20,000
Oak Street Sewer Assessment District – Fund No. 223	\$ 13,000
Restricted Grants – Fund No. 230	\$ 121,805
Diablo Estates at Clayton BAD – Fund No. 231	\$ 73,073
Development Impact Fees – Fund No. 304	\$ 10,000
Clayton Financing Authority – Fund 405	\$ 4,000
Middle School CFD – Fund No. 420	\$ 955,726
Clayton Station CFD – Fund No. 421	\$ 141,630
Middle School Refunding – Fund 422	\$ 442,273

Self Insurance Fund – Fund No. 501	\$	500
Capital Equipment Replacement Fund – Fund No. 502	\$	18,300
Community Gym – Fund No. 701	\$	24,000
Endeavor Hall – Fund No. 702	\$	15,775
Successor Agency & Successor Housing Agency	\$	991,506
TOTAL REVENUE:	\$	8,463,255 ; and

BE IT FURTHER RESOLVED that the budget appropriations by fund for the City of Clayton for the Fiscal Year beginning 01 July 2013 and ending 30 June 2014 are adopted as follows:

<u>FUND</u>	<u>2013-2014 APPROPRIATIONS</u>
General Fund – Fund No. 101	\$ 3,649,122
Gas Taxes – Fund No. 201	\$ 193,232
Landscape Maintenance District – Fund No. 210	\$ 959,998
The Grove Park Maintenance District – Fund No. 211	\$ 134,010
Geologic Hazard Abatement District – Fund No. 212	\$ 38,235
Street Light Assessment District – Fund No. 214	\$ 128,327
Storm Water Assessment – Fund No. 216	\$ 195,562
High Street Bridge Assessment District – Fund No. 217	\$ 2,385
Oak Street Bridge Assessment District – Fund No. 218	\$ 657
Measure “J” Fund – Fund No. 220	\$ 8,392
Lydia Lane Sewer Assessment District – Fund No. 222	\$ 19,914
Oak Street Sewer Assessment District – Fund No. 223	\$ 13,259
Restricted Grants – Fund No. 230	\$ 117,245
Diablo Estates at Clayton BAD – Fund No. 231	\$ 69,566
Development Impact Fees – Fund No. 304	\$ -0-
Clayton Financing Authority – Fund 405	\$ -0-
Middle School CFD – Fund No. 420	\$ 920,425
Clayton Station CFD – Fund No. 421	\$ 133,755
Middle School Refunding – Fund 422	\$ 447,349
Self Insurance Fund – Fund No. 501	\$ 9,296
Capital Equipment Replacement Fund – Fund No. 502	\$ -0-
Community Gym – Fund No. 701	\$ 24,000
Endeavor Hall – Fund No. 702	\$ 28,639
Successor Agency and Successor Housing Agency	\$ 991,506
TOTAL APPROPRIATIONS:	\$ 8,084,874 ; and

BE IT FURTHER RESOLVED that the 5-Year Capital Improvement Budget (CIP) of the City of Clayton for the fiscal years commencing 01 July 2013 and ending 30 June 2018 is hereby adopted with the following CIP Projects scheduled for undertaking in FY 2013-14:

<u>PROJECT</u>	<u>AMOUNT</u>
ADA Sidewalk Compliance Program	\$ 6,000
2014 Neighborhood Street Rehab Project	\$ 45,822
Utility Undergrounding Project (20A allocation)	\$ 21,000
2013 Neighborhood Street Repave Project (done)	\$ 315,000
Middle School Traffic Safety/Calming Devices	\$ 44,000
TOTAL CIP:	\$ 431,822 ; and

BE IT FURTHER RESOLVED the appropriations listed above constitute the budget for the Fiscal Year 2013-2014 and that the City Manager is herein authorized to transfer appropriations within the control accounts as deemed necessary, provided no change is made in the total amount designated for any one department; and

BE IT FURTHER RESOLVED that in the 2013-2014 Budget Document adopted herein, the adjusted base (annual Proposition 4 – Gann Appropriations Limitation) is calculated to be \$8,858,696 while the City's annual tax proceeds total \$4,173,719, an amount less than one-half (at 47.1%) of the City's allowable tax expenditure cap; and

BE IT FURTHER RESOLVED that the City Council of Clayton does hereby find and determine it has complied with all of the provisions of Article XIII B of the California Constitution in determining the annual Gann appropriations limit for Fiscal Year 2013 - 2014.

PASSED, APPROVED AND ADOPTED by the City Council of Clayton, California during a public hearing at a special public meeting thereof held on the 30th day of July 2013 by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

THE CITY COUNCIL OF CLAYTON, CA

Julie Pierce, Mayor

ATTEST:

Laci J. Jackson, City Clerk

GANN TAXATION LIMIT

FY 2013-2014

**(per voter-approved
PROP. 4 – 1978)**

1. CLAYTON'S TAX LIMIT:

\$ 8,858,696

2. CLAYTON'S TAX REVENUES:

\$ 4,173,719

47.1%

3. AVAILABLE ANNUAL TAX GAP:

\$ 4,684,977

RESOLUTION NO. 16 - 2013

**A RESOLUTION AUTHORIZING CONTINUING APPROPRIATIONS
AND EXPENDITURES FOR FISCAL YEAR 2013-14 ON THE BASIS
OF THE APPROVED FISCAL YEAR 2012-13 BUDGET FRAMEWORK,
UNTIL 30 AUGUST 2013 OR SOONER UPON THE CITY COUNCIL'S
ADOPTION OF A CITY BUDGET FOR FISCAL YEAR 2013-14**

**THE CITY COUNCIL
City of Clayton, California**

WHEREAS, by provisions of state law, municipalities and local governments are to have enacted and adopted an annual fiscal plan of revenues, operations and expenditures for the coming fiscal year by June 30th of each year; and

WHEREAS, the City staff is in the process of developing such an annual budget for Fiscal Year 2013-14 to commence 01 July 2013 but has been hampered in that process by the internally deficit conversion to and implementation of the City's financial software management system to Blackbaud's Financial Edge product in October 2010, followed by an unexpected retirement, delays, new workloads (i.e. dissolution of redevelopment agencies) and workers' compensation issues within the 1.5 person City Finance Department; and

WHEREAS, due to such operational issues the introduction and City Council adoption of a City Budget for Fiscal Year 2013-14 is delayed beyond the 30 June 2013 date to allow staff sufficient time for the completion of the budget development process; and

WHEREAS, during the delay and interim, the City of Clayton is required to make routine and monthly payments for goods, services and miscellaneous maintenance and operations to continue the day-to-day functions and services of the municipality; and

WHEREAS, the Clayton City Council desires to provide for the continued base operations of the City on and after 01 July 2013 and until such time as a new City Budget for Fiscal Year 2013-14 is adopted but not past 30 August 2013 without further public review and approval of the City Council for additional authorization of base operations; and

WHEREAS, Section 3.02.040 of the *Clayton Municipal Code* specifies that should the City not adopt its annual budget prior to the start of the fiscal year, the City Council shall adopt a resolution setting forth expenditure policies until such time a final budget is adopted; and

WHEREAS, to ensure the continued efficient operations of the City with the least disruption to the provision of its existing public services and employees, the City Council recognizes and acknowledges the City must at a minimum continue operation of its municipality based on the current level of expectant revenues and base operations for the interim period of time.

NOW, THEREFORE, BE IT RESOLVED by the City Council of Clayton, California as follows:

Section 1. The above Recitals are true and correct and are incorporated herein by reference and constitute a partial basis for the City Council's action in this regard.

Section 2. That during the time period of 01 July 2013 through 30 August 2013, or concluding sooner by adoption of a City Budget for Fiscal Year 2013-14, the City Council does hereby appropriate and authorize the payment of expenses and receipt of revenues for the base and general operations of the City at the current public service and staffing levels, excluding the expenditure of funds for new public programs, capital projects, capital equipment and/or staffing level increases.

Section 3. All obligations and expenditures shall be incurred and made in the manner provided by the provisions of state law and City resolutions applicable to purchases and contracts.

Section 4. No warrant shall be issued or indebtedness incurred which exceeds the unexpended balance of funds therein, unless such has been amended by a supplemental appropriation duly enacted by resolution of the Clayton City Council.

PASSED, APPROVED AND ADOPTED by the City Council of Clayton, California at a regular public meeting thereof held on the 18th day of June 2013 by the following vote:

AYES: Mayor Pierce, Vice Mayor Stratford, Councilmembers Diaz and Shuey.

NOES: None.

ABSTAIN: None.

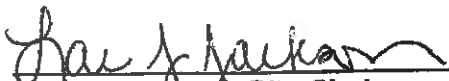
ABSENT: Councilmember Geller.

THE CITY COUNCIL OF CLAYTON, CALIFORNIA



Julie K. Pierce, Mayor

ATTEST:



Laci J. Jackson, City Clerk

ELECTED BOARD MEMBERSHIP EXPENSES*
Calendar Year 2012

Name
Dollar Amount Spent for each in 2012

	Mayor Julie Pierce \$5,376.48	Vice Mayor Hank Stratford \$5,376.48	Councilmember Jim Diaz \$390.00	Councilmember Howard Geller \$5,704.08	Councilmember David Shuey \$5,704.08
1 Board Meeting fees	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
2 Committee meeting fees	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3 Salary (annual base)	\$4,680.00	\$4,680.00	\$390.00	\$4,680.00	\$4,680.00
4 Total for Health benefits	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
5 Longevity pay	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
6 Incentive compensation amt	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
7 Life insurance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
8 Car allowance (annual or mileage)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
9 Travel (annual)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
10 Training	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11 Discretionary allowance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12 Employer paid deferred comp (i.e. 401a or 457)	\$696.48	\$696.48	\$0.00	\$1,024.08	\$1,024.08
13 Employer paid retirement contribution	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
14 Cell phone and/or internet allowance	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
15 Other (list benefit and amount)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
a) Mayors' Conference cost \$50 per meeting attended	X	X	X	X	X
b) East Bay Division, League of CA Cities meeting \$50 per		X			X

* As requested by Contra Costa County Civil Grand Jury
report No. 1104 (FY 10-11)

Agenda Date: 7-16-13

PROPOSED CITY BUDGET
2013 – 2014

Agenda Item: 9a

THE CITY COUNCIL

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PROPOSED AND PRESENTED BY:

GARY A. NAPPER, CITY MANAGER

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PREPARED BY:

MERRY PELLETIER, FINANCE MANAGER



CITY OF CLAYTON, CALIFORNIA
94517
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BUDGET MESSAGE

TO: HONORABLE MAYOR AND COUNCILMEMBERS

FROM: CITY MANAGER

MEETING DATE: 16 JULY 2013

**SUBJECT: PROPOSED CITY, SPECIAL FUNDS, AND CIP BUDGETS
FISCAL YEAR 2013 - 2014**

RECOMMENDATION

Following introduction and presentation of the proposed operations and capital improvements budgets for FY 2013 – 2014, it is recommended the City Council provide any policy direction and amendments accordingly for a Public Hearing and adoption of the City, Special Funds, and Capital Improvement Budgets at a special public meeting on 30 July 2013.

PROPOSED CITY BUDGETS

Presented herein for public review and consideration is the City's proposed budgets for FY 2013-14. It is a balanced budget as required by law, and the languished General Fund displays flickers of life with a "*conditional*" balanced budget of anticipated revenue exceeding expenditures by \$24,320, predicated on status quo labor concessions.

The chart below captures a five (5) year history of our City's overall budget:

CITY OF CLAYTON BUDGETS

<u>Budget</u>	<u>FY 13-14</u>	<u>FY 12-13</u>	<u>FY 11-12</u>	<u>FY 10-11</u>	<u>FY 09-10</u>
General Fund	\$ 3,649,122	\$ 3,670,702	\$ 3,651,536	\$ 3,656,609	\$ 3,637,679
Other Funds	\$ 7,540,717	\$ 4,881,991	\$ 3,848,048	\$ 4,054,742	\$ 3,171,957
CIP	\$ 427,822	\$ 2,237,307	\$ 1,524,988	\$ 1,764,300	\$ 2,273,727
Successor Agency	<u>\$ 991,506</u>	<u>\$ 1,083,794</u>	<u>\$ 5,540,625</u>	<u>\$ 5,110,922</u>	<u>\$ 5,196,353</u>
TOTALS:	\$12,609,167	\$11,873,794	\$14,565,197	\$14,586,573	\$14,279,716

PROPOSED TOTAL BUDGET

The combined financial program proposed for the City, its Other [Special & Enterprise] Funds, and its CIP Budget is \$12.6 million, an overall increase of \$735,373 (6.2%) over last year's approved Total Budget.

Actual revenues for the General Fund portion of the FY 2012–2013 City Budget are expected to land at \$3,673,442. Unlike last year's adopted budget which projected a possible **\$199,725** annual deficit, the proposed fiscal plan for the coming year suggests real property tax revenues are guardedly on the upswing due to elevated assessed valuation increases. With a combination of a brighter real estate market and the County Assessor marking upwards the devalued homes during the recession, Clayton's base economy of desirable real estate appears to be regaining some ground. However, local sales tax revenue generation flat-lined after a promising look at mid-year (February 2013) and interest earnings of the City suffered similar to all households and business budgets.

With the Clayton Redevelopment Agency (RDA) no longer in existence as of February 2012, the "Successor Agency" became the heir to the RDA. It now receives real property tax revenues sufficient to pay the debt service and enforceable obligations of the former RDA ... well, ahem, at least those approved by the California Department of Finance (DOF). It now appears the former tax increment revenue "wrap around" restored portions of this real property tax revenue back to the original underlying public taxing entities, and has pushed the City's slice of the assessed valuation tax roll from its former 4.3% to an approximate 6.9% allocation. In practical terms, the effect is illustrated by the following example:

<u>Residential Homeowner pays an AV Tax Bill of \$7,000 per year</u>	
Former Clayton General Fund share:	\$301.00 (4.3%)
New Clayton General Fund share:	\$483.00 (6.9%)
Difference:	\$182.00 (60% increase)

This upshot of the demise of redevelopment agencies has been a positive one for local taxing entities and is one of the primary reasons the City budget last year largely closed its deficit gap as \$213,140 in RPPTF revenue was returned to Clayton in FY 2012-13. This expectant revenue (actuals unknown-amount at time of budgeting) helped to offset the corresponding loss of \$200,000 to the City's General Fund for performing redevelopment agency administration and project management services.

GENERAL FUND REVENUES

One of the few benefits of preparing a municipal budget after the beginning of the fiscal year (note: not by choice, due to internal operational issues) is the fact the Contra Costa County Tax Assessor has officially released his assessed valuation (AV) calculations for this fiscal year. Most cities must guess at these outcomes when preparing budgets in the spring but Clayton gains the advantage of knowing the Assessor's submitted tax roll for FY 2013-14. Cities with the largest increase in assessed valuation from prior year

included Clayton in the second highest spot at 8.24% (increase in assessed valuation). Brentwood was the highest at 8.45% with Oakley third at 7.44%. The City of Richmond suffered the only percentage loss at -14.61%. Clayton's total real property net assessed value now stands at \$1.74 billion; that value is the second lowest in the County with San Pablo in the cellar. For comparison, the second smallest city in the County, Moraga, has a net assessed value of \$3.16 billion.

As noted above, this is sunnier news for Clayton coming off years of declining real property taxes, dismal sales tax generation, and plummeting interest earnings. When looking at a 12-year history of Clayton revenues (ref. attached table), it becomes clear that Clayton at most can only expect total revenues in the range of \$3.6 to \$3.8 million. Other than real property tax revenues, there exists little diverse revenue to spark climbs in new or existing local public services to our community. Although the announcement of prospective assessed valuation tax revenue growth in Clayton could cause municipal adrenalin to flow (at the sake of taxpayers...), the secured property tax revenues from last year came in at an **\$80,000** loss; it was only the fact the City did not budget for any former redevelopment agency tax revenues (\$213,140) that aided real property tax revenues to close at an overall positive of \$131,702. For FY 2103-14 the City can now budget the tax revenues plus factor-in more **assessed** valuation revenue beyond the mark realized last fiscal year (total of \$1.65 million; 45% of the City's General Fund revenue).

General Fund revenue is also the beneficiary of the positive uptick in the local real estate market as real property transfer taxes (\$62,770) are a related by-product of the real estate industry. The City's 1% slice (of the local 8.75% sales tax rate) appears to be remaining steady with annual revenue of \$271,083 (total), while City business license tax revenue is regaining lost ground at \$127,013 per year. Solid revenue performers are the City's franchise fees for solid waste collection, cable TV, utility and gas line consumer services; collectively, this category of General Fund revenue equals \$491,978 (13% of General Fund revenue). Once a harbinger for City revenue to the General Fund, interest earnings swooned during the recession and now offers less than half of its former contribution to the general revenue pie (\$60,000 in FY 2013-14).

One other significant source of general funds is the temporary infusion of \$250,000 per year for the City to manage the debt service and contractual obligations of the former redevelopment agency. As the Successor Agency retires its debt, this amount will dwindle until it disappears along with the Agency; a like portion of funds, however, will wrap around back to the City in the form of restored local property tax revenues (as noted above). Whether it will be a dollar-for-dollar replacement, less or more, remains to be seen once Agency debt and obligations are fully retired.

In summation, the projected FY 2013-14 General Fund revenue picture is \$3,673,442, an overall increase of 5.8% over the FY 2012-13 adopted budget. Relative to actual (estimated) revenue received last fiscal year (\$3,714,495), the FY 2013-14 revenue mark is slightly less due to a one-time revenue rebate of \$50,746 received last year from excessive Property Tax Administration Fees charged by Contra Costa County.

GENERAL FUND EXPENDITURES

With a 0.59% decrease in overall General Fund expenditures compared to last year, the narrative can best be described as “deja vue.” By action years ago the City eliminated virtually all expenses for employee training and attendance at information conferences, and even halted the expense of the bottled water cooler. Since labor negotiations with City Hall and Police public employees have not yet engaged while each 1-year contract expired on June 30, 2013, it is again noted this Budget assumes retaining the status quo on employee salaries and benefits. Should that outcome be different, modification of the Budget will be necessary with perhaps reliance on one-time transfer of funds since little annual revenue sources exist and the City Budget contains no contingency funds to address unexpected claims on the fiscal plan.

As a service-provider organization it is predictable the expense for Personnel Services consumes the majority of the General Fund. It holds steady at 70% of the overall \$3.67 million Budget (69% last year; 68% in FY 11-12; 72.5% in FY 10-11). The City provides public services, as does the vast portion of the national economy; America in many respects is no longer an industrial nation, it is a service-provider nation.

To aid the last 4 years of budget difficulties, labor concessions by the City's organization have largely shouldered the diminished revenue base. On-going employee concessions over the four years include: no merit salary increases, eleven (11) furlough days per fiscal year for Miscellaneous employees (4.3% cut in pay), no Holiday pay premium time for Public Safety employees, no Cost-of-Living adjustments (COLA's) for four years (loss in wage value by a 4-year CPI increase of 9.8%), and employees picking up more share of health and dental insurance premiums. Recognizing private sector and self-employed enterprises have suffered similar pains, there has been no corresponding reduction in public services provided to the community with this lessened revenue base.

The City's contract City Engineer (PERMCO), for the 6th straight year, has not sought an increase in retainer service payment. The contract City Attorney (Best Best & Krieger), which came onboard in August 2011, has also agreed to the same retainer schedule (\$96,000 per year base services fee) for the coming fiscal year. Clearly, the City organization is doing much to weather the recession and the economic storm in this heightened era of austerity.

Once again as in prior years, as an interim measure to bridge budget gaps, the proposed Budget halts the annual depreciation charge of \$97,582 to the City's Capital Equipment Replacement Fund (CERF). This internal service fund is normally replenished each year to underwrite automatic replacement of vehicles and other rolling stock as age and condition dictate. Its equity balance is marching dangerously low and represents yet another pressing need on the operation of the municipality (ref. Section K below in Restricted Funds).

Below is a recap of each General Fund Department in comparison to last year's Budget:

GENERAL FUND DEPARTMENTS

<u>DEPARTMENT</u>	<u>FY 13-14</u>	<u>FY 12-13</u>	<u>% CHANGE</u>
LEGISLATIVE	\$ 53,301	\$ 65,914	- 19.1% ¹
ADMIN/FINANCE	\$ 860,775	\$ 802,027	+ 7.3% ²
PUBLIC WORKS	\$ 115,282	\$ 112,257	+ 2.7%
COMMUNITY DEV.	\$ 258,939	\$ 264,221	- 2.0% ³
GENERAL SERVICES	\$ 136,799	\$ 149,373	- 8.4% ^o
POLICE	\$ 1,786,117	\$ 1,875,294	- 4.8% ^a
LIBRARY	\$ 149,341	\$ 129,551	+ 15.3% ^x
ENGINEERING	\$ 93,551	\$ 93,540	0%
COMMUNITY PARK	\$ 195,017	\$ 178,524	+ 9.2% ^c
TOTAL:	\$ 3,649,122	\$ 3,670,702	- 0.59%

Notes: ¹ No General Municipal Election expense this year.

² Part-time temporary Assistant Finance Manager to overcome workload backlog.

³ New departmental employees with less compensation and benefit plans.

^o General Liability insurance premium rebate.

^a New departmental employees with less compensation and benefit plans.

^x County charging more for extra and Sunday library operations; HVAC repairs.

^c More labor time expended on numerous water main leaks at the park.

Police Department operations slid to 49% (from 51%) of the General Fund Budget pie due primarily to new employees in lower compensation ranges and CalPERS pension plans. This statistic means that of every \$1.00 paid by taxpayers as general tax revenue to the City, approximately one-half of the tax monies (or 49¢ of every \$1) is used to provide local law enforcement services to the community.

FY 2012-13 GENERAL FUND SHORTFALL

The FY 2012-13 adopted City Budget forecast an annual deficit operation of approximately **\$200,000** in its General Fund caused by the dormant economy and a housing bubble burst coupled with the stunning State of California action to exterminate redevelopment agencies. During its operation and even at the mid-year budget review in February 2013, it appeared that despite various fluctuations in both revenues and expenditures, the General Fund budget would make up that deficit gap. In actuality it did on the revenue side of the equation as General Fund revenues exceeded budgeted estimates by \$243,181; however, that figure is also misleading since unbudgeted but expectant revenue from the demise of the Clayton Redevelopment Agency arrived in the form of \$213,140 former tax increment revenue. In addition, the cities' settlement with the County over disputed and excessive Property Tax Administration Fees (PTAF) netted a one-time windfall of \$50,746.

On the General Fund expenditure ledger, operations were projected to cost \$3,670,702 and in routine course the City usually operates below budgeted expense. However, with staff recommendation the City authorized encumbrances (key unspent expenses) amounting to \$155,578 (e.g. Deferred Maintenance Account of \$102,000) for expenditure in FY 2012-13, which action resulted in General Fund expenditures (unaudited) of \$3,747,027. The effect is a \$76,325 over-expended City operation last year.

Matching surplus revenue against an over-expended operation nets an annual deficit operation in the FY 2012-13 General Fund of **\$32,532**; or in other words, the City missed closing its original **\$200,000** budgeted gap by **0.87%**. While such budgetary finesse is not necessarily a resume-builder, it is nevertheless a remarkable conclusion to the great unknown wild fiscal year of 2012-13; and it is certain there are a host of local and state governments that would love to share financial news they missed an annually-balanced operation by less than 1%.

Caution is expressed here that the FY 2012-13 numbers being reviewed are very preliminary figures and have not been subjected to auditor critique. Once the Finance Department completes its delinquent audit for the FY 2011-12 operational year, the audit of FY 2012-13, which just closed on 30 June 2013, will commence. Therefore, this estimated deficit gap could close or it could widen. An additional financial lesson learned here is when encountering deficit budgets, do not allow carry-over encumbrances from the prior year.

GENERAL FUND EQUITY BALANCE

According to the City's unaudited financial statements, the un-earmarked fund balance of the General Fund on 30 June 2012 is \$5,496,164. Reducing that figure by the authorized FY 11-12 encumbrances of \$155,578 into the FY 12-13 Budget, the City's net unreserved fund balance (unaudited) on 01 July 2012 (start of last fiscal year) is \$5,340,586.

The City Council has directed an absolute minimum reserve of \$250,000 as its never-to-be-expended "catastrophic reserve", which practice is implemented (but which level should likely be elevated). The standing Policy Goal of the City Council is to establish and retain an undesignated reserve of 50% relative to the annual General Fund Budget.

It is calculated our City could close Fiscal Year 2012-2013 with receipted revenue less than expected expenses by **\$32,532**, a **0.87%** deficit operation. Unless City Council action is directed otherwise, this gap must ultimately be addressed using the reserves of the General Fund. Therefore, the opening unreserved General Fund balance of the City will decline to \$5,308,054 on 01 July 2013.

The City's reserve equity is therefore equal to 145% of our annual General Fund operations for FY 2013-2014. Subtracting the "untouchable" \$250,000 reserve lowers our true reserve equity to a position of 138.6% (\$5,058,054).

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SPECIAL REVENUE AND ENTERPRISE FUNDS

An appendage to the General Fund operations of the City, the City Council and staff are charged with stewardship over the provision of public services employing restricted-use monies. Referred to as “Special Revenue” or “Enterprise” Funds, strict controls and regulations are placed on their express purpose and expenditure. They are in essence self-contained operations yet form a critical portion of the overall City Budget as these Funds underwrite much of the public service and improvement mission of the City. Discussion of the fiscal status of each of these Special Funds takes place below:

A. Gas Tax Fund – No. 201

Derived from layers of state transportation taxes on the sale of gasoline [Street and Highway Code, Sections 2105, 2106, 2107 and 2107.5; voter-approved Proposition 42 “Traffic Congestion Relief Act” monies], this group of revenues is collectively referred to as our “Gas Tax Fund”. Local gas tax funds in the past have been a reliable source of funding for cities since the 1970s and are universally used to fund local road maintenance and repairs. Our City uses these monies to perform annual street re-striping and safety re-markings, traffic regulation and warning signs and replacements, resealing of street cracks, sidewalk and gutter repairs, replacement of street name signs, operation and repair of arterial street lights, and traffic signal maintenance.

Due to the City’s pattern of heavy reinvestment of Gas Tax funds into maintenance and repair of local streets and roads, our City has been successful in its upkeep of this infrastructure, investing approximately **\$6.4 million** over the last 9 years into street repaving and improvements. This accomplishment has enabled Clayton to consistently place in the Top 5 best overall street conditions within Contra Costa County, at No. 3 within Contra Costa County (the Bay Area average Pavement Condition Index is 66 PCI). Our City’s last-rated PCI was 79 (year 2012), which positioned our aggregate street conditions in the industry standard category of “Very Good”, the 2nd highest category to “Excellent” (PCI of 90-100). Note this placement was prior to our recent \$1.4 million 2013 Neighborhood Street Pavement Rehabilitation Project which concluded in late April 2013 and resulted in most community streets below a 60 PCI ranking being repaved (including infamous Regency Drive).

At this time total Gas Tax revenues are estimated to be \$319,032 for FY 2013-14, essentially a flat revenue line as newer vehicles on the road continue to obtain greater gas mileage per gallon and the unemployed or under-employed populace drives less miles. Should additional gas tax revenues materialize during the fiscal year, it will augment the amount of monies transferred to the City’s Capital Improvement Project Budget for a 2014 Neighborhood Street Repaving Project to be performed in the summer of 2014 (ref. CIP No. 10418). The City’s Gas Tax Fund opens this fiscal year with negative fund equity of **\$125,801** due to the fact the City consciously “banked” on using FY 2013-14 Gas Tax Revenues in FY 2012-13 to award a larger street repaving project for contract economies of scale.

After allocation of monies for basic transportation maintenance and operation expenses (e.g. electricity for arterial street lights at \$42,500; traffic signal maintenance performed by the County at \$26,000; City Maintenance personnel compensation of \$38,336 for labor on street maintenance tasks and traffic sign replacements; street light and lamp supplies at \$25,179), it is proposed this Fund transfers \$51,822 (16%) to the City's Capital Improvement Project Budget for the following street improvements and repairs:

2014 Neighborhood Street Project	\$ 45,822	CIP No. 10418
ADA Sidewalk/Parking Improvements	\$ 6,000	CIP No. 10394A

With negligible monies remaining for capital improvement purposes, no neighborhood street repaving project will be performed in FY 2013-14.

A "Collector Street Rehab Project" (CIP No. 10425) is targeted for FY 2014-15 using a portion of Gas Tax monies as the local match requirement to federal Local Street and Road Shortfall Fund monies (STP monies) expected for release in the coming fiscal year (\$361,438). These federal grant monies can only be used on a collector or arterial street (rather than on a residential local street); preliminary internal plans envision Keller Ridge Drive since the previously-contemplated street, Regency Drive, was done last year.

B. Citywide Landscape Maintenance District – Fund No. 210

In June 2007, Clayton voters approved a replacement real property special tax to continue funding the operation and maintenance of their citywide public landscaped areas. This annual tax is restricted to costs associated with: arterial and specified roadway medians and parkways, the trails system, the annual open space noxious weed abatement in Oakhurst hills, the annual open space and trails weed abatement for fire and public safety, landscape and turf irrigation and the monthly maintenance and special occasion/holiday operation of the "Clayton Fountain".

Maintenance of City Parks *is not* included as an authorized expense under the District's Act; park maintenance obligations fall to the City's General Fund. *Citywide public landscaping services have always been funded by a special revenue tax levied on private properties throughout the City.* The District is completed its 6th year of a 10-year approved operation (Measure B), and its citizens' oversight committee (Trails and Landscaping Committee, or TLC) meets periodically to ensure the promised maintenance standards and efficiencies are achieved with these special-purpose tax revenues.

Pursuant to the terms of voter-approved Measure B, the special tax rate may be modified annually by the change in the Consumer Price Index (CPI; San Francisco – Bay Area) from April to April. In no event shall the tax rate be increased by more than 3% each year. The CPI change (from April 2012 to April 2013) posted at 2.38%. The District's revenue projection has been increased by that factor accordingly and is set at a total of \$978,034 for FY 2013-14, an annual increase of \$23,330. Adding interest earnings of \$4,000 pushes the total revenue budget to \$982,034. Pursuant to its

oversight charge, the citizens' TLC considered the CPI fluctuation and the District's tentative budget at its meeting on May 20th and adopted a motion to recommend the City Council implement the full 2.38% CPI annual rate adjustment.

The Landscape Maintenance District aggressively installed a host of beautification improvements to the City's roadway landscaping over the course of the past three (3) years. An accounting of the District's activities and improvements included appropriations from the District's reserves in May 2010 (\$260,000) and led last year to the final completion of the Daffodil Hill Beautification Project (\$47,500 total; \$29,000 in Landscape District monies), funded jointly with the Clayton Business and Community Foundation (CBCA), along with \$50,000 worth of Trail System repaving in conjunction with the City's 2013 Neighborhood Street Rehabilitation Project. The April 2013 trail repaving addressed 10,000 sq. ft. on the Mt. Diablo Creek Trail (from Center Street to Grenache Circle), and 1,750 l.f. along the Donner Creek Trail adjacent to El Molino Drive, south of old Marsh Creek Road.

With the District investing almost \$750,000 in public landscape improvements, irrigation and Trail systems upgrades over the last three (3) years, the equity reserves of the District have been appropriately drawn down. Understandably then, the citizens' Trails and Landscaping Committee as well as City staff agree the District's improvement projects should be slightly curtailed this coming fiscal year in order to replenish the reserves for another large landscape project push. The Trails and Landscaping Committee at its last meeting also adopted priority emphasis that the next sequence of District improvements should concentrate on upgrading the District's [City's] Trail System. For now it is recommended only \$82,900 be expended in FY 2013-14 in District funds for the following capital improvements:

Open Space fire prevention tree and shrub trimming:	\$ 30,000
Keller Ridge Drive landscaping improvements:	\$ 29,400
Replace Irrigation System central control field panel:	\$ 20,000
Re-Landscape the Jeffrey Ranch median island:	<u>\$ 3,500</u>
Total:	\$ 82,900

The installation and commencement of these significant landscape restoration and District improvement projects demonstrate the District's success in managing the voter's preference for a "pay-as-you-go" ballot tax measure of capital improvements. The defeated Measure "O" (2005) would have issued a revenue bond in its initial year to attack the public improvements sooner but conservative Clayton voters frowned on the higher annual assessment and bonded indebtedness to be shouldered by the District. Entering the District's 7th year of operation still allows the accumulation of modest reserves to accomplish many of the planned objectives, just at a slightly later time than some might have wished.

Noteworthy expenses of the District include its annual weed abatement District-wide (\$30,385; to be done before commencement of the official fire season), and the mounting expense of \$59,000 to abate noxious and exotic weeds in the Oakhurst Hills

(a condition of project approval for the Oakhurst residential development). Metered water service remains constant at its historical expense of \$180,000 per year knowing full well it can fluctuate wildly depending on seasonal climates and strange weather patterns. However, as new landscaping improvements are installed, each is accompanied by irrigation system upgrades with greater efficiencies in water application, which temper this expense allocation and cause operational savings.

Personnel services for this labor-intensive work effort account for 37.5% of the District's budget this year (\$359,527), down slightly from last year's portion of 40% caused by less labor time being expended after new landscaping and irrigation systems are installed. Whenever possible, tasks within the District are assigned to temporary seasonal personnel that are less expensive labor (e.g. trimming), which shifts the permanent City Maintenance personnel (5) to tasks requiring journeyman-level experiences (e.g. irrigation line and system repairs).

As approved by Measure "B" voters, the District's budget includes an annual expense of \$20,000 (Account 7316) for the purchase of replacement plants. The District further contributes its annual allocation of \$13,500 to the City's Capital Equipment Replacement Fund (CERF), from which Maintenance vehicles and equipment are purchased for use in maintaining the Landscape District. An expense of \$32,156 (3.3% of annual District revenue) is transferred to the City's General Fund to pay for administrative and overhead activities of the City (e.g. telephones; payroll processing; accounts receivable and payable; District direction) attributable to administering the District's annual operations.

With all of these actions, the District's ending fund balance on 30 June 2014 will still be a healthy \$294,043, which equates to a reserve of 30% of its annual tax revenue. The reserve status is confirmation the City does not siphon "surplus" monies of the District into its General Fund but uses the special tax revenues for its intended voter purposes.

C. The Grove Park – Fund No. 211

The Grove Park officially opened to the community on 12 January 2008 and on 29 May 2008, City Maintenance assumed full responsibility for the care and maintenance of The Grove Park. On Opening Day, the public park immediately became the signature statement of our community, and ever since it has been the popular gathering place for residents and visitors to the Clayton Town Center.

Voters approved a special property tax in November 2006 to maintain the park for 10 years (levy first collected in FY 07-08) so this year constitutes the 6th year of its special assessment revenue existence. The Downtown Park real property assessment will yield \$116,834 in the coming year (with the recommended allowable CPI (April-April) tax rate adjustment of 2.38%). This Restricted-Use Fund will receive its sixth installment of the very generous \$10,000 annual "donation" (for ten years) from the land owners (Endashiiian, Inc.), developers of the CVS/Pharmacy store site (formerly Longs Drugs Store). That annual donation is deposited and remains in The Grove Park Fund.

Bolstered by these revenues and interest earnings, the Downtown Park Fund maintains a positive cash balance expected to be \$280,766 at the end of FY 12-13 with equity rising to \$298,609 at the close of this fiscal year. Of that amount, \$103,858 sits in the Asset Replacement Reserve approved in the adoption of Measure O, \$169,928 in unreserved Fund Balance, and \$30,000 in unallocated Reserve funds. During FY 2103-14, City staff will be evaluating and ultimately propose to the City Council a series of improvements and replacement projects within The Grove Park to sustain its hallmark appearance. Examples of probable upgrades include replacing the resilient play surface in the Tot Lot and at the Water Play Feature as well as the synthetic turf surrounding the Children Statuary. These park features require replacement due to significant public use.

During last year's operation, more staff time and attention became necessary to keep The Grove Park in tip-top shape. However, maintenance and operation of The Grove still only incurred \$129,033 in expenses offset by revenues totaling \$129,805, resulting in a restricted Fund operating (annual) surplus of \$772. As the Park continues to mature and its public attraction increase, more City Maintenance personnel time is deliberately budgeted to keep The Grove in a condition worthy of the City's signature piece. Notably, the Park continues to experience repeat vandalism to its gazebo and play apparatus which misfortunes are expected to continue while the sputtering economy and dwindling household incomes keep youth, families and area visitors around town during the summer and holidays. During the summer and on Concert Series weekends, a part-time Maintenance Worker is assigned to perform routine maintenance and oversight tasks at the Park to ensure this highly-visible asset shines for our community. The nearby municipal well provides the irrigation and non-potable water needs of The Grove Park, which saves considerable taxpayers' monies compared to the metered water prices of Contra Costa Water District.

These one-time and higher City Maintenance expenses (e.g. park tree trimming) result in a projected operational plan of \$134,010 that nips on the reserves by **\$5,176**. Last year's budget also anticipated a negative draw of **\$5,898** on reserves, yet it did not materialize (+\$772). Stripping away the required Annual Reserve and Asset Replacement expense deposits of \$23,000, The Grove Park pragmatically operates on a budget of \$111,010, adequately within its annual tax revenues by approximately \$5,824 (+5.0%). The District's reserves remain sufficient beyond its normal yearly operation and as noted, will likely be tapped by separate requested action of the City Council in FY 2103-14 to make replacement improvements at The Grove.

D. Oakhurst Geological Hazard Abatement District [GHAD] - Fund No. 212

Formed by the City Council during the construction of the Oakhurst Development Project, this special assessment district encompasses all of the lots and open spaces within the Oakhurst Development. The GHAD has the authority but not the obligation to perform repairs to public, or authorized private, properties caused by certain geologic hazards such as landslides within this area. In order to fund any such operations, the

GHAD Board of Directors (City Council) is required by state law to receive affirmative vote by real property owners within the District for any increase in the assessment rate. Insufficient assessment revenues have always existed to perform much of the identified or speculated hillside repairs; property owners within this District have rejected any assessment increase three (3) times in the past. The District Manager (the contract City Engineer) manages the District and provides a separate budget and annual report to the Board of Directors in June of each year, which action took place on 18 June 2013.

Due to the restricted amount of voter-approved assessments, the GHAD levies an annual assessment that generally produces the same amount of revenue each year for general geologic hazard abatement purposes within the Oakhurst Development. For FY 13-14, assessment revenue flat lines at \$34,685; it is unlikely property owners within the Assessment District would approve a significant rate increase sufficient to arrest or mitigate hillside movements. Interest earnings are essentially non-existent for this Fund (\$130), which operates for most of the 12 months in a cash-flow deficit. The Fund's assessment revenues are not received by the GHAD [City as its agent] until property owners pay their property tax bills in December and again in April each year.

Nominal management expenses proposed for the District this year include \$3,000 for City Engineering services (District Manager) and \$1,000 allocated for specialized legal services. Both of these expense allocations are lesser amounts than previous years' to help absorb a new District expense (\$11,700) of monitoring the recently-installed Kelok Way hydrogers, underground dewatering devices in the hillside. Although litigation has been settled, the GHAD is still internally assessed a share of the City's General Liability Insurance premium increases propelled by the Oakhurst hillside movement litigation, which served lawsuits against the GHAD as well as the City. That annual expense (\$13,750) must remain for several years (3) following settlement of the litigation as those defense expenses still impact the annual calculation of the City's General Liability Insurance premium for that prospective time period (ref. Account No. 8101).

A base transfer of \$6,323 (also included within Account No. 8101's total of \$20,073) to the City's General Fund for general administrative and clerical support services is standard procedure necessary to sustain the bare existence of the District (18.2% overhead). County administration fees to levy, collect and disburse the District's property tax bill assessment are set at \$1,400.

As proposed, annual District revenues are marginally insufficient to cover the annual planned expenses. The annual gap of **\$3,420** allows little room for unanticipated expenses within FY 2013-14 and necessitates yet another annual draw on District reserves to fund limited operations. However, the District opens the fiscal year with a positive reserve balance of \$23,506 due to the receipt of \$11,488 in litigation settlement proceeds following completion of the contractor-installed Kelok Way hydrogers. The advent of this one-time revenue "windfall" aids the District's capacity to handle the new well monitoring expense and it will close with a positive Fund Balance of \$20,086 on 30 June 2014.

No District capital projects are proposed for the coming fiscal year due to lack of further fiscal resources authorized by vote of the District's real property owners'. Clearly, no geologic hazards can be abated in exchange for \$34,685 per year. The District maintains its legal life with the foresight and wisdom that affected property owners might someday wish to, or need to, proactively utilize this lawful instrument to address hillside movement remediation.

E. Street Light Fund – No. 214

This is a special assessment fund collected through the real property tax bill on Clayton residential properties [current assessment ranges from \$8.34 - \$43.54 per residential unit per year]. Since 1996-97 (for 17 years), the City **has not requested or increased the rate charged to real property owners** for the public street lights in their neighborhoods. These assessments are restricted for public street light operations and maintenance *within residential neighborhoods*. We expect to realize approximately the same amount in revenues as last year (\$125,991) since this assessment can only be increased by affirmative vote of the assessed property owners (Proposition 218 voter requirements). A 10-year trend analysis of our operational experience with these residential street lights reveals the actual cost of electricity and maintenance expenses fluctuates slightly with a nominal excess or shortfall in revenue, which the Fund's reserve adequately covers in the latter event.

In order to continue providing current services within the existing assessment rate, we expect to slightly draw on existing reserves, this year by approximately **\$336**, which deficit is significantly less than expected in previous years (FY 12-13: **\$3,551** budgeted; FY 11-12: **\$6,287** actual). The sole differential for the deficit reduction is the legal ruling no mailed notice to property owners is necessary if the annual rate to be assessed remains identical to the previous year. This determination saved the Fund approximately \$3,000 per year in legal notice expense and mailing and partly caused the Fund to operate in the black during FY 2012-13.

With an opening equity balance of \$154,061 projected on 01 July 2013 (primarily due to less electrical charges in FY 2012-13), there is no justification to approach voters to increase this tax assessment. Even at this nominal reserve use trend, the equity life of the reserve is 458 years at an annual flat subsidy calculation, or 8.2 years if the subsidy wildly doubled each year hereafter, an improbable possibility. Past history reveals the former trend is the likely occurrence. This Fund is projected to close FY 2013-14 with an equity balance of \$153,725.

It has been 17 years since neighborhood street light benefit assessment rates were raised, and the law is clear voter approval is mandatory to do so. Conversely, should the annual assessment be lowered by City Council action (under a public policy theory that plentiful reserves should become a pseudo rebate to taxpayers), the lowered street light rate is then locked in and cannot return to its previous rate in the next or subsequent years without an affirmative vote of the property owners (per Prop 218). It

is further noted the equity position of this Fund does not incorporate an amortization program for replacement of aging or deteriorated street light poles.

F. Storm Water Fund – No. 216

This account manages the special parcel tax (labeled “ERUs” for Equivalent Runoff Units) levied locally to assist the City in compliance with unfunded State-mandated regulations through our National Pollution Discharge Elimination System (NPDES) Permit. It has been confirmed by case law (recently challenged and lost by southern California cities) that Regional Water Quality Control Boards do indeed have authority to levy unfunded mandates against pollution discharges (cities and counties) by virtue of the federal Clean Water Act and the California Porter-Cologne Water Quality Control Act.

By previous Council action long ago, this real property tax levy was maximized at its allowable cap yet now nets the City \$83,949 for local use in FY 2013-2014 (plus interest earnings of \$1,000). In reality, the parcel tax generates higher gross revenues (\$127,014). However, the following purposes snag portions of the City’s local levy before ever touching our local coffers:

Contra Costa [Cities] Clean Water Program	\$ 25,265
Commercial Building Inspections by Sanitary District	\$ 8,000
County Auditor-Controller administration fee	\$ 3,800
Reserve Fund for the Clean Water Program	\$ 3,000
Flood Control District management expense	<u>\$ 3,000</u>

Total Revenue Offsets: \$ 43,065 (34%)

In addition the City must pay an annual NPDES Regional Discharger Fee to the state in the amount of \$9,529 (higher than last year’s \$7,259 fee). Who says local revenue assessments stay local?

The City’s 5-year Stormwater Permit (MRP) is issued by the San Francisco Regional Water Quality Control Board. Public agencies, including Clayton, are now under requirements to elevate enforcement and monitoring measures each year to ensure cleaner storm waters. Such Permit conditions necessitate ever-increasing expenditures which will eventually consume current levy revenues. Initial staff analyses reveal an additional \$225,000 to \$515,000 in annual costs could someday impact the City’s fiscal operations for this purpose alone. Only a Proposition 218 voter approval process can increase the levied rates. The failure of the Clean Water Coalition’s Proposition 218 ballot last year to raise levy revenues turned aside a potential \$93,700 for use in meeting state unfunded mandates for cleaner storm waters.

In the FY 13-14 Budget, the City’s stormwater costs under the Permit regulations exceed available revenues by **\$68,756**, although the FY 12-13 is expected to incur a smaller annual deficit of **\$2,102**. Fortunately for the moment there is a reserve balance

in this restricted-use fund so storm water monies are available to cover the projected shortfall. The reserve balance is eroding over past years as a result of added Permit requirements imposed by the Regional Board in 1996 (referred to as "C-3 amendments") and the new MRP.

Labor expense from this Fund (\$45,403) covers Public Works' maintenance of our municipal storm drain system, annual debris clearance of creeks and V-ditches, and proactive measures for the prevention of pollutants into these waters, which ultimately emerge into the San Francisco – Oakland Bay. Educational materials and supplies are also part of this Fund's budget, along with our membership in the Contra Costa County Clean Water Program. Recoverable expenses include that portion of staff time when working on clean water issues, programs, while Regional Water Quality Control Board directives target specific programs (e.g. "diaper" inserts in storm drain inlets) and local enforcement (e.g. fines). City Hall staff (Assistant to the City Manager) expends an inordinate portion of time (approximately 1/3rd or more) engaged in the management, administration and implementation of this federal and state mandated program for cleaner runoff waters. Hence, the transfer of \$33,183 to the City's General Fund to partially offset this incurred staff time, no increase over prior years' stagnant amount due to the fading reserves.

The Fund opens the fiscal year with approximately \$176,013 in equity and projects a year-end balance on 30 June 2014 of \$107,256, a 39% loss in reserves. At that rate, the Storm Water Fund will become depleted in 2.5 years and as feared, the only sources of discretionary funds to patch the mandated gap are General Fund operational monies or use of General Fund Reserves.

The monthly street sweeping contract of \$43,000 is paid through this Fund as a program component of cleaner storm waters from street gutters; offsetting revenue is tendered by real property owners through their trash bills (\$38,720). The revenue estimate is slightly lower (10%) than the annual contract fee due to the revolving number of vacant and foreclosed homes in Clayton (closed accounts) and various delinquent and non-paying accounts slicing away at the revenue stream.

Larger first-time expenses are being absorbed into this Fund for Engineering Services (\$10,000) and Other Professional Services (\$37,649). Necessary Engineering Services will provide the City's response to the state mandate to perform "trash management area mapping", an increase of \$3,000. Other Professional Services reflect increased state mandated programs involving drainage inlet insert cleanings (\$10,000), drainage inlet confined space cleaning (\$10,000), drainage inlet inspections and reports (\$3,000) and bioswale inspections and reports (\$2,000)

As a reminder: public streets and gutters are swept monthly to mitigate pollutants from entering the storm drain system, not for street aesthetics or as the substitute broom for an abutting property owner's sweeping/clearance of leaves and debris from the front and/or side yard curbs of one's property.

G. Measure "J" Fund – No. 220

This special fund originated by revenues from the \$0.05 sales tax levy approved by County voters in 1988 (Measure C) to provide regional and local transportation and street improvements, a growth management process, and a regional planning process to address quality of life issues. One of the program components of the Measure is its "Return to Local Source" monies wherein cities fully complying with the Measure's Growth Management Program (GMP) Checklist are eligible to receive an annual allocation of monies for local streets and roads maintenance. Disbursement of these monies hinges on a city earning and maintaining a certified housing element (either by the California Department of Housing and Community Development (HCD) or via self certification), and filing a biennial Compliance Checklist. The Contra Costa Transportation Authority (CCTA), cities and the county of Contra Costa were successful in 2004 in obtaining voter approval of Measure J, which extended the authorization of the current sales tax in the County for an additional 25 years beyond Measure C's expiration on 31 March 2009. Measure J is now in effect.

On July 15, 2010 the City received written confirmation from HCD that found "... *Clayton's adopted element in compliance with State housing element law.*" With acceptance of our Compliance Checklist 2012 to CCTA for approval, the City is eligible to receive its full Measure J "Return to Local Source" funds in FY 2013-14 sometime in mid-September 2013. This is "good news" because by previous City Council action, authority was granted for inclusion of this year's allocation into the construction budget of our 2013 Neighborhood Street Repaving Project that was recently completed in April 2013.

This fiscal year the City is informed by CCTA we can expect to receive our pre-committed Measure J "Return to Local Source" in an amount totaling \$227,000. As with all taxes subject to consumer spending patterns, CCTA is estimating sales tax revenues to grow more slowly by approximately 1.5% countywide.

A one-time Measure J revenue grant in the amount of \$1,022,224 was budgeted last fiscal for the purpose of making improvements to a segment of east Marsh Creek Road. Inserted as Capital Improvement Project No. 10414 in the CIP Budget, the project envisions widening existing roadway from Regency Drive to the eastern edge of City limits on Marsh Creek Road to provide two full-width travel lanes, continuation of bicycle lanes, shoulders and pedestrian pathways. Preliminary design has been conducted on the Project but actual receipt of the grant funds and its field implementation is planned for next year in 2014-15.

Consequently, with the pre-commitment of this year's Measure J monies to last fiscal year's street repaving project and the Measure J grant monies for CIP No. 10414 not materializing until FY 2014-15, no Measure J monies are planned for transfer to the City's Capital Improvement Project budget. Other nominal expenses include \$4,392 for updated traffic speed surveys on arterial roadways and \$4,000 to the City's General Fund for management and administration (1.76% overhead).

H. Restricted Grants (Fund No. 230)

This account is the repository for State and other subvention grants restricted for the authorized purpose. Given today's nature of state and federal government fiscal health, limited activities and grant monies wind their way to our City.

1. COPS Grant Program

Entitled the "Citizen's Option for Public Safety (COPS), the source and retention of these grant monies (now funded by a portion of the formerly-local Vehicle License Fees (VLF)) have become one of the proverbial Sacramento ping pong balls in recent years. Normally during State Budget debate the question of whether the state can continue to fund this grant program in deficit years has caromed off the hallowed halls as a budget hostage. Inevitably, the COPS Grant Program survives and monies once again flow to local agencies (oh, the irony of the state using formerly "local" revenues...) for restricted use in "front-line law enforcement purposes."

This year with a magically-healthy State Budget, no such ping pong match occurred. Consequently the FY 2013-14 City Budget can post the minimum \$100,000 entitlement this year to continue underwriting the expense of our 11th sworn police officer that works patrol in the community. Since Program inception in FY 1996-97, our City has allocated COPS grant monies to pay a portion of salaries and benefits for our 11th sworn police officer position and two (2) civilian police services aides. Two fiscal years ago, internal calculations revealed the total expense for those three (3) positions exceeded the COPS Grant annual allocation of \$100,000 causing the City to reluctantly terminate the two (2) vacant civilian police services aide positions for budget savings. As it remains, the 11th police officer position exceeds the annual COPS Grant funds by approximately \$10,000 each year but the excess is covered by the dispersal methodology of the COPS Grant funding cycle. Ultimately, should the COPS Grant Program cease in funding, the City will have a residual expense liability of that amount to cover.

2. Other Grant Funds

Augmenting the COPS grant monies, this Fund expects receipt of \$14,805 in annual revenue from our cable communications franchise company (Comcast) for restricted use in Public, Education & Government (PEG) broadcast services and equipment. The City is once again approved to receive another \$5,000 grant from the CA Department of Beverage Control (DBC) as a Recycling Grant to promote, capture and reuse the public's recycling of cans and bottles.

On the expense side of the ledger, \$7,183 is needed for the City's portion of the jointly-shared PEG broadcast channel on which channel our City shows taped meetings of the Clayton City Council and displays other public/community information (Comcast Channel 26).

The Restricted Grants Fund will close the proposed fiscal year with a healthy fund balance of \$265,640, which amount is primarily derived of \$91,572 and \$88,188 from Comcast through its franchise contract renewal for restricted use to create replay of City

Council meetings and PEG access, respectively. The remaining portion of \$28,986 is the accumulation of Recycling Beverage grant monies over several years, which may be used for permanent recycling collection bins and/or recycled-material street or park furnishings (e.g. public benches) at selected locations.

I. Diablo Estates Benefit Assessment District – Fund 231

This is the first fiscal year for the establishment and inclusion of a new fund to manage the assessment district for the newly-inhabited Diablo Estates at Clayton subdivision on the former Seminary Hill off Regency Drive. This 25 lot residential subdivision levies itself through the City for monies to oversee the private infrastructures, landscape and open grassy areas in the subdivision. The fund functions essentially as a depository account from which the City contracts with and pays for a property management company to actually perform and execute the subdivision maintenance and infrastructure repairs.

During last fiscal year, assessment revenue was received in the amount of \$73,595 with offsetting expenses of \$48,210. The primary expense was for the assessment benefit district property management firm (\$47,486). To front-load District operations, the developer paid an initial deposit of \$25,000 in FY 2011-12, which will be refunded to the developer when sufficient reserves exist to carry District operations until the property assessment levies are received. The Fund is projected to have a positive fund balance at the end of this fiscal year of \$53,874.

J. Self-Insurance Fund – No. 501

This internal-service Fund manages the fiscal obligations of the City's self-insured program for our retention deductible (\$5,000 per claim) on initial claims filed against the City for general liability and workers' compensation. Pursuant to our membership in the Municipal Pooling Authority of northern California ("MPA"; a municipal self-insured/pooled risk excess coverage joint powers authority (JPA)), our City is responsible for payment of the first \$5,000 in expense and/or damage on each filed claim. This Fund also handles other periodic legal expenses to defend the City's interest in related cases. A traditional expense hitting this Fund is the annual premium (now \$1,296 from last year's \$1,220) to underwrite an Employee Assistance Program (EAP), a shared public entity consortium for employee good-health counseling and wellness services benefiting our permanent organization.

In recent fiscal years, the equity balance in this non-revenue generating Fund was eroded for City-initiated litigation legal counsel against the MPA for an insurance coverage dispute involving the Kelok Way hillside claims movements, as well as for paying the City's share of joint legal expenses in disputes with the County of Contra Costa pertaining to excessive Property Tax Administration Fees (PTAF) and the Chevron real property assessed valuation appeals and payment methodologies. With the positive settlement of most of those issues, expenses going forward from this Fund ought to be minimal yet these unexpected activities did drain the fund balance. The

Special Legal Services of \$3,000 is the estimate for continued pursuit of the City's interests in the Chevron assessed valuation cases.

The effect of those expenses is the fund balance dramatically dropped from \$69,310 on 01 July 2010 to an expectant \$24,092 at the close of 30 June 2013 (65% erosion).

Since the Fund has no automatic replenishing source of revenue, the City must soon consider transferring monies into this Fund from an unrestricted source at some point to maintain its solvency (e.g. deposit of \$50,000?).

K. Capital Equipment Replacement Fund (CERF) – No. 502

This Fund functions as the City's depreciation account to underwrite the replacement expense of City vehicles, computers and other capital equipment. The CERF opens FY 2013-2014 with a cash balance of only \$87,371. In robust fiscal years, a General Fund annual expense (posted revenue) to CERF of \$97,582 was levied internally to this sinking fund in the form of CERF charges to various General Fund departments (e.g. Police; Public Works; Admin.) via expense Account No. 7486.

However, over the last several budgets (including this one) the General Fund suspended its replenishment of this sinking fund contribution due to declining revenues (the economy) and rising uncontrolled fixed costs. The once-comparatively healthy cash balance of this Fund provided the temporary comfort margin. Those previous actions were initially deemed interim yet must again be employed in FY 2013-14 to weather the General Fund's climb out of its slump. Last year's upward trek was hindered by the abrupt demise of the Clayton Redevelopment Agency compounded by the CA Department of Finance's rejection for the repayment of two City loans valued at \$976,900 from the former Clayton Redevelopment Agency.

Prior to the enactment of Measure B (LMD) by the voters in June 2007, the General Fund of the City was the sole contributor to this vehicle and equipment depreciation schedule. Since the Landscape Maintenance District (LMD) now consumes 57% of Public Works' personnel time, voter-approved Measure B included a CERF expense in the public ballot measure to proportionately assist in the replacement of capital equipment and trucks used in the District. The FY 2013-14 CERF Budget shows incoming revenues from the Landscape Maintenance District of \$13,500 added to the voter-approved \$2,700 CERF charge in the Downtown Park Fund. This provides a total of \$16,200 in CERF contributions (plus \$2,100 interest earnings) for a base revenue stream of \$18,300.

Last fiscal year two (2) capital replacement items were approved for purchase, with four (4) items purchased by City Council approval (extra police vehicle and a mower trailer):

- | | |
|--|-----------|
| 1. Replaced two (2) old Ford Crown Victoria police vehicles | \$ 71,377 |
| 2. Replaced a Year 1995 Toro Riding Mower with mulching deck | \$ 22,690 |
| 3. Acquired a used Turf Star trailer to haul the new Turf Star mower | \$ 1,500 |

The Police Department actually purchased two (2) new patrol vehicles last fiscal year: one as the routine annual replacement, and the second during the last half of the fiscal year as an advance purchase on this fiscal year's replacement due to the manufacturer phase-out of Ford Crown Victoria patrol cars. That action negates the necessity to replace a patrol vehicle this fiscal year.

Evaluating capital equipment replacement needs of the organization, the most pressing need is a new tractor for the Maintenance Department as the current 1991 model is 22 years old and is starting to require more repairs than running time. However, a new tractor hovers in the \$50,000 price range, which purchase would expend almost 50% of the Fund's cash balance (\$87,370 equity + \$18,300 CERF contribution = \$105,670). Allowing the CERF fund to slip to around \$55,000 is not recommended, although clearly understanding the tractor replacement need does not disappear either.

One of the Council policy decisions when considering the outcome of the FY 2012-13 Audit is whether surplus General Fund monies exist to replenish this depleted Fund.

Consequently, it is proposed no capital equipment replacements occur at this time. The net effect of this proposed expenditure plan is the Capital Equipment Replacement Fund (CERF) will have a cash balance of \$105,670 on 30 June 2014.

L. Community Gym (Fund No. 701)

This Fund was established in 2003 after the City executed an agreement with the Mt. Diablo Region YMCA for their development and provision of community recreation and enrichment programs at the Clayton Community Gym. The Gym is a joint construction – joint use public facility sited on the campus of the Diablo View Middle School.

The City has the right but not the obligation to use the Gym for community programs and events from 3:30 p.m. to 11:00 p.m. during school days, and from 8:00 a.m. to 11:00 p.m. during non-school days (including weekends). Use of the Gym during such times incurs School District expenses for custodial labor, custodial supplies and utilities, which expenses must be paid by the City via its Gym operator. In February 2010, the Mt. Diablo Region YMCA abruptly and shockingly terminated its agreement with the City, effective 31 March 2010, due to insolvency, which eventual YMCA bankruptcy left the City with unreimbursed School District expenses now totaling \$100,679. The City filed an unsecured creditor's claim against this YMCA in its bankruptcy proceedings seeking full repayment from liquidated assets. The YMCA's bankruptcy assets have now been liquidated and the City, as an unsecured claimant, received its one and only share of the assets in the amount of \$9,989.54 (9.92% paid on the claim amount). This unfortunate affair results in a City net loss of **\$90,689.67**.

Responding to community outcry to have continued public access to the Gym for adult and youth recreation programs and sports leagues, in June 2010 the City contracted with All Out Sports League (AOSL) for this non-profit 501 (c) (3) organization to be its

new operator and provider of community programs. The agreement specifies AOSL is responsible to reimburse the City its incurred custodial and utility expenses. By way of email to the City in June 2013, AOSL again expressed its written desire to continue its contractual relationship with the City through FY 2013-14 for programming and operation of community recreation programs at the Gym. The City and the School District have still not resolved its differences regarding fair cost apportionments or methodologies for custodian labor expenses and utility costs at the Gym.

Since this Fund operates on the basis of AOSL paying what the School District ultimately bills (in accord with City agreement), the \$24,000 guesstimate posted for the FY 2013-14 Budget is merely a placeholder. As a hedge against potentially-incurred bills of the School District, the Fund has an equity balance of \$56,471.

M. Endeavor Hall (Fund No. 702)

This separate fund was established in the 2002-2003 Budget to track specific revenues and expenses of the million dollar historic Endeavor Hall renovation. Since the Hall's opening in 2001 the rental rates for use of this public facility have not increased and therefore it remains highly competitive in the rental facility market for smaller gatherings.

More potential users and actual renters have discovered the advantages of Endeavor Hall for events, meetings, and special occasions, and the budding Clayton Theatre Company recently used the Hall for several fundraising productions (comedy). However, despite concerted advertising, marketing efforts and promotional "free" community-type uses, the rental income for the Hall in FY 2012-13 is expected to land below its 9-year average annual revenue mark of \$20,000 (\$14,168 projected). This mark will be the lowest revenue-generating year in the Hall's operation by \$23, not a claim of infamy relished by the City. We continue to hope for better times and rentals.

Minimal City Maintenance time (\$11,835) must be allocated for upkeep of the facility, sufficient to keep it prim and proper and planted with annual color plants for suitable use. Two years ago the stage curtains/drapes were replaced to offer even a nicer internal ambiance to the facility. Naturally, when vandalism occurs to the Hall extra expenses are incurred to make the necessary repairs. Total expenses in FY 2013-14 are budgeted at \$26,639, approximately \$2,000 less than last year.

The Fund is expected to close FY 2012-13 with a net operational shortfall of **\$11,488**. Based upon its rental performance this last year, it is projected the accumulative net operating shortfall could increase to **\$12,864** during FY 2013-14. Since the average renter of Endeavor Hall generates \$570 in rental income all that is necessary for this Fund to break even in operation next year is an average of two (2) more rentals per month.

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FIDUCIARY FUNDS

Tracked by the City and included herein for reference, Fiduciary Funds of the City are not typically considered part of a one's "budget" since the City essentially serves as the fiscal conduit (fiscal agent) to collect the previously-approved assessments and then pay the incurred obligations. No underlying debt obligation of the City is assumed in administering the fiscal transactions of these funds. With secured sources of income, these Funds are not held hostage to the volatility of general governmental purpose revenues or state government shenanigans. Fiduciary Funds often operate with negative cash flow balances and therefore can have interest charges applied for temporary reliance on the use of pooled reserves to underwrite their annual operations.

A. Presley GHAD Settlement (Fund 213)

In 2003 the City and GHAD settled its lawsuit against Presley regarding damages to City infrastructures in the Kelok Way area of the Oakhurst Development. After reimbursement to the City of advanced legal expenses, proceeds from the settlement were retained in a separate fund for use to clean V-ditches in the area, monitor hillside movement and explore mitigation options to protect public infrastructures in the Development. During FY 2010-11, funds were appropriated from this reserve (\$110,000) to perform road resurfacings in the Development in conjunction with an annual Neighborhood Street Project (CIP No. 10409). No monies from this Fund were expended in FY 2012-13 and none are expected in FY 2013-14 as deficit-prone GHAD budget received \$11,488 in residual monies from the Kelok Way Remediation Project. Therefore, the Fund anticipates no expenses with an ending balance of \$122,013 on 30 June 2014. These monies may yet be tapped for further area repairs to damaged public infrastructure and/or arrest hillside movement in the future, as well as deficit operations.

B. High Street Bridge (Fund No. 217) and Oak Street Bridge (Fund No. 218)

These assessments are levied against certain private property owners in the Oak – High Streets area to maintain the bridges constructed in these areas in behalf of the owners to serve their private real properties. The High Street Bridge project is repaid over thirty (30) years, with debt finally retired in 2029. The Oak Street Bridge project is repaid over twenty (20) years with its debt ultimately retired in 2020. Each Fund incurs minimal annual expenses for its share of the County's property tax administration fees, and Fund 217 includes property taxes levied on public property contained within that special assessment district. Both Funds will carry slowly-declining negative balances of (\$10,725) and (\$35,312), respectively on 30 June 2014, until the full levy of real property assessments retire the incurred debt.

C. Lydia Lane Sewer Assessment District (Fund No.222)

Established and funded solely by private property owners along south Lydia Lane, this infrastructure improvement project included a municipal sewer main line, lateral connections to the homes plus an associated street overlay. The project was funded by the private property owners since they “opted out” of inclusion in the original Project Area of the newly-formed Clayton Redevelopment Agency (1987) and therefore became ineligible for Agency funds to address a public health and safety blight by installation of a municipal sewer line to eliminate their private septic tank systems. The project was completed in 2003 with bonds issued by the City for repayment over a thirty (30) year life. Parcel assessments produce \$19,000 in FY 2103-14. Principal and interest payments for this fiscal year total \$16,750 or 84% of the Fund’s annual expenses. The Fund manages the retirement of this private debt obligation shouldered by the assessed real property owners, which reveals a positive Fund Balance of \$87,282 expected on 30 June 2014 (equity retained for any late or defaulted payments).

D. Oak Street Sewer Assessment District (Fund No. 223)

This neighborhood sewer project was completed in 2004. The former Clayton Redevelopment Agency paid for a portion of the project (50%) under its mission to eliminate public health and safety concerns, and the adjacent real property owners agreed to annual parcel assessments for the balance of the capital expense. Annual parcel assessments produce \$13,000 in District revenue. Principal and interest payments total \$11,350 or 86% of the Fund’s annual expenses. This Fund manages the retirement of the private debt over twenty-five (25) years, paid off in 2029. The Fund carries a positive equity balance of \$7,940 estimated for 30 June 2014.

E. Development Impact Fees (Fund No. 304)

This restricted-use fund holds the impact fees the City presently imposes on and extracts from new developments within our community. Revenues are private development driven and restricted for use on the purpose of the impact fee. Although it is unknown exactly when any proposed development comes “on-line” thereby triggering the payment of these impact fees, staff does not wish to budget for new development to occur and not be realized.

The renamed “Diablo Estates at Clayton” residential subdivision (off Regency Drive) with its 24 lots was the sole contributor (\$122,270) in FY 2012-13 to the impact mitigation accounts in this Fund. That subdivision is nearly complete and no development projects are in sight this fiscal year to trigger impact fees. There are several prospective private development projects in the pipeline for consideration (e.g. Silver Oaks Estates; Creekside Terrace), but each is not ripe quite yet to forecast new income streams.

Therefore, the following balances display actual (2013) and projected (2014) impact fees, respectively:

<u>DEV. IMPACT FUND</u>	<u>JULY 2013</u>	<u>JULY 2014</u>
Childcare Facility Fees	\$ 38,397	\$ 38,397
Parkland Dedication Fees	\$ 58,415	\$ 58,415
Offsite Arterial Improvement Fees	\$ 153,074	\$ 153,074
Fire Protection Fees	\$ 2,100	\$ 2,100
Community Facility Fees	\$ 3,150	\$ 3,150
Sewer Study Impact Fees (MCR)	\$ (66,756)*	\$ (69,037)*
Police Services Impact Fees	\$ 38,110	\$ 38,110
Open Space In-Lieu Fees	\$ 123,036	\$ 123,036
Unallocated Interest Earnings	\$ 16,589	\$ 10,000
Totals:	\$ 366,115*	\$ 373,834*

* The Sewer Impact Fee Fund "borrowed" \$60,000 from the City's General Fund during 2004-2006 for the purpose of preparing a Sewer Master Plan for the [East] Marsh Creek Road Specific Plan annexation study. This account is ultimately responsible for the repayment of these advanced monies from future development impact fees.

These monies are restricted to capital improvement projects *and cannot be used for operations or maintenance*, with the exception of the Police Impact Fees. This Fund is expected to have a total of \$373,834 in its ending cash position on 30 June 2014.

F. Clayton Financing Authority (Fund 405)

On 04 December 1990, the City Council of Clayton, California adopted Resolution No. 120-90, which created the Clayton Financing Authority (CFA) through a joint exercise of powers agreement. This Financing Authority was initially established to allow redevelopment tax allocation bonds to be sold at a more favorable negotiation basis versus a public basis. The Financing Authority is registered with the State of California Controller's Office and is subject to the laws pertaining to special districts. As a legal, separate public entity, the Authority is required to file an Annual Report with the State of California [ref. Section 26909, CA Government Code].

Arising from matters associated with the Oakhurst Development Project, the Financing Authority held title to a 1-acre parcel located at the southwest corner of the Clayton Road-Oakhurst Drive-Center Street intersection. In October 2006, the Financing Authority sold the real property for \$800,815 to a commercial developer (Endashian, Inc.), which ultimately led to the construction and opening of the former Longs Drug Store at this location (now CVS/Pharmacy). A small portion of these monies was used in the 2008 park renovations at Clayton Community Park (tot lot and picnic facility improvements). During FY 2012-13, the City Council authorized the use of a portion of these discretionary funds to resurface the public parking lots at Clayton Community Library, Clayton City Hall, and at Lydia Lane Park. The amount transferred from Fund 405 to the Capital Improvement Project budget was \$90,000, pending final project reconciliation

With that allocated expense from the Fund's proceeds in FY 2012-13, the ending balance on 30 June 2012 decreased to \$716,582 (almost 90% of its original principal amount). Combined with nominal interest rate earnings this fiscal year, the Fund could close on 30 June 2014 with cash equity of \$720,582.

G. Middle School Community Facilities District (1990-1) – Fund No. 420

As its name implies, this Fund manages the accumulation of annual real property assessments that paid (in part) for the construction of the Diablo View Middle School, a 2007 and 2013 recognized CA Distinguished School. Professional debt administration expenses are incurred to manage the retirement of this Fund, which is projected to have an ending Fund Balance of \$4.218 million on 30 June 2014; assessment revenue (\$955,726) will be slightly more than debt obligation (\$892,656) by \$63,070 this fiscal year. Principal and interest payments amount to \$485,115 (52.7% of the planned expenses) plus a transfer of \$407,541 to Fund No. 422. During FY 2007-08 the outstanding debt was refunded to obtain a lower interest rate on the remaining principal (see Fund No. 422), a City-initiated transaction which resulted in lower annual payments for the assessed real property owners of this District (Oakhurst Development properties). The remainder of the expense tab pays for required debt administration costs.

H. Clayton Station Community Facilities District (1990-2) – Fund No. 421

This debt service account pays the obligations of a small special assessment commercial district that assisted in the installation of on-site and offsite capital improvements to facilitate the construction of the private development known as the "Clayton Station" commercial shopping center. The debt is retired solely through the annual assessment levy (\$141,630) against this private real property; a principal and interest payment of \$121,364 is scheduled for this fiscal year. The Fund expects an ending balance of \$333,448 on 30 June 2014. During the course of this fiscal year, staff will examine whether sufficient revenues have been levied and collected to fully retire the debt obligation and dissolve the Community Facilities District (CFD).

I. Special Tax Revenue Refunding Bonds (2007) – Fund No. 422

In 1997 the Clayton Financing Authority issued \$7.16 million in Special Tax Bonds to finance in part the construction of the Diablo View Middle School (ref. Fund No. 420). Approximately \$5.285 million remained outstanding on the debt carrying a final maturity date of 2022. In May 2007 the Authority's Board of Directors (City Council) determined it was financially advantageous to Oakhurst Development real property owners for the Authority to refinance the outstanding debt to achieve savings in annual debt payments. Upon its issuance the refunding action captured a savings of \$600,000 to the District rate payers which saved individual property owners approximately \$20 - \$51 per year. Bond interest rates fell from the range of 5.25% - 5.90% down to 3.5% - 4.2%.

This year the principal and interest payment totals \$442,273, drawing on planned reserves by **\$5,076** to do so while addressing fund bond administration fees and costs. The Fund is projected to close this fiscal year with a balance of \$607,902.

* * * * *

CAPITAL IMPROVEMENT PROGRAM (CIP)

With the demise of redevelopment agency monies for capital improvement projects, the City now has limited financial capacity to complete key capital improvement projects. Primarily using restricted-use monies, the City was still able to accomplish the following capital projects during last fiscal year:

1. 2013 Neighborhood Street Repaving Project (CIP No. 10417) \$ 1.27 million
Using Gas Tax and Measure J monies accumulated from previous fiscal years plus borrowing into FY 2013-14 allocations, the City was able to assemble a substantial local street rehabilitation project that addressed approximately 30 streets having the worst Pavement Condition Indexes in the community. The project was substantially complete last year but has not been processed for a Notice of Completion pending punch-list finalization. This project significantly elevated the overall condition of Clayton's local streets to "Very Good" ranking.
2. Downtown Land Acquisition (CIP No. 10400A) \$ 1,040,843
Using monies set aside in the Capital Improvement Project Budget for Downtown Economic Development purposes, the City temporarily diversified its investment portfolio and purchased the vacant real property between Main Street and Clayton Road (behind the Clayton Community Church). The parcel consists of approximately 1.67 acres of land zoned for mixed use commercial development, and the land cost itself was \$1 million. The City currently has the property posted for sale and development.
3. Public Parking Lots Resurfacing (CIP Nos. 10426,10427,10428) \$ 140,000
Coupled with the Neighborhood Street Repaving Project, the City allocated monies in the Clayton Financing Authority's discretion purview to repave the public parking lot at the Clayton Community Library, Clayton City Hall, and Lydia Lane Park. The work was completed in FY 2012-13 but awaits final project approval and allocation of costs.
4. 2012 Trail System Repaving Project (CIP No. 10429) \$ 50,000
Through the use of Citywide Landscape Maintenance District monies, segments of the Mt. Diablo Creek and Donner Creek Trails were resurfaced in conjunction with the Neighborhood Street Repaving Project

Total Capital Investment in FY 2012-13: **\$ 2,500,843**

The following capital improvement project monies have been allocated in FY 2013-14 but only one is scheduled for completion this fiscal year (due to limited funds):

1. ADA Compliance Program (CIP No. 10394A) \$6,000
Each year the City sets aside \$6,000 of its annual Gas Tax revenues to build up sufficient revenues to perform handicap ramp corner curb cuts on public sidewalks. In addition to installing these ADA ramps where none exist, federal standards as to ramp specifications were modified in July 2008 requiring revamping of existing ramps when street or sidewalk projects are installed in the adjacent area. These monies may also be used to repaint and remark existing ADA public parking spaces to current standard.

2. 2014 Neighborhood Street Rehab Project (CIP No. 10418) \$ 45,822
The City consciously advanced funds from this year's Gas Tax and Measure J monies (for local transportation purposes) to the 2013 Neighborhood Street Repaving Project to address more streets with favorable unit pricing (ref. \$110,000 in CIP No. 10417). Consequently, limited funds remain in FY 2013-14 to perform any meaningful street contract work. Available monies are accumulated in this CIP account for another street improvement project in calendar year 2014.

3. Diablo View Middle School Traffic Safety Signage (CIP No. 10433) \$ 40,000
This pedestrian safety and traffic calming project involves the installation of two (2) solar-powered traffic speed devices on Marsh Creek Road adjacent to the Diablo View Middle School. The funds are actually grant monies awarded the middle school and the City by the Contra Costa 511 Program, under its "Street Smarts Infrastructure Program". Installation is expected early this fiscal year.

All total, the proposed Capital Improvement Projects for this Fiscal Year represent an investment of over \$2.6 million of the public's monies to maintain and improve the infrastructure of this small community.

* * * * *

PUBLIC EMPLOYEES RETIREMENT PENSION SYSTEM

Eleven years after its incorporation as a municipality in 1964, the City of Clayton joined the California Public Employees Retirement System (CalPERS) to establish a pension system for its public employees (June 1975). At that time it contracted for a retirement system plan of 2% at age 55 for its sworn law enforcement officers and 2% at age 60 for its general (miscellaneous) employees. Each enrolled plan was the least "defined benefit" plan offered by CalPERS. For the next 37 years and continuing today, the

permanent employees of the City are members of CalPERS for retirement pension purposes. The City organization does not belong to Social Security; therefore, its employees rely on this public pension system as its primary retirement program.

After researching records of the City, a plan change occurred in 1997 when the City moved its law enforcement employees from the CalPERS 2% at 55 Plan to a 2% at age 50 Plan; miscellaneous employees were kept on the 2% at age 60 Plan. Presumably at that time the City elevated the retirement benefits of its Police Department in order to attract and retain quality sworn personnel in the competitive public sector market. In early 2001 the retirement plans were again modified (through the collective bargaining process) to the existing contracts of 3% at age 55 for Public Safety (sworn law enforcement) and 2% at age 55 for the Miscellaneous Unit (civilian). CalPERS also initiated unilateral action to eliminate small-employer public agency members from consideration as independent agencies and "pooled" them together to share some of the pension risk. This CalPERS action caused most of the small employers to suddenly have an "unfunded liability" which Clayton has now been reducing overtime within its Employer pension rates.

In recent years, coinciding with the abrupt downturn in the national and local economies and the wave of retiring Baby Boomers (born 1946 - 1964), the press and the public have waged a vigorous debate and exposé concerning the amount and scope of unfunded liabilities of governments [taxpayers] for the CalPERS defined benefit retirement plan. Public policies have been attacked, modified, reformed and threatened over the course of this examination and it continues today in the form of state legislation reform bills, statewide initiatives and castigating editorial opinions. The state of California enacted its own Pension Reform Act effective January 2013 that created a new defined benefit pension system for newly-enrolled CalPERS-covered employees.

Acting in advance of most public agencies, the City created a Tier 2 Plan for employees new to the City commencing January 2011 for all new hires of the City. To further mitigate its unfunded liability exposure, the Clayton City Council took action in March 2012 to prohibit all future local elected officials (i.e. city council members) from becoming members of the CalPERS pension plan. As of now, one (1) of the five (5) Clayton City Council Members is not a member of CalPERS and therefore pays into the Social Security federal program.

As a consequence of these steps, the City of Clayton now has three (3) separate CalPERS pension plans for its employees:

1. Tier 1 Plan covers existing City employees prior to January 2011. No future employees of the City can ever become a Tier 1 Plan enrollee; this pool of existing employees will diminish in number as these employees move to other employment or retire from the City.
2. Tier 2 Plan covers City employees hired during January 2011 through December 2012, plus any new employee to our City that comes from an employer enrolled in a CalPERS pension system (without a break in service longer than 6 months).

3. Tier 3 Plan (aka the “Brown Plan” named after its originator, Governor Jerry Brown). This Plan automatically covers any new employee to our City not previously a member of CalPERS.

Each Plan summarized above differs in the type of defined benefit plan (e.g. 2% at age 60 – Miscellaneous) and employee contribution rates (e.g. employee pays 100% of the Employee rate), as noted below in the footnotes.

In the spirit of that discussion and to provide proper transparency of the City’s fiscal position, the following chart with notations is offered (using the most current data supplied to the City by CalPERS):

Unfunded CalPERS Liability for Clayton

UNIT	JUNE 2012	JUNE 2011	JUNE 2004	JUNE 1999	JUNE 1994
Public Safety	\$ 770,449	\$ 853,030	\$1,158,768	\$ 88,430	\$ 605,835
Misc.	\$ 447,669	\$ 478,096	\$ 549,429	(\$ 579,148)	(\$ 7,213)
Totals	\$1,218,118	\$1,331,126	\$1,708,197	(\$490,718)	\$ 598,622

According to CalPERS actuarial data, the City’s unfunded liability for the Tier 1 Public Safety unit will be retired in FY 2017-18 (5 years) while the Tier 1 Miscellaneous unit unfunded liability will be retired in FY 2019-20 (7 years). The retirement of this debt is accomplished within existing Employer-paid CalPERS rates of the City. When the unfunded liabilities are retired, it will lower the City’s Employer rate by 16.873 points for the Public Safety unit and by 5.949 points for the Miscellaneous unit, which are substantial reductions for the Tier 1 rates.

Notes:

1. This June 2012 data is the latest information released by CalPERS; there is always a one year time lag in their generation of this data.
2. The City altered its CalPERS retirement plans in early 2001, moving from 2% at 60 to 2% at 55 for the Miscellaneous Unit, and from 2% at 50 to 3% at 55 for the Public Safety Unit. Neither of those Plans is the premium pension plan offered by CalPERS for either Unit.
3. In January 2011 the City Council enacted a contract that new employees of this City be enrolled in the 2% at 60 pension plan for the Miscellaneous Unit and the 2% at 50 pension plan for the Public Safety Unit. One new employee is now on the Tier II Plan.
4. Numbers bracketed or in **green** indicate that particular plan had a surplus balance at the time (referred to as “superfunded”).
5. Public Safety (Tier I) rate for Employer in FY 2013-14 is 38.163%, up by 1.233 points from FY 2012-13 (rate was 36.930%; a 3.34% rate jump). CalPERS

estimates the FY 2014-15 Employer rate will go up to 39.7% (4.03% increase). The Employee portion of 9% currently paid by the City is in addition to this rate (combined = 47.163% in FY 13-14).

6. Misc. Unit (Tier 1) rate for Employer in FY 2013-14 is 16.445%, up by 0.78 points from FY 2012-13 (rate was 15.665%; a 4.98% rate jump). CalPERS estimates the FY 2014-15 Employer rate will rise to 17.3% (5.2% increase). The Employee portion of 7% currently paid by the City is in addition to this rate (combined = 22.665%).
7. Public Safety (Tier 2) rate for Employer in FY 2013-14 is 19.90% (up from 19.204% last fiscal year). The Employee portion of 9% is paid 100% by the employee.
8. Miscellaneous (Tier 2) rate for Employer in FY 2013-14 is 8.049% (up from 7.846% last fiscal year). The Employee portion of 7% is paid 100% by the employee.
9. Public Safety (Tier 3) rate for Employer and Employee in FY 2013-14 is 11.5%, for each. The CalPERS defined benefit plan for Tier 3 is 2.7% at age 57.
10. Miscellaneous (Tier 3) rate for Employer and Employee in FY 2013-14 is 6.25%, for each. The CalPERS defined benefit plan for Tier 3 is 2% at age 62.

In the proposed fiscal plan for 2013-14, these CalPERS financial impacts have been folded into this balanced budget but are still subject to the employer-employee collective bargaining process that has been deferred until release of this Budget. The total amount of monies allocated to pay the CalPERS retirement contributions in FY 2013-14 is \$505,941 across all Funds (down by \$106,989 from last fiscal year) as apportioned to labor allocations. The forced migration of new City employees to less expensive CalPERS defined benefit plans created the savings plus the fact newer employees typically enter City employment at less salary than the predecessor. Of the annual CalPERS payment by the City, the following table lists the contributing distribution:

Police Department	58%
Admin/Finance Department	21%
Public Works Department	14%
Planning Department	4%
City Council	1%

The purpose of this information is not to diminish or dismiss the seriousness of the unfunded liability retirement debate, and certainly the City's current amount of unfunded pension liability of \$1.2 million is not comparatively insignificant. During the last fiscal year alone that amount was lessened by \$113,008. The City's exposure is being amortized within the current rate schedule and the chart above illustrates the liability is trending downward, not upward. What is critical to incorporate into the discussion is the acknowledgement that not every city or local government is in the same precarious predicament, and not every city or local government has the "Cadillac" retirement plan that is offered through CalPERS. Caution is suggested so as not to cast all public

pension plans, pensioners, cities and public employees into the same cauldron to burn while gnawing on the most egregious examples of compensation spiking and retirement pay.

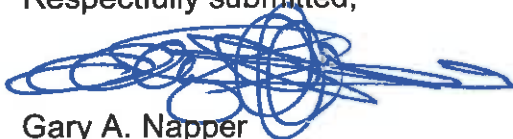
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COUNCIL BUDGET SUB-COMMITTEE REVIEW

The Council-appointed ad-hoc committee of Vice Mayor Stratford and Council Member Diaz met with the City Manager and the Finance Manager on July 10th to review and critique the assembled budget data and available materials. Of express concern was whether the City is carrying a structural deficit that requires corrective action at this point, and was the City able to close or mitigate the FY 2012-13 budget gap of **\$199,725**. It was the judgment of the Council Budget Sub-Committee that one-time revenues (PTAF refund of \$50,746)) and the unbudgeted return of former redevelopment agency real property tax revenues of \$213,000 to the General Fund enabled the City to withstand continued losses in sales tax revenue (**\$58,515**), secured property tax revenues (**\$81,010**), and downward spiraling interest earnings (**\$84,252**). That experience coupled with expectations of rising real property assessed valuations in Clayton was sufficient to press forward with a marginally-balanced budget in FY 2013-14, but one predicated on the outcome of upcoming labor negotiations.

Appreciation is extended to the Council Budget Sub-Committee and to key staff members (Finance Manager Merry Pelletier) for the diligence in trying to master an ever-changing landscape of municipal budgeting in today's world. We look forward to working with the City Council in continued earnestness to provide the best public services to the best community around.

Respectfully submitted,



Gary A. Napper
City Manager

Attachments: Proposed FY 2013-2014 City Budget and 5-Year CIP Budget
General Fund Revenues 12-Year History chart [1 pg.]

GENERAL FUND

REVENUES

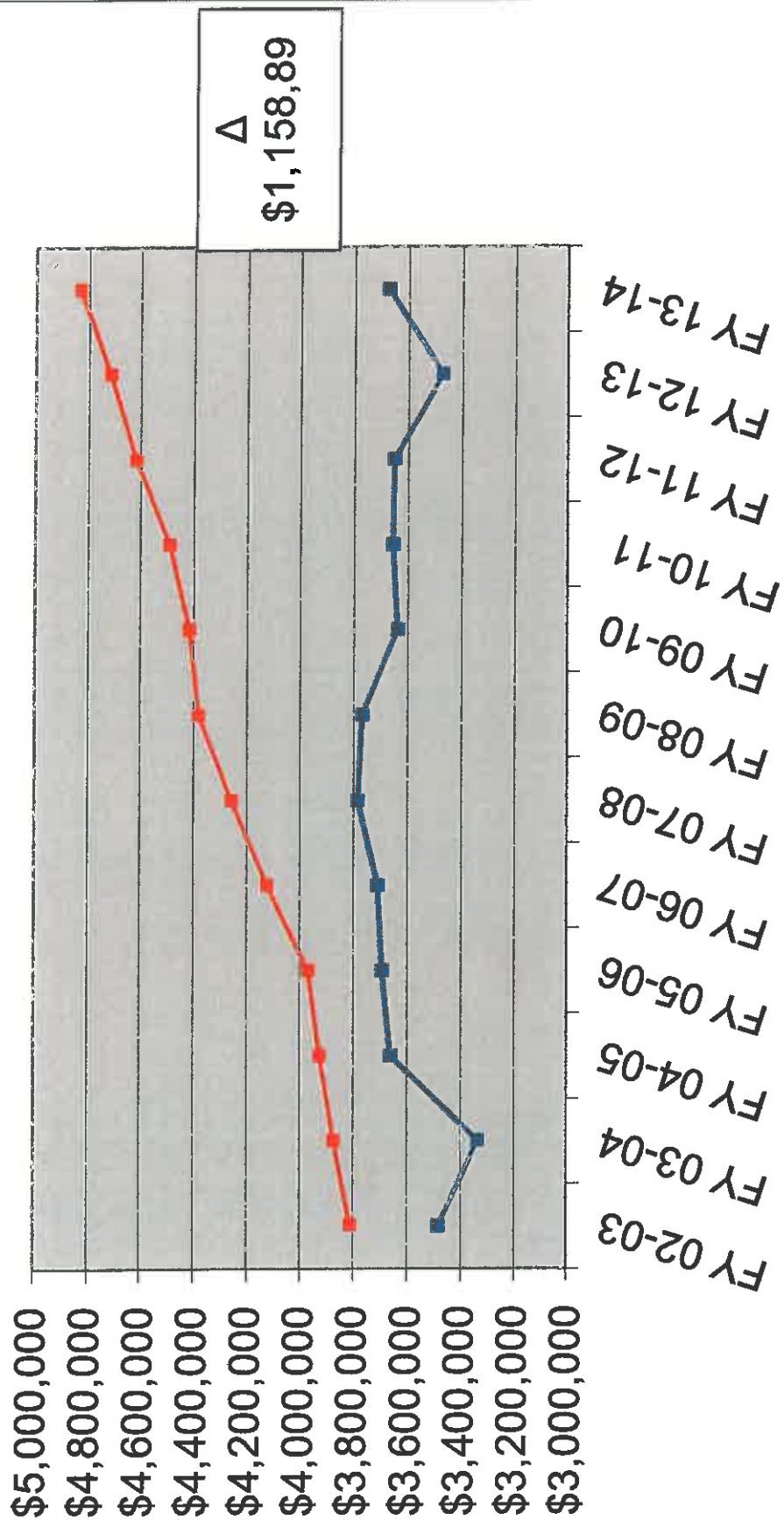
AND

EXPENDITURES

CITY OF CLAYTON ADOPTED GENERAL FUND REVENUE BUDGET
PROJECTED REVENUE 2012-13 VS PROPOSED BUDGETED REVENUE 2013-14

DESCRIPTION	Account Number	Actual Revenue 6/30/2012	Budgeted Revenue 2012-13	YTD Revenue 6/14/2013	Projected Revenue 6/30/2013	Projected (Shortage) 2012-13	Proposed Revenue 2013-14	Budgeted Changes 12-13 vs 13-14
Property Tax Secured	4101	696,933	646,046	574,826	605,724	(40,322)	617,839	-4%
VLF Backfill by State		747,290	747,300	716,911	716,911	(30,389)	731,249	-2%
Sales Tax In-Lieu (Triple Flip)		91,840	91,900	89,323	89,323	(2,577)	89,323	-3%
RPPTF Residual	4108	128,417	-	213,140	213,140	213,140	217,403	
True Up VLF & Sales Tax		5,097	5,100	(3,050)	(3,050)	(8,150)	-	
Property Tax Secured (net)		1,669,577	1,490,346	1,591,150	1,622,048	131,702	1,655,814	11%
Property Tax Unsecured	4102	40,332	38,276	38,568	38,568	292	38,600	0.8%
Property Tax Unitary Tax	4103	11,904	11,309	11,175	11,763	454	12,000	6%
Property Tax Supplemental	4104	11,103	-	1,257	5,257	5,257	5,512	
Property Taxes Other	4106	11,470	5,672	5,227	5,227	(445)	5,332	-6%
Sales Tax	4301	266,246	263,000	204,485	269,734	6,734	271,083	3%
Real Property Transfer Tax	4502	46,922	41,500	53,163	61,539	20,039	62,770	51%
Business License	5101	114,748	112,500	123,736	124,036	11,536	127,013	13%
Building Permit	5103	83,151	32,000	56,306	61,306	29,306	62,503	95%
City Permits	5106	6,949	7,134	5,424	6,324	(810)	6,600	-7%
Stormwater Permits	5109	4,613	4,400	4,506	4,506	106	4,500	100%
State of CA Business License Fee	5110	-	-	121	121	121	-	
Public Safety Tax (Prop 172)	5201	67,643	63,000	59,125	67,125	4,125	67,125	7%
Abandoned Vehicle Abate Fee	5202	5,861	3,500	2,672	3,500	-	3,500	0%
Motor Vehicle License Fee	5203	11,074	-	-	-	-	-	
Other In-lieu (Diamond Terrace)	5205	143,060	145,921	72,960	145,921	-	148,839	2%
POST Reimbursements	5214	5,679	5,500	2,888	3,400	(2,100)	3,400	-38%
State Mandated Cost Reimbursement	5217	884	884	2,715	2,715	1,831	2,000	0%
Planning Services	5301	7,114	6,500	7,179	7,200	700	7,200	11%
Police Services	5302	30,282	27,300	22,226	25,956	(1,344)	26,000	-5%
City Hall Rental Fees	5303	65	-	1,253	1,253	1,253	-	100%
Planning Project Fees	5304	31,409	25,000	22,729	23,829	(1,171)	24,000	-4%
Well Water Usage	5306	35,446	25,000	32,590	37,590	12,590	37,600	50%
Misc. City Services	5319	3,482	3,300	3,330	3,330	30	3,300	0%
Well Monitoring Service Charge	5321	18,978	18,858	13,919	17,432	(1,427)	18,978	1%
City Administrative Fee-Oh Rec	5322	34,204	34,541	34,204	34,204	(337)	33,805	-2%
Cable TV Franchise Fees	5401	174,900	175,000	136,409	181,409	6,409	182,724	4%
Solid Waste Franchise Fees	5402	128,101	160,635	126,372	177,415	16,780	181,673	13.1%
Utility Franchise Fees	5403	115,461	115,461	110,927	110,927	(4,534)	115,461	0%
Gas Line Franchise Fees	5404	11,593	11,600	11,836	11,836	236	12,120	4%
AT&T Mobility Franchise Fees	5405	86	86	98	98	12	98	100%
Fines and Forfeitures	5501	29,693	26,000	24,295	28,856	2,856	29,000	12%
Interest Earnings	5601	112,513	145,000	86,272	86,272	(58,728)	60,000	-59%
Park User Fees	5602	40,889	45,392	43,330	43,067	(2,325)	43,100	-5%
Meeting Room Fees	5603	2,263	2,200	2,015	2,015	(185)	2,015	-8%
Cattle Grazing Lease Rent	5608	8,864	8,864	9,048	9,048	184	9,265	5%
SBA Communications	5609	34,223	31,467	29,738	32,411	944	33,189	5%
Fountain Use Fees	5610	333	-	341	341	341	-	0%
Street Use Fees	5612	100	-	-	-	-	-	0%
Crossing Guard - Reimbursements	5701	5,000	5,000	5,074	5,074	74	5,074	1%
Property Tax- PTAF Reimbursements	5701	-	-	50,746	50,746	50,746	-	100%
City of Concord - Sale of Vehicle	5701	4,931	-	-	-	-	-	100%
Dept of Justice PD Reimbursement	5701	4,564	-	-	-	-	-	100%
MPA Worker's Comp Rebate	5701	-	-	14,273	14,273	14,273	-	0%
Worker's Comp Reimbursement	5712	-	-	4,911	4,911	4,911	-	0%
Other Revenues	5790	58,357	2,000	926	1,000	(1,000)	1,000	-50%
Overhead Cost Recovery	5791	13,013	10,000	8,554	8,630	(1,370)	9,000	-10%
Sale of Asset Forfeiture Cnet	5801	-	6,700	1,815	1,815	(4,885)	-	-100%
Transfer from Encumbrances	-	-	-	-	-	-	-	
Transfer from Measure J	6002	4,000	4,000	4,000	4,000	-	4,000	0%
Transfer from Streets	6004	6,687	6,687	6,687	6,687	-	6,687	0%
Transfer from St. Lights	6005	10,280	10,280	10,280	10,280	-	10,280	0%
Transfer from GHAD	6006	19,200	19,200	19,200	19,200	-	20,073	5%
Transfer from Landscape	6007	30,756	31,402	31,402	31,402	-	32,156	2%
Transfer from RDA Project Fund	6008	187,690	-	-	-	-	-	0%
Transfer from RDA Housing	6009	75,048	-	-	-	-	-	0%
Transfer from Grove Park Fund	6011	6,267	6,399	6,399	6,399	-	6,553	2%
Transfer from Stormwater Fund	6016	32,500	32,500	32,500	32,500	-	32,500	0%
Transfer from Trust & Agency	5790	-	-	-	-	-	-	0%
Transfer from Successor Agency Fund	6025	250,000	250,000	250,000	250,000	-	250,000	0%
Total Revenues		4,029,508	3,471,314	3,400,356	3,714,495	243,181	3,673,442	5.8%

General Fund Revenues 12 Year History



GF adopted Budget If CPI Adj.

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\$1,158,89

City of Clayton
 Budget Legislative Dept 01
 Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/23/2013	2012-13 Projected 6/30/2013	2013-2014 Proposed Budget
7115	Council/Commission Comp	23,888	23,400	22,523	22,523	23,400
7220	PERS Retirement	2,410	4,321	2,815	3,172	3,734
7231	Workers Comp Insurance	-	546	366	366	765
7232	Unemployment Insurance	-	1,450	-	-	-
7233	FICA and Medicare	301	339	401	401	630
7324	Dues and Subscriptions	9,748	11,458	11,997	11,997	12,310
7362	City Promotional Activity	4,032	4,400	3,158	3,979	4,462
7363	Business Expense	433	-	18	18	-
7372	Conference	200	-	-	-	-
7382	Election Services	-	12,000	10,320	10,320	-
7419	Other Prof. Services	7,350	8,000	10,502	10,927	8,000
	Total	48,361	65,914	62,098	63,702	53,301

City of Clayton
Budget Admin/Fin Dept 02
Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/23/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7111	Salaries/Regular	492,858	492,550	499,887	511,105	492,806
7112	Temporary Help	1,178	2,261	9,461	11,261	33,739
7119	Furlough (see Dept 5)	(21,269)	(20,856)	-	-	-
7218	LTD Insurance	3,128	3,448	3,430	3,792	3,714
7220	PERS Retirement	97,140	105,105	102,389	104,850	101,199
7231	Workers Comp Insurance	-	11,492	7,686	7,686	15,434
7232	Unemployment Insurance	-	2,604	-	-	-
7233	FICA and Medicare	8,310	7,142	8,102	8,102	9,541
7241	Auto Allowance/Mileage	10,374	10,740	10,533	10,946	10,740
7246	Benefit Insurance	70,150	71,647	75,564	75,564	78,947
7321	Printing and Binding	108	-	-	-	-
7324	Dues and Subscriptions	1,810	1,831	1,731	1,731	1,831
7332	Telecommunications	8,118	10,280	6,623	7,557	10,280
7364	Employee recognition	2,528	2,000	868	868	2,000
7365	Volunteer Appreciation	-	-	7	7	-
7373	Education and Training	25	-	20	20	-
7389	Misc. Expenses	-	-	232	232	-
7411	Prof. Services Retainer (Legal)	70,701	70,000	62,700	67,700	70,000
7413	Special Legal Services	-	-	275	275	-
7414	Auditing Services	11,295	16,635	8,250	16,635	16,635
7415	Computer Services	830	1,700	2,025	2,400	1,700
7419	Other Prof. Services	22,901	13,447	10,981	14,870	12,109
7492	Disability Access Education Fee	-	-	21	42	100
Total		780,184	802,027	810,783	845,642	860,775

City of Clayton
 Budget Public Works Dept 03
 Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/23/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7111	Salaries/Regular	10,550	8,289	7,881	8,289	8,289
7112	Temporary Help	3,760	3,969	127	127	2,891
7119	Furlough (see Dept 5)	(4,248)	-	-	-	-
7218	LTD Insurance	198	43	43	43	48
7220	PERS Retirement	1,566	1,879	3,858	3,858	1,432
7231	Workers Comp Insurance		164	110	110	444
7233	FICA and Medicare	711	431	69	431	310
7241	Auto Allowance/Mileage					
7242	Uniform Allowance					
7243	Certificate Pay					
7244	Tuition Reimbursement					
7246	Benefit Insurance	426	7,000	6,203	7,000	1,344
7311	General Supplies	7,222	5,500	3,807	4,884	4,900
7331	Rentals/Leases	84	140	310	310	140
7332	Telecommunications	3,012	3,000	2,719	2,719	2,750
7335	Gas & Electric Serv.	33,173	30,000	29,606	30,000	30,000
7338	Water Service	16,132	15,000	11,582	15,000	15,200
7341	Buildings & Grounds Mtn	8,824	7,196	5,429	5,997	10,168
7342	Machinery/ Equip Maint.	4,613	5,500	2,542	2,542	4,000
7343	Vehicle Maintenance	3,113	3,000	4,877	4,877	3,800
7344	Vehicle Gas, Oil, and Supplies	14,041	14,000	10,124	10,724	11,000
7346	HVAC Mtn & Repairs	7,770	7,430	9,753	9,753	9,000
7347	Deferred Mtn Projects	16,650	16,930	46,225	46,225	-
7373	Education and Training	1,000	1,000	392	392	500
7389	Misc. Expenses	-	-	640	640	-
7411	Prof. Services Retainer	-	-	9,422	9,422	-
7417	Janitorial Services	8,140	8,141	7,723	9,414	8,141
7419	Other Prof. Services	-	384	625	625	400
7429	Animal Control Services	-	525	-	525	525
					-	
	Total	136,738	139,521	164,067	173,906	115,282

**City of Clayton
Budget Community Dev Dept 04
Proposed Budget 2013/14**

Account Number		Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/23/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7111	Salaries/Regular		168,025	164,855	127,345	133,659	164,162
7115	Council/Commission Comp		7,350	7,200	7,050	7,050	7,200
7119	Furlough		(6,141)	(6,975)	-	-	-
7218	LTD Insurance		935	1,154	751	751	1,241
7220	PERS Retirement		33,518	37,364	23,057	24,002	18,924
7231	Workers Comp Insurance			3,846	2,573	2,573	5,138
7232	Unemployment Insurance			868			-
7233	FICA and Medicare		2,111	2,390	1,508	1,583	2,278
7241	Auto Allowance/Mileage		4,220	4,140	2,946	3,105	4,140
7246	Benefit Insurance		22,175	23,858	19,060	19,060	31,652
7323	Books/Periodicals		89	100	11	11	100
7324	Dues and Subscriptions		655	655	675	675	675
7332	Telecommunications		638	630	588	630	630
7371	Travel		-	100	30	100	100
7384	Legal Notices		536	550	1,039	1,039	550
7385	Transpac Fees		21,790	23,315	21,760	21,760	21,978
7389	Misc. Expenses				1,210	1,210	-
7411	Prof. Services Retainer		-	-	4,430	4,430	-
7419	Other Prof. Services Temp Planning Svc		13,007	170	30,810	30,810	170
	Sunday Operating Hours						
	Total		268,906	264,221	244,842	252,448	258,939

**City of Clayton
Budget General Services Dept 05
Proposed Budget 2013/14**

Account		2011-12	2012-13	2012-13	2012-13	2013-14
Number	Name	Actual	Adopted Budget	Actual 6/30/2013	Projected 6/30/2013	Proposed Budget
7119	Furlough (Depts 2,3,4,6)		(48,873)	(44,832)	(48,873)	(49,028)
7231	Workers Comp Insurance	1,603		28,977	28,977	47,398
7232	Unemployment Insurance	8,450	9,799	10,692	10,692	11,231
7246	Benefit insurance	-		-	-	-
7247	OPEB Expense		4,042	-	-	6,175
7312	Office supplies	8,157	7,300	5,498	5,998	7,300
7314	Postage	3,920	4,400	3,920	4,400	4,400
7331	Rentals/Leases	13,126	11,049	11,797	11,797	11,430
7351	Insurance Premiums	60,373	89,121	85,362	85,362	71,963
7373	Education and Training	1,401		236	236	-
7381	Property Tax Admin Cost	16,149	16,149	7,371	7,371	7,400
7419	Other Prof. Services	16,476	16,777	17,037	17,796	17,030
7420	Merchant Fees	139	336	1,276	1,426	1,500
Total		129,796	110,100	127,334	125,181	136,799

City of Clayton
Budget Police Dept 06
Proposed Budget 2012-13

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/23/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7111	Salaries/Regular	848,661	841,912	792,153	840,708	828,110
7113	Overtime	94,118	86,000	102,887	103,387	95,000
7114	Vacation Buy Out	38,374	-	-	-	-
7116	Part-time Salaries	3,519	4,348	3,644	3,727	3,748
7119	Furlough	(8,548)	(8,548)	-	-	-
7218	LTD Insurance	5,300	5,893	5,587	6,222	6,473
7220	PERS Retirement	337,092	367,785	324,323	353,001	276,795
7231	Workers Comp Insurance	-	19,744	13,207	13,207	26,937
7232	Unemployment Insurance	-	5,508	-	-	-
7233	FICA and Medicare	15,761	14,538	13,972	13,972	13,592
7241	Auto Allowance/Mileage	4,525	4,440	4,355	4,440	4,440
7242	Uniform Allowance	9,000	9,000	6,075	9,000	9,000
7246	Benefit Insurance	144,872	150,206	158,131	158,220	156,443
7311	General Supplies	3,913	4,500	5,256	5,256	4,500
7312	Office supplies	1,759	2,500	2,135	2,335	2,350
7314	Postage	802	500	451	500	500
7324	Dues and Subscriptions	5,334	18,737	5,240	5,240	18,652
7332	Telecommunications	10,669	18,048	10,168	10,870	11,000
7342	Machinery/ Equip Maint.	1,093	650	1,499	1,499	1,500
7343	Vehicle Maintenance	23,599	13,000	13,475	13,475	13,500
7344	Vehicle Gas, Oil, and Supplies	33,720	32,000	27,978	32,956	33,000
7345	Office Equip. Maint. & Repairs	1,233	1,138	1,379	1,400	1,400
7363	Business Expense	231	100	154	154	100
7364	Employee recognition	320	300	428	428	428
7365	Volunteer Appreciation	169	169	-	-	169
7373	Education and Training	7,624	7,000	6,729	6,729	7,000
7375	Training Reimbursable	46	-	-	-	-
7389	Misc. Expenses	-	-	2,177	2,177	-
7411	Prof. Services Retainer	-	-	2,613	2,613	-
7413	Special Legal Services	13,059	-	-	-	-
7415	Computer Services	-	850	-	-	-
7417	Janitorial Services	2,700	2,700	2,475	2,700	2,700
7419	Other Prof. Services	36,261	27,747	23,614	25,489	20,772
7424	Dispatch Services	131,730	158,076	118,557	158,076	158,076
7425	Lab Fees	17,012	14,177	17,209	18,000	18,000
7427	Cal ID Services	10,621	11,125	11,125	11,125	11,648
7428	C.N.E.T. Services	1,513	1,513	-	-	-
7429	Animal Control Services	58,208	58,102	58,727	58,727	59,014
7432	Emergency Services	366	366	67	67	100
7433	Integrated Justice System (ACCJIN + ARIES)	2,478	1,171	-	1,171	1,171
	Total	1,857,135	1,875,294	1,735,788	1,866,870	1,786,117

City of Clayton
 Budget Library Dept 07
 Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/23/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7111	Salaries/Regular	11,937	8,485	11,246	11,829	11,470
7112	Temporary Help	1,165	269	413	463	5,428
7119	Furlough	(2,124)	(2,124)	-	-	-
7218	LTD Insurance	101	59	81	81	91
7220	PERS Retirement	2,430	1,923	2,623	2,623	2,689
7231	Workers Comp Insurance		204	136	136	604
7232	Unemployment Insurance		77			
7233	FICA and Medicare	229	144	237	243	166
7246	Benefit Insurance	2,348	1,697	2,131	2,131	2,524
7311	General Supplies			69	69	-
7332	Telecommunications	1,978	1,972	1,879	2,059	2,059
7335	Gas & Electric Serv.	46,383	48,000	39,939	46,939	47,000
7338	Water Service	1,527	1,600	1,269	1,534	1,550
7341	Buildings & Grounds Mtn	11,377	6,732	7,379	7,696	7,681
7346	HVAC Mtn & Repairs	18,485	8,813	11,834	13,834	13,834
7417	Janitorial Services	29,284	28,900	28,691	30,866	32,466
7423	Extra & Sunday Operating Hours	25,850	22,800	11,742	16,742	21,778
	Total	150,969	129,551	119,669	137,245	149,341

City of Clayton
 Budget Engineering Dept 08
 Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/23/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7324	Dues and Subscriptions	167	184	168	184	184
7332	Telecommunications	812	813	757	894	824
7389	Misc. Expenses	17	-	-	-	-
7411	Engineering Services Retainer	72,670	73,500	72,370	73,500	73,500
7412	Engineering Inspection	117	-	-	-	-
7430	Well Monitoring Contract	21,009	19,043	12,762	19,043	19,043
	Total	94,792	93,540	86,057	93,620	93,551

Account		2011-12	2012-13	2012-13	2012-13	2013-14
Number	Name	Actual	Adopted Budget	Actual 6/23/2013	Projected 6/30/2013	Proposed Budget
7111	Salaries/Regular	43,445	45,262	41,636	43,351	41,582
7112	Temporary Help	11,871	12,790	6,467	7,467	19,678
7113	Overtime	433	1,000	543	543	2,000
7119	Furlough	(6,122)	(6,122)	-	-	-
7218	LTD Insurance	257	258	296	296	328
7220	PERS Retirement	916	10,261	9,626	10,022	9,749
7231	Workers Comp Insurance	-	1,009	675	675	1,360
7232	Unemployment Insurance	-	665	-	-	650
7233	FICA and Medicare	1,318	1,059	1,283	1,366	603
7246	Benefit Insurance	8,771	7,599	8,197	8,197	9,150
7329	Park Supplies	16,746	10,000	10,297	10,297	10,300
7331	Rentals/Leases	-	405	-	-	405
7335	Gas & Electric Serv.	1,690	1,900	1,016	1,616	1,700
7338	Water Service	84,271	77,439	77,193	81,000	81,000
7411	Prof. Services Retainer	-	-	2,064	2,064	-
7417	Janitorial Services	12,510	12,525	11,981	12,981	12,525
7419	Other Prof. Services	2,658	522	1,639	4,839	1,034
7429	Animal Control Services	8,233	1,953	1,825	1,825	2,953
	Total	186,997	178,524	174,718	186,539	195,017

SPECIAL REVENUE

AND

ENTERPRISE FUNDS

City of Clayton
Gas Tax Fund 201
Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/25/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7111	Salaries/Regular	12,785	23,248	10,078	23,248	23,248
7112	Temporary Help	2,904	5,918	1,027	5,918	5,918
7218	LTD Insurance	52	163	69	163	179
7220	PERS Retirement	2,946	5,087	2,247	5,087	5,315
7231	Workers Comp Insurance		735	492	735	925
7232	Unemployment Insurance	1,772	446	446	446	266
7233	FICA and Medicare	340	790	265	790	661
7246	Benefit Insurance	2,871	4,651	1,932	4,651	1,824
7311	General Supplies		1,401	1,401	1,401	1,401
7327	Arterial Street Light Supplies	23,268	25,179	5,680	25,179	25,179
7335	Gas & Electric Serv.	46,730	42,500	35,771	42,500	42,500
7340	Special Events/Traffic Safety Supplies		800	-	800	800
7349	Traffic Signal Maintenance	21,978	26,000	13,824	26,000	26,000
7381	Property Tax Admin Cost	275	300	265	300	300
7419	Other Prof. Services	2,912	144	-	-	206
7520	Project/Sidewalk/Sink Hole Repair	500	-	-	-	-
8101	Transfer to General Fund	6,887	6,887	6,687	6,687	6,687
8111	Transfer to CIP Fund	165,500	405,405	329,405	405,405	51,822
	Total Expenses	291,519	549,454	409,589	549,310	193,232
Revenue						
4607	Arterial S. Light Assessment	26,265	26,894	23,303	28,107	25,675
5209	State Gasoline 2105	54,462	53,692	44,289	54,779	54,800
5210	State Gasoline 2106	43,153	40,722	37,633	46,441	46,441
5211	State Gasoline 2107	79,524	76,173	69,812	87,616	87,616
5212	State Gasoline 2107.5	3,000	3,000	3,000	3,000	3,000
5216	State of CA Sec 2103 (Prop 42)	118,457	115,892	89,859	96,807	100,000
6003	Trans from CIP					
5790	Other Revenue			85	85	-
5601	Interest	4,598	1,500	4,671	3,866	1,500
5606	Unrealized Inv. Gain/Loss					
	Total Revenue	329,460	317,873	272,652	320,701	319,032
	Annual Balance (Shortfall)	37,941	(231,581)	(136,937)	(228,609)	125,800
	Beginning Fund Balance	64,868	102,809	102,809	102,809	(125,801)
	Ending Fund Balance	102,809	(128,772)	(34,128)	(125,801)	(0)
	ADA Advisory Committee Fund	-	-	-	-	5,062

Projected Budget 13-14

4604	Clayton LMD Assessment
5601	Interest
5702	Donations/Contributions
5606	Unrealized Inv Gain/Loss
	Total Revenue

Annual Balance (Shortfall)
Beginning Fund Balance
Ending Fund Balance

City of Clayton
Grove Park CFD 2006-1 Fund 211
Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/25/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7111	Salaries/Regular	23,267	27,943	20,216	21,216	28,748
7112	Temporary Help	7,824	16,813	6,963	9,963	11,293
7218	LTD Insurance	101	196	168	196	227
7220	PERS Retirement	3,734	6,114	5,465	5,750	6,740
7231	Workers Comp Insurance	-	1,200	803	1,200	1,416
7232	Unemployment Insurance	986	974	974	974	692
7233	FICA and Medicare	839	1,691	720	627	1,281
7246	Benefit Insurance	3,472	5,590	4,650	4,650	4,751
7311	General Supplies	6,681	4,900	1,290	4,800	4,800
7331	Rentals/Leases	511	500	-	500	500
7335	Gas & Electric Serv.	1,136	1,200	1,276	1,378	1,300
7338	Water Service	2,265	4,000	6,700	6,677	6,677
7342	Machinery/ Equip Maint.	-	500	500	500	500
7343	Vehicle Maintenance	-	1,000	-	1,000	1,000
7344	Vehicle Gas, Oil, and Supplies	1,500	1,500	-	1,500	1,500
7381	Property Tax Admin Cost	3,738	3,900	3,551	3,738	3,900
7417	Janitorial Services	10,516	12,900	11,325	13,325	13,325
7419	Other Prof. Services	9,587	4,787	3,483	4,741	7,137
7420	Other Outside Services	4,335	3,800	3,749	3,800	3,800
7485	Capital Equipment	6,274	-	-	-	-
7486	CERF Charges/Depreciation	2,700	2,700	2,700	2,700	2,700
7615	Property Taxes	427	436	436	436	2,190
8101	Transfer to General Fund	6,267	6,399	6,399	6,399	6,533
	Asset Replacement	-	18,000	-	18,000	18,000
	Reserve Fund	-	5,000	-	5,000	5,000
	Total Expenses	96,160	132,043	81,366	129,033	134,010

4613	Downtown Park Assessment
5601	Interest
5602	Other Revenue
5606	Unrealized Inv Gain/Loss
5790	Misc Revenue
	Total Revenue
	Annual Balance (Shortfall)
	Unreserved Fund Balance
	Asset Replacement Reserve
	Unallocated Reserve Fund
	Total Fund Balance

111,797	114,145	107,801	114,145	116,834
6,082	2,000	6,042	5,188	2,000
-	-	472	472	-
-	-	-	-	-
10,000	10,000	10,000	10,000	10,000
127,879	126,145	124,315	129,805	128,834
31,719	(5,898)	42,949	772	(5,176)
125,433	83,049	168,383	169,155	169,928
67,858	103,858	85,858	85,858	103,858
20,000	25,000	25,000	25,000	30,000
245,011	206,009	322,190	280,786	298,609

City of Clayton
GHAD Fund 212
Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/21/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7314	Postage	-	700	-	700	700
7381	Property Tax Admin Cost	1,016	987	977	1,026	1,400
7384	Legal Notices	24	95	-	95	95
7389	Misc. Expenses	267	-	267	267	267
7412	Engineering Inspection	7,714	5,000	5,169	5,669	3,000
7413	Special Legal Services	4,283	5,000	102	102	1,000
7520	Project/Program costs Kelok Monitoring	1,946	-	-	-	11,700
8101	Transfer to General Fund	19,200	19,603	19,200	19,603	20,073
	Total Expenses	34,449	31,365	25,715	27,462	38,235

4607 Presley Settlement
4606 GHAD Assessment
5601 Interest
5606 Unrealized Inv. Gain/Loss
6020 Transfer from Presley Pebble Beach
5790 Other revenues

33,972	34,685	32,951	34,685	34,685
97	130	339	263	130
-	-	-	-	-
80	-	11,488	11,488	-
34,150	34,815	44,778	46,436	34,815
(300)	3,430	19,064	18,974	(3,420)
4,832	4,532	4,532	4,532	23,506
4,532	7,962	23,596	23,506	20,086

Total Revenue

Annual Balance (Shortfall)

Beginning Fund Balance

Ending Fund Balance

Note: Presley GHAD Settlement FB

117,067 117,067 119,200 119,200 119,200

5790 Legal Reimbursement
7389 CCCo Parcel Quest - Data & Map

City of Clayton
Street Light Fund 214
Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/25/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7113	Overtime	11,024	11,625	9,704	11,625	11,625
7311	General Supplies	1,038	6,034	3,310	6,034	6,034
7335	Gas & Electric Serv.	104,861	96,500	64,355	72,755	96,500
7381	Property Tax Admin Cost	3,685	3,667	3,506	3,682	3,682
7384	Legal Notices	2,602	2,905	-	-	-
7389	Misc. Expenses	-	-	267	267	-
7412	Engineering	1,843	-	-	-	-
7419	Other Prof. Services	-	-	-	-	206
8101	Transfer to General Fund	10,280	10,280	10,280	10,280	10,280
	Total Expenses	135,334	131,011	91,423	104,643	128,327
4607	Street Light Assessment	125,459	125,991	119,692	125,991	125,991
5601	Interest	3,588	2,000	3,248	3,248	2,000
5606	Unrealized Inv. Gain/Loss					
	Reimbursement					
	Total Revenue	129,046	127,991	122,940	129,240	127,991
	Annual Balance (Shortfall)	(6,287)	(3,020)	31,517	24,596	(336)
	Beginning Fund Balance	135,753	129,465	129,465	129,465	154,061
	Ending Fund Balance	129,465	126,445	160,982	154,061	153,725
7389	Parcel Quest			267	267	-
7419	EBRCSA-Radio Mtn PW radio's		48	-	-	206

City of Clayton
Stormwater Fund 216
Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/25/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7111	Salaries/Regular	14,488	23,626	20,700	23,626	23,626
7112	Temporary Help	10,078	8,339	9,618	9,618	9,618
7218	LTD Insurance	65	158	127	127	187
7220	PERS Retirement	2,380	4,951	4,122	4,951	5,539
7231	Workers Comp Insurance		800	535	535	1,146
7232	Unemployment Insurance	550	550	550	550	543
7233	FICA and Medicare	801	966	765	966	1,020
7246	Benefit Insurance	2,312	4,526	3,485	3,485	3,724
7311	General Supplies	1,177	3,000	940	940	6,800
7373	Education and Training	165	250	-	-	5,000
7389	Misc. Expenses	-	-	444	444	5,000
7409	Street Sweeping Services	38,500	42,000	42,000	42,000	43,000
7412	Engineering Services	2,211	7,000	9,157	9,157	10,000
7419	Other Prof. Services	449	4,193	2,588	2,588	37,649
7481	State Regional Annual Discharge Fee	7,279	7,279	7,279	7,279	9,529
8101	Transfer to General Fund	32,500	33,183	32,500	33,183	33,183
	Total Expenses	112,954	140,822	134,811	139,449	195,562
4602	Stormwater Assessment ERU Gross	98,194	126,626	90,895	90,895	127,014
	NPDES Group Program costs		(26,203)			(25,265)
	Commercial Insp by Central San		(8,000)	-		(8,000)
	Flood Control Dist Fiscal Mgmt Cost		(3,000)	-		(3,000)
	County Auditor/Controller Costs		(3,800)	-		(3,800)
	Adjusted Fund Balance		(3,000)	-		(3,000)
	Less Reserve					
	Net Revenue	98,194	82,623	90,895	90,895	83,949
4603	Stormwater O & M Annual Fee	2,225	2,225	1,824	1,824	2,225
5601	Interest	3,761	1,000	3,160	3,160	1,000
5606	Unrealized Inv. Gain/Loss		-	-	-	-
5324	Street Sweeping Fees	48,306	38,720	29,180	38,720	38,720
5790	Other Revenues			1,836	1,836	-
6007	Transfer from Landscape Maint (O&M Annual Fee)			456	456	456
6028	Transfer from Diablo Estates BA Fund (O&M AF)			456	456	456
	Less Stormwater Utility Assessment					
	Total Revenue	152,486	124,568	127,807	137,347	126,806
	Annual Balance (Shortfall)	39,531	(16,254)	(7,004)	(2,102)	(68,756)
	Beginning Fund Balance	138,584	178,115	178,115	178,115	176,013
	Ending Fund Balance	178,115	161,861	171,111	176,013	107,256

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City of Clayton
Grants Fund 230
Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/30/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7111	Salaries/Regular	59,296	60,220	38,753	40,366	60,220
7113	Overtime	5,267	-	2,062	2,062	-
7218	LTD Insurance	360	360	378	378	360
7220	PERS Retirement	26,708	26,216	13,057	13,579	26,216
7231	Workers Comp Insurance	-	1,311	877	877	1,311
7232	Unemployment Insurance	434	651	651	651	651
7233	FICA and Medicare	1,205	1,229	606	630	1,229
7242	Uniform Allowance	900	900	675	900	900
7246	Benefit Insurance	17,596	19,175	5,990	5,990	19,175
7384	Legal Notices	112	112	-	-	-
7485	Technology/Peg Grant Channel Share	7,295	7,183	7,432	7,432	7,183
7520	Project/Program costs	7,073	-	165	165	-
	Total Expenses	126,246	117,357	70,645	73,030	117,245

5220-06	Restricted Grants Revenue COPS	104,024	100,000	65,256	100,000	100,000
5222	Avoid the 25 Grant	-	-	2,961	2,961	-
5601	Interest Income	5,263	2,000	4,589	5,000	2,000
5220	Municipal Pooling Authority Grant	1,000	-	938	938	-
5220	DBC Recycling Grant	10,000	5,000	-	5,000	5,000
5220 #5051	Comcast PEG Grant	14,783	14,805	7,382	11,127	14,805
5224-06	AED Grant	6,000				-
						-
	Total Revenue	141,071	121,805	81,125	125,025	121,805
	Annual Balance (Shortfall)	14,825	4,448	10,480	51,995	4,560
	Beginning Fund Balance	194,260	209,085	209,085	209,085	261,080
	Ending Fund Balance	209,085	213,533	219,565	261,080	265,640

City of Clayton
Development Impact Fund 304
Proposed Budget 2013-14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/30/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
Revenue						
5307	Childcare Facility Fees	3,486	1,435	1,435	1,435	-
5312	Open Space In-Lieu Fee	187,259	77,106	77,106	77,106	-
5313	Parkland Dedication Fee	-	-	-	-	-
5314	Offsite Arterial Improvement Fees	24,752	10,192	10,192	10,192	-
5317	Fire Protection Fee	5,100	2,100	2,100	2,100	-
5323	Community Facilities Fees	7,650	3,150	3,150	3,150	-
5325	Police Impact Fee	28,404	11,698	11,698	11,698	-
5601	Interest Income	13,439	5,000	16,589	16,589	10,000
6003	Transfer from CIP	-	-	-	-	-
6010	Transfer from Oakhurst Fund 410	-	-	-	-	-
5606	Unrealized Investment Gain/Loss GASB31	(2,998)	-	-	-	-
	Total Revenue	267,091	110,681	122,270	122,270	10,000
Annual Balance (Shortfall)						
	Annual Balance (Shortfall)	267,091	110,681	122,270	122,270	132,270
	Unreserved/Designated Fund Balance	48,508	-	-	-	-
Unallocated Interest Revenue						
	Unallocated Interest Revenue		5,000	16,589	16,589	10,000
	Parkland Dedication Fund Balance	58,415	58,415	58,415	58,415	58,415
	Offsite Arterial Improvement Fund Balance	142,882	142,882	153,074	153,074	153,074
	Fire Protection Fund Balance	-	-	2,100	2,100	2,100
	Community Facilities Fund Balance	5,551	5,551	3,150	3,150	3,150
	Childcare Fee Fund Balance	36,962	36,962	38,397	38,397	38,397
	Sewer Impact Fee Fund Balance	(2,281)	(2,281)	(2,281)	(2,281)	(2,281)
	Police Impact Fee Fund Balance	26,412	26,412	38,110	38,110	38,110
	Open Space In-Lieu Fee Fund Balance	45,930	44,170	123,036	123,036	123,036
	Ending Fund Balance	629,470	427,792	552,860	552,860	556,271

City of Clayton
Self Insurance Fund 501
Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/21/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7311	General Supplies		-	-	-	-
7351	Insurance Premiums (EAP Plan)	1,220	1,248	1,284	1,284	1,296
7352	Insurance Claim Deductible	4,172	5,000	94	94	5,000
7389	Misc. Expenses			334	334	-
7413	Special Legal Services	2,043	3,000	6,586	6,586	3,000
	Total Expenses	7,434		8,297	8,298	9,296

5601	Interest	662	500	533	533	500
5606	Unrealized Inv. Gain/Loss	(943)				
5790	Risk Management Safety Award					
	Total Revenue	(281)	500	533	533	500
	Annual Balance (Shortfall)	(7,715)	500	(7,765)	(7,765)	(8,796)
	Beginning Fund Balance	38,694	30,979	30,979	30,979	23,214
	Ending Fund Balance	30,979	31,479	23,215	23,214	14,418

Chevron Prop Tax Share
Michel & Fackler MPA Legal

316.54
1,370.31

1,686.85

City of Clayton
CERF Fund 502
Proposed Budget 2013/14

Account Number	Account Name	2012-13 Adopted Budget	2012-13 Actual 6/21/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7485	Capital Equipment	97,828	95,863	95,863	-
7513	Computers/Software Upgrades				-
	Total Expenses	97,828	95,863	95,863	-
5328	CERF Charges to Depts	16,200	16,200	16,200	16,200
5601	Interest	2,100	2,535	2,535	2,100
5606	Unrealized Inv. Gain/Loss	1,555		-	-
	Total Revenue	19,855	18,735	18,735	18,300
	Annual Balance (Shortfall)	(77,973)	(77,128)	(77,128)	18,300
	Beginning Fund Balance	-	-	-	(77,128)
	Ending Fund Balance	(77,973)	(77,128)	(77,128)	(58,828)
	Cash Balance @6/30	167,382.00	83,370.66	87,370.66	105,670.66

City of Clayton
Community Gym Fund 701
Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/21/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7112	Temporary Help	18	-	-	-	-
7419	User Expenses	-	24,000	-	-	24,000
	Total Expenses	18	24,000	-	-	24,000

5699	User Fees					-
5601	Interest					-
5606	Unrealized Inv. Gain/Loss					-
2110	User Deposit		24,000	24,000	24,000	24,000
	Total Revenue	-	24,000	24,000	24,000	24,000
	Annual Balance (Shortfall)	(18)	-	24,000	24,000	-
	Beginning Fund Balance	32,489	32,471	32,471	32,471	56,471
	Ending Fund Balance	32,471	32,471	56,471	56,471	56,471

Endeavor Hall Fund 702
Proposed Budget 2013/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/25/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7111	Salaries/Regular	7,065	7,052	5,102	5,495	5,495
7112	Temporary Salaries					
7114	Attendant					1,872
7116	Part-time salaries	1,454	1,419	1,155	1,355	1,400
7218	LTD Insurance	49	60	34	34	43
7220	PERS Retirement	1,681	1,795	1,106	1,217	1,288
7231	Workers Comp Insurance		200	134	190	283
7232	Unemployment Insurance	191	75	75	75	151
7233	FICA and Medicare	126	168	99	117	268
7246	Benefit Insurance	1,605	1,641	930	862	1,035
7311	General Supplies	588	700	515	500	700
7332	Telecommunications	706	702	660	702	702
7335	Gas & Electric Serv.	2,324	2,250	1,886	2,250	2,250
7338	Water Service	876	1,100	713	900	900
7341	Buildings & Grounds Mtn	4,305	4,248	4,216	4,409	5,048
7346	HVAC Mtn & Repairs	2,462	2,500	1,212	1,459	1,500
7361	Advertising	1,241	3,493	2,717	3,493	4,603
7417	Janitorial Services	758	1,100	821	1,100	1,100
Total Expenses		25,431	28,503	21,375	24,156	28,639
5607	Rental Income	18,629	20,500	14,168	14,168	15,000
5601	Interest	-	-	-	-	-
5606	Unrealized Inv. Gain/Loss					
5611	Reserve for Endeavor Hall	(2,335)	775	60	(1,500)	775
5699	Facility User Fees					
6025	Transfer from Dev Impact Fund		-			
Total Revenue		16,294	21,275	14,228	12,668	15,775
Annual Balance (Shortfall)		(9,137)	(7,228)	(7,147)	(11,488)	(12,864)

Proposed Budget 2012-13

Account Number	Account Name	2011-12 Actual 6/30/2012	2012-13 Adopted Budget	2012-13 Actual 6/30/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
8103	Transfer to Streetlights					
8104	Transfer to Measure C					
8106	Transfer to RDA Debt					
8111	Transfer to CIP Fund				90,000	
8112	Transfer to Endeavor Hall					
8114	Transfer to GHAD					
Total		-	-	-	90,000	-

Revenue

Revenue						
5601	Interest Income	17,877	5,000	16,582	16,582	4,000
5801	Proceeds from Sale of Assets		-	-	-	-
5606	Unrealized Investment Gain/Loss GASB31	(5,934)	-	-	-	-
	Total Revenue	11,943	5,000	16,582	16,582	4,000
	Annual Balance (Shortfall)	11,943	5,000	16,582	(73,418)	4,000
	Beginning Fund Balance	778,057	790,000	790,000	790,000	716,582
	Ending Fund Balance	790,000	795,000	806,582	716,582	720,582

FIDUCIARY FUNDS

City of Clayton
High Street Bridge Fund 217
Proposed Budget 13/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/21/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7381	Property Tax Admin Cost	254	140	242	254	254
2103	Property Taxes		2,131	-	2,131	2,131
	Total Expenses	254	2,271	242	2,385	2,385
Revenue						
4611	High Street Bridge Assessment	1,939	4,370	4,152	4,370	4,370
	Total Revenue	1,939	4,370	4,152	4,370	4,370
	Annual Balance (Shortfall)	1,685	2,099	3,910	1,985	1,985
	Beginning Fund Balance	(16,380)	(14,695)	(14,695)	(14,695)	(12,710)
	Ending Fund Balance	(14,695)	(12,596)	(10,785)	(12,710)	(10,725)
	Bridge Maintenance Fund \$300/yr Due to RDA	300 (10,795)	300		300	300 (10,425)

City of Clayton
Oak Street Bridge Fund 218
Proposed Budget 13/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/21/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7381	Property Tax Admin Cost	258	258	245	258	258
7420	Other Outside Services	399	399	399	399	399
	Total Expenses	657	657	644	657	657

4611	Oak Street Bridge Assessment	4,550	5,843	5,843	5,843	5,843
5601	Interest Income	-	-	-	-	-
5606	Unrealized Investment Gain/Loss	-	-	-	-	-
	Total Revenue	4,550	5,843	5,843	5,843	5,843
	Annual Balance (Shortfall)	3,893	5,186	5,199	5,186	5,186
	Beginning Fund Balance	(49,577)	(45,684)	(45,684)	(45,684)	(40,498)
	Ending Fund Balance	(45,684)	(40,498)	(40,485)	(40,498)	(35,312)

City of Clayton
Lydia Lane Sewer Assessment Fund 222
Proposed Budget 13/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/21/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7381	Property Tax Admin Cost	264	264	250	264	264
7420	Other Outside Services	2,400	2,400	2,400	2,400	2,400
7611	Principal	5,000	5,000	5,000	5,000	5,000
7612	Interest Payment	11,450	11,750	11,150	11,750	11,750
7613	Paying Agent Fees	500	500	500	500	500
	Total Expenses	19,613	19,914	19,300	19,914	19,914
4612	Lydia Lane Sewer Assessment	18,700	19,000	17,480	19,000	19,000
5601	Interest	1,737	1,000	760	760	1,000
5606	Unrealized Investment Gain/Loss					
	Total Revenue	20,437	20,000	18,240	19,760	20,000
	Annual Balance (Shortfall)	823	86	(1,060)	(154)	86
	Beginning Fund Balance	86,526	87,350	87,350	87,350	87,196
	Ending Fund Balance	87,350	87,436	86,290	87,196	87,282

City of Clayton
Oak Street Sewer Assessment Fund 223
Proposed Budget 13/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/21/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7381	Property Tax Admin Cost	259	143	246	259	259
7420	Other Outside Services	1,650	1,650	1,650	1,650	1,650
7611	Principal	-	7,000	-	7,000	7,000
7612	Interest Payment	-	4,140	-	4,350	4,350
	Total Expenses	1,909	12,933	1,896	13,259	13,259
4612	Oak Street Sewer Assessment	12,790	13,000	11,951	13,000	13,000
5601	Interest	97	-	193	-	-
5605	Unrealized Inv. Gain/Loss			-	-	-
	Total Revenue	12,887	13,000	12,145	13,000	13,000
	Annual Balance (Shortfall)	10,978	67	10,248	(259)	(259)
	Beginning Fund Balance	(2,520)	8,458	8,458	8,458	8,199
	Ending Fund Balance	8,458	8,526	18,706	8,199	7,940

Total	122	72.662	48.210	48.210	69.566
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Revenue

Total Revenue	25.114	-	73.595	73.595	73.063
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	72,662	47,486	47,486	68,842
122	72,662	47,486	47,486	68,842

City of Clayton
Development Impact Fund 304
Proposed Budget 2013-14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/30/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
Revenue						
5307	Childcare Facility Fees	3,486	1,435	1,435	1,435	-
5312	Open Space In-Lieu Fee	187,259	77,106	77,106	77,106	-
5313	Parkland Dedication Fee	-	-	-	-	-
5314	Offsite Arterial Improvement Fees	24,752	10,192	10,192	10,192	-
5317	Fire Protection Fee	5,100	2,100	2,100	2,100	-
5323	Community Facilities Fees	7,650	3,150	3,150	3,150	-
5325	Police Impact Fee	28,404	11,698	11,698	11,698	-
5601	Interest Income	13,439	5,000	16,589	16,589	10,000
6003	Transfer from CIP	-	-	-	-	-
6010	Transfer from Oakhurst Fund 410	-	-	-	-	-
5606	Unrealized Investment Gain/Loss GASB31	(2,998)	-	-	-	-
	Total Revenue	267,091	110,681	122,270	122,270	10,000
Annual Balance (Shortfall)						
	Annual Balance (Shortfall)	267,091	110,681	122,270	122,270	10,000
	Unreserved/Designated Fund Balance	48,508	-	-	-	-
Unallocated Interest Revenue						
	Unallocated Interest Revenue		5,000	16,589	16,589	10,000
	Parkland Dedication Fund Balance	58,415	58,415	58,415	58,415	58,415
	Offsite Arterial Improvement Fund Balance	142,882	142,882	153,074	153,074	153,074
	Fire Protection Fund Balance	-	-	2,100	2,100	2,100
	Community Facilities Fund Balance	5,551	5,551	3,150	3,150	3,150
	Childcare Fee Fund Balance	36,962	36,962	38,397	38,397	38,397
	Sewer Impact Fee Fund Balance	(64,475)	(64,475)	(64,475)	(66,756)	(69,037)
	Police Impact Fee Fund Balance	26,412	26,412	38,110	38,110	38,110
	Open Space In-Lieu Fee Fund Balance	45,930	44,170	123,036	123,036	123,036
	Ending Fund Balance	251,677	254,917	368,396	366,115	373,834

City of Clayton
Middle School CFD 1990-1 Fund 420
Proposed Budget 13/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/21/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7381	Property Tax Admin Cost	1,404	1,282	1,334	1,334	1,334
7419	Other Prof. Services	8,552	8,443	8,629	8,443	8,802
7420	Other Outside Services	16,452	16,452	16,452	16,452	16,452
7611	Principal	431,000	230,000	246,000	246,000	246,000
7612	Interest Payment	261,128	238,115	239,115	239,115	239,115
7613	Paying Agent Fees	578	578	578	578	578
7615	Property Taxes	603	603	603	603	603
8118	Transfer to CFA 2007 Middle School	920,898	-	857,361	-	407,541
	Total Expenses	1,640,615	496,473	1,370,071	512,525	920,425
4609	Middle School CFD 1990-1 Assessment	476,031	548,185	433,948	548,185	548,185
5601	Interest Income	282,951				-
5606	Unrealized Investment Gain/Loss		-	-	-	-
6026	Transfer from CFA 2007	-	-	-	-	407,541
	Total Revenue	758,982	548,185	433,948	548,185	955,726
	Annual Balance (Shortfall)	(881,633)	51,712	(936,123)	35,660	35,301
	Beginning Fund Balance	5,029,295	4,147,662	4,147,662	4,147,662	4,183,322
	Ending Fund Balance	4,147,662	4,199,373	3,211,539	4,183,322	4,218,622
	NBS					
7419	CFD Admin Fees	8,423	8,154	8,443	8,443	8,552
7419	Delinquency Management Services		-	-	250	250
7613	US Bank Paying Agent Fees	578	578	578	578	578

City of Clayton
Clayton Station CFD 1990-2 Fund 421
Proposed Budget 13/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/21/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7381	Property Tax Admin Cost	253	241	253	253	253
7419	Other Prof. Services	4,046	4,046	4,122	4,122	4,138
7420	Other Outside Services	13,303	13,303	13,303	13,303	8,000
7611	Principal	92,000	98,000	98,000	98,000	98,000
7612	Interest Payment	28,969	23,364	23,364	23,364	23,364
	Total Expenses	138,571	138,954	139,042	139,042	133,755
4610	Clayton Station CFD Assessment	141,893	141,230	150,267	150,267	141,230
5601	Interest	2,937	2,000	634	634	400
5606	Unrealized Investment Gain/Loss		-	-		
	Total Revenue	144,830	143,230	150,901	150,901	141,630
	Annual Balance (Shortfall)	6,259	4,276	11,858	11,859	7,875
	Beginning Fund Balance	319,314	325,573	325,573	325,573	325,573
	Ending Fund Balance	325,573	329,849	337,431	337,432	333,448
7419	NBS	3,949	3,962	4,046	4,046	4,138

City of Clayton
CFA 2007 Middle School Refunding Fund 422
Proposed Budget 13/14

Account Number	Account Name	2011-12 Actual	2012-13 Adopted Budget	2012-13 Actual 6/21/2013	2012-13 Projected 6/30/2013	2013-14 Proposed Budget
7419	Other Prof. Services	4,523	2,805	2,831	2,831	2,805
7611	Principal	475,000	285,000	-	285,000	295,000
7612	Interest Payment	157,423	157,423	-	157,423	147,273
7613	Paying Agent Fees	2,271	2,200	2,200	2,200	2,271
	Total Expenses	639,217	447,428	5,031	447,454	447,349
5606	Unrealized Investment Gain/Loss	-	-	-	-	-
5601	Interest Income	-	-	3,238	3,238	-
6014	Transfer from CFD 1990-1	920,898	442,273	857,361	857,361	442,273
	Total Revenue	920,898	442,273	860,599	860,599	442,273
	Annual Balance (Shortfall)	281,682	(5,155)	855,568	413,146	(5,076)
	Beginning Fund Balance	(81,850)	199,832	199,832	199,832	612,977
	Ending Fund Balance	199,832	194,677	1,055,400	612,977	607,902
7419	NBS					
	Continuing Disclosure Reporting	2,805	1,605	1,631	1,631	1,605
	Arbitrage Rebate Calculation	1718	1,200	1,200	1,200	1,200
	Total	4,523	2,805	2,831	2,831	2,805
		4,523	2,805	2,831	2,831	2,805

City of Clayton
 Successor Agency ROPS Budget 2013-14

Item#	Debt Obligation Description	Payee	Description/Scope of Work	Total Outstanding	Total Due July-Dec 2013	Total Due Jan-June 2014	Total Due 2013-14
1	1996 Tax Allocation Bond	US Bank	Bonds issued for non-housing projects	292,351.00	6,181.25	6,181.25	12,362.50
2	1999 Tax Allocation Bond	US Bank	Bonds issued for non-housing projects	5,619,119.00	409,780.00	107,773.75	517,553.75
3	Contract for Consulting Svc	US Bank	Paying Agent Fees	5,365.00	5,365.00	2,625.00	7,990.00
4	Successor Agency Functions	City of Clayton	Expenses for Successor Agency Operation	125,000.00	125,000.00	125,000.00	250,000.00
5	Contract for Consulting Svc	Ranney Planning	Housing Element Implementation	50,000.00	-	-	-
6	Contract for Consulting Svc	NBS	RDA Artitrage Reporting	3,900.00	2,400.00	1,200.00	3,600.00
7	City Loan Entered 6/17/99	City of Clayton	City loan entered 6/17/99 for Fire Station	475,000.00	-	-	-
8	City Loan Entered 6/16/10	City of Clayton	City loan entered 6/16/10 -2% Election	376,423.96	-	-	-
9	City Loan Entered 10/1/01	Diamond Terrace	Sr. Housing facility Loan	200,000.00	200,000.00	-	200,000.00
TOTAL AMOUNT DUE AGENCY				7,147,158.96	748,726.25	242,780.00	991,506.25

CITY OF CLAYTON

2013/14 – 2017/18

Capital Improvement Program

Adopted by the City Council on

Clayton, CA

July XX, 2013

Resolution No. XX-2013

City Council

Julie K. Pierce, Mayor
Hank Stratford, Vice Mayor
David T. Shuey
Howard Geller
James Diaz

Staff

Gary Napper
Rick Angrisani
Charlie Mullen
Laci Jackson
Merry Pelletier

City Manager
City Engineer
Community Development Director
City Clerk
Finance Manager

CITY OF CLAYTON
2013/14 – 2017/18 CAPITAL IMPROVEMENT PROGRAM

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**CITY OF CLAYTON
CAPITAL IMPROVEMENT PROGRAM FY 2013/14 to 2017/18**

Budget Cost by Funding Source - FY 2014/15

Fund Name		Carry Forward	Gas Tax	Fed. Funds ARRA	Measure J	Community Fac. Fee	Offsite Arterial Fee	PG&E Rule 20A	14/15 Project Totals
Fund Balance as of 6/30/14			\$ -	\$ -	\$ -	\$ 5,124	\$ 73,458	\$ -	
Estimated Revenue thru FY 14/15			\$ 116,000	\$ -	\$ 205,000	\$ -	\$ -	\$ 21,000	
Estimated non-CIP Expenses in FY 14/15			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Funds Available for CIP in FY 14/15			\$ 116,000	\$ -	\$ 205,000	\$ 5,124	\$ 73,458	\$ 21,000	
#	Category	Project							
10337A	Facilities	Keller House Rehabilitation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10343	GHAD	Crow Debris Basin	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10347A	GHAD	Eagle Peak Slope Repairs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10348	GHAD	Keller Ridge Drive Area Slope Repair	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10349	GHAD	Community Park Slide Repair	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10361	Facilities	Stanley Property	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10370	Creeks	Creek Revitalization	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10375	Parks	Samuel Ct. Park	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10379	Streets	Pine Hollow Road - Upgrade	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10393	Parks	Skateboard Park	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10394A	Streets	ADA Compliance Program	22,190	\$ 6,000	\$ -	\$ -	\$ -	\$ -	6,000
10395	Streets	Catch Basin Modifications	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10396	Streets	East Marsh Creek Road Signal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10397	Streets	Utility Undergrounding	257,469	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10400	Other	Downtown Economic Development	125,738	\$ -	\$ -	\$ -	\$ -	\$ 21,000	21,000
10417	Streets	2013 Neighborhood Street Project	1,195,839	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10418	Streets	2014 Neighborhood Street Project	45,822	\$ 110,000	\$ 205,000	\$ -	\$ -	\$ -	315,000
10419	Parks	Community Park Lighting, etc.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10421	Creeks	Cardinet Trail Restoration	50,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10423	Facilities	Library Upgrades	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10424	Streets	2015 Neighborhood Street Project	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10425	Streets	Collector Street Rehabilitation Project	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10426	Facilities	City Hall Parking Area Rehabilitation	45,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10427	Facilities	Library Parking Lot Rehabilitation	75,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10428	Facilities	Lydia Lane Park Parking Rehabilitation	20,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10429	Facilities	2012 Trail Repaving Project	50,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10432	Streets	2016 Neighborhood Street Project	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10433	Streets	DVMS Safety Signage	40,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total CIP Expenditures in FY 14/15			\$ 116,000	\$ -	\$ 205,000	\$ -	\$ -	\$ 21,000	\$ 342,000
Fund Balance as of June 30, 2015			\$ -	\$ -	\$ -	\$ 5,124	\$ 73,458	\$ -	\$ -

Red denotes projects completed in FY 13/14

Green denotes active projects funded in FY 14/15

Blue denotes active projects funded prior to FY 14/15

CITY OF CLAYTON
CAPITAL IMPROVEMENT PROGRAM FY 2013/14 to 2017/18

Completed and Active Projects - FY 98/99 thru 12/13

Project Number	Project Category	Project	Comp. Sched.	Comp. Actual	Original Budget	Actual Cost
10330	Streets	Overlays	99/00	99/00	\$ 570,000.00	\$ 514,000.00
10331	Streets	Slurry Seals	na	na	\$ -	included in 10330
10332	Streets	High Street Bridge	98/99	98/99	\$ 65,000.00	\$ 65,000.00
10333	Streets	Marsh Creek Road - TEA-21	99/00	02/03	\$ 1,300,000.00	\$ 1,300,000.00
10334	Parks	Community Dog Park	99/00	00/01	\$ 27,500.00	\$ 27,500.00
10335	Parks	El Molino Park	98/99	93/99	\$ 76,000.00	\$ 30,000.00
10336	Parks	Lydia Lane Park Ph. II	10/11	10/11	\$ 40,000.00	\$ 48,814.00
10337	Facilities	Keller House Preservation	05/06	05/06	\$ 219,523.00	\$ 219,523.00
10337A	Facilities	Keller House Rehabilitation	future		\$ 1,780,477.00	
10338	Facilities	Endeavor Hall	00/01	00/01	\$ 1,500,000.00	\$ 1,450,000.00
10339	Facilities	Youth Center/Gym	01/02	02/02	\$ 4,900,000.00	\$ 4,900,000.00
10340	Landscape	Marsh Creek Road Landscape	98/99	93/99	\$ 630,000.00	\$ 400,000.00
10341	Streets	Center Street Crossing	99/00	00/01	\$ 172,000.00	\$ 172,000.00
10342	GHAD	Windmill Debris Basin	98/99	98/99	\$ 75,000.00	\$ 75,000.00
10343	GHAD	Crow Debris Basin	future		\$ 100,000.00	
10344	GHAD	Obsidian Landslide	02/03	04/05	\$ -	included in 10347
10345	GHAD	Clayton Rd. Landslides	99/00	00/01	\$ 1,315,000.00	\$ 1,240,000.00
10346	GHAD	Black Diamond Landslide**	na	na	\$ -	\$ -
10347	GHAD	V-ditch Repairs	02/03	04/05	\$ 150,000.00	\$ 144,063.00
10347A	GHAD	Eagle Peak Slope Repair	future		\$ 1,125,000.00	
10348	GHAD	Keller Ridge Drive Area Slope Repair	future		\$ 50,000.00	
10349	GHAD	Community Park Slide Repair	future		\$ 100,000.00	
10350	Facilities	Downtown Elec. Conn.	99/00	99/00	\$ 25,000.00	\$ 40,000.00
10351	Facilities	Fire Station	00/01	00/01	\$ 1,680,000.00	\$ 1,610,000.00
10352	Landscape	Library Landscaping	00/01	02/03	\$ 157,000.00	\$ 194,000.00
10353	Streets	Downtown Revitalization	00/01	01/02	\$ 2,000,000.00	\$ 3,003,500.00
10354	Streets	Four Oaks Area	01/02	01/02	\$ 175,000.00	\$ 237,700.00
10355	Streets	Oak Street Bridge	00/01	00/01	\$ 60,000.00	\$ 62,000.00
10356	Landscape	Westwood Open Space	01/02	01/02	\$ 205,000.00	\$ 166,000.00
10357	Facilities	Old City Hall Renovation	98/99	98/99	\$ 80,000.00	\$ 72,000.00
10358	Facilities	Grove Property Acquisition	99/00	99/00	\$ 500,000.00	\$ 500,000.00
10359	Facilities	Endeavor Hall Parking I	00/01	01/02	\$ 107,000.00	\$ 108,000.00
10360	Facilities	Endeavor Hall Parking II	07/08	07/08	\$ 150,000.00	\$ 165,500.76
10361	Facilities	Stanley Property Acquisition	future		\$ 325,000.00	
10362	Facilities	Stanley Property Parking**	future		\$ -	\$ -
10363	Facilities	Corp. Yard Expansion	99/00	03/04	\$ 483,000.00	\$ 589,720.00
10364	Streets	Downtown Signage**	na	na	\$ -	\$ -
10365	Facilities	Library Parking Expansion	00/01	01/02	\$ -	included in 10352
10366	Facilities	Police Parking Expansion	01/02	03/04	\$ -	included in 10363
10367	Parks	Downtown Park	07/08	07/08	\$ 1,387,016.00	\$ 2,100,000.00
10368	Parks	City Hall Park**	na	na	\$ -	\$ -
10369	Streets	Marsh Creek Road Narrowing**	na	na	\$ -	\$ -
10370	Creeks	Creek Revitalization	future		\$ 3,000,000.00	
10371	Streets	Survey Monuments	02/03	04/05	\$ 30,000.00	\$ 30,000.00
10372	Streets	Traffic Signal Modifications	99/00	00/01	\$ 10,000.00	\$ 9,900.00
10373	Streets	Peacock Creek Dr. Signal	01/02	02/03	\$ 175,000.00	\$ 155,000.00
10374	Parks	North Valley Park	99/00	00/01	\$ 50,000.00	\$ 45,000.00
10375	Parks	Samuel Ct. Park	future		\$ 75,000.00	
10376	Facilities	Equestrian Staging Area	99/00	01/02	\$ 140,000.00	\$ 140,000.00
10377	Streets	DVMS - Right Turn Lane	01/02	01/02	\$ 42,000.00	\$ 51,100.00
10378	Streets	Keller Ridge Drive Planters	99/00	00/01	\$ 100,000.00	\$ 100,000.00
10379	Streets	Pine Hollow Road - Upgrade	future		\$ 300,000.00	
10380	Parks	Community Park - Rt. Turn Lane**	na	na	\$ -	\$ -
10381	Parks	Bocce Ball Courts	05/06		\$ 100,000.00	\$ 43,431.00
10382	GHAD	Inclinometers	00/01	00/01	\$ 60,000.00	\$ 50,000.00
10383	GHAD	Keller Drive Subdrain	99/00	99/00	\$ 5,000.00	\$ 5,000.00
10384	Streets	Mitchell Canyon Rd. Overlay	00/01	04/05	\$ 145,000.00	\$ 125,000.00
10385	Parks	Community Park Tot Lot Upgrade	02/03	04/05	\$ 75,000.00	\$ 112,496.00
10386	GHAD	Wells (cancelled)	na	na	\$ -	\$ -

Completed and Active Projects - FY 98/99 thru 12/13

Project Number	Project Category	Project	Comp. Sched.	Comp. Actual	Original Budget	Actual Cost
10387	Streets	Pavement Rehab 2002/03	02/03	02/03	\$ 1,000,000.00	\$ 994,000.00
10388	Streets	Pavement Rehab 2003/04**	na	na	\$	\$
10389	Streets	Pavement Rehab 2004	04/05	04/05	\$ 525,000.00	\$ 557,650.00
10390	Streets	Pavement Rehab 2005**	na	na	\$ 88,000.00	\$
10391	Streets	Pavement Rehab 2006	06/07		\$ 1,188,000.00	\$ 1,119,052.00
10392	Sewers	Oak - High Street	02/03	03/04	\$ 320,000.00	\$ 384,718.00
10393	Parks	Skateboard Park	future		\$ 300,000.00	
10394	Streets	Handicap Ramps - RDA Area	05/06	05/06	\$ 65,000.00	\$ 60,132.00
10394A	Streets	ADA Compliance Program	future		\$ 135,000.00	
10395	Streets	Catch Basin Modifications	future		\$ 75,000.00	
10396	Streets	East Marsh Creek Road Signal	future		\$ 230,000.00	
10397	Streets	Utility Undergrounding	future		\$ 3,000,000.00	
10398	Streets	Clayton Rd. MCR Slurry Seal	05/06	05/06	\$ 321,000.00	\$ 235,456.00
10399	Sewers	Pine Hollow Area	future	05/06	\$ 40,000.00	\$ 141,596.00
10400	Other	Downtown Economic Development	future		\$ 125,738.00	
10400A	Other	Town Center Property Purchase	11/12	11/12	\$ 1,040,843.00	\$ 1,040,843.00
10401	Streets	Pedestrian Xing Signals**	05/06	na	\$ 200,000.00	\$
10402	Streets	Clayton Road Trail Connection	07/08	09/10	\$ 100,000.00	\$ 264,879.00
10403	Streets	Downtown Entry Signs (in 10402)	07/08	09/10	\$ 50,000.00	\$
10404	Streets	Marsh Creek Rd. Retaining Wall	07/08	08/09	\$ 320,000.00	\$ 319,980.17
10405	Streets	2007 Pavement Patching Project	07/08	07/08	\$ 91,327.00	\$ 128,684.22
10406	Streets	2008 Pavement Rehab Project	08/09	08/09	\$ 864,090.00	\$ 1,060,427.68
10407	Parks	Community Park Upgrades	07/08	07/08	\$ 420,000.00	\$ 465,000.00
10408	Streets	2009 Pavement Rehab Project**	09/10	09/10	\$ 402,000.00	\$
10409	Streets	2010 Pavement Rehab Project	10/11	10/11	\$ 842,000.00	
10410	Streets	2011 Neighborhood Street Project**	11/12		\$	
10411	Streets	2012 Neighborhood Street Project**	12/13		\$	
10412	Streets	2009 Arterial Overlay Project	09/10		\$ 575,000.00	\$ 513,460.00
10413	Parks	Community Park Parking Lot Expansion	10/11	11/12	\$ 850,000.00	\$ 1,056,717.00
10414	Streets	East Marsh Creek Rd. Upgrade	14/15		\$ 1,081,000.00	
10415	Parks	Well Renovation	09/10	09/10	\$ 25,000.00	\$ 23,895.00
10416	Streets	Marsh Creek Rd. (old) Overlay	10/11	10/11	\$ 430,300.00	\$ 378,889.40
10417	Streets	2013 Neighborhood Street Project	14/15		\$ 315,000.00	
10418	Streets	2014 Neighborhood Street Project	14/15		\$ 315,000.00	
10419	Parks	Community Park Lighting, etc.	future		\$ 4,084,000.00	
10420	Parks	School Bridge Area Improvements	future		\$ 200,000.00	
10421	Creeks	Cardinet Trail Restoration	13/14		\$ 50,000.00	
10422	Sewers	El Molino Drive Sanitary Sewer Impr.	future		\$ 330,000.00	
10423	Facilities	Library Upgrades	future		\$ 1,000,000.00	
10424	Streets	2015 Neighborhood Street Project	15/16		\$ 315,000.00	
10425	Streets	Collector Street Rehabilitation Project	14/15		\$ 361,438.00	
10426	Facilities	City Hall Parking Area Rehabilitation	14/15		\$ 27,000.00	
10427	Facilities	Library Parking Lot Rehabilitation	14/15		\$ 51,000.00	
10428	Facilities	Lydia Lane Park Parking Rehabilitation	14/15		\$ 11,000.00	
10429	Facilities	2012 Trail Repaving Project	14/15		\$ 50,000.00	
10430	Landscape	Clayton Road Median Landscaping	11/12	11/12	\$ 320,000.00	\$ 304,173.78
10431	Landscape	Daffodil Hill Landscaping	11/12	11/12	\$ 50,000.00	\$ 47,500.00
10432	Streets	2016 Neighborhood Street Project	16/17		\$ 315,000.00	
10433	Streets	DVMS Safety Signing	13/14		\$ 40,000.00	
Total Project Costs (budget) (actual)					\$ 48,375,254.00	\$ 29,398,301.01

Red denotes completed projects

Green denotes FY 13-14 active projects

**Deleted by City Council

CITY OF CLAYTON
CAPITAL IMPROVEMENT PROGRAM FY 2012/13 to 2016/17

Summary of Project Costs by Fiscal Year

* Deleted by City Council

Project Number	Project Category	Project Description	Prior Year Funding	FY 2013/14	FY 2014/15	FY 2015/16	FY 2016/17	FY 2017/18	Future Costs	Total Budget
10330	Streets	Overlay*	\$ 514,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 514,000.00
10331	Streets	Starry Seals	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10332	Streets	High Street Bridge	\$ 65,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 65,000.00
10333	Streets	Marsh Creek Road - TEA-21	\$ 1,300,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,300,000.00
10334	Parks	Community Dog Park	\$ 27,500.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 27,500.00
10335	Parks	El Molino Park	\$ 30,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 30,000.00
10336	Parks	Lydla Lane Park Ph. II	\$ 48,814.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 48,814.00
10337	Facilities	Keller House Preservation	\$ 219,523.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 219,523.00
10337A	Facilities	Keller House Rehabilitation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,000,000.00	\$ 2,000,000.00
10339	Facilities	Endeavor Hall	\$ 1,450,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,450,000.00
10339	Facilities	Youth Center/Gym	\$ 4,900,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,900,000.00
10340	Landscaping	Marsh Creek Road Landscaping	\$ 400,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 400,000.00
10341	Streets	Center Street Crossing	\$ 172,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 172,000.00
10342	GHAD	Windmill Docks Basin	\$ 75,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 75,000.00
10343	GHAD	Crow Debris Basin	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 110,000.00	\$ 110,000.00
10344	GHAD	Obelisk Landslide (in 10347)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10345	GHAD	Clyton Rd. Landfills	\$ 1,240,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,240,000.00
10346	GHAD	Black Diamond Landfills**	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10347	GHAD	V-ditch Repairs	\$ 144,063.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 144,063.00
10347A	GHAD	Eagle Peak Slope Repair	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,250,000.00	\$ 1,250,000.00
10348	GHAD	Keller Ridge Drive Area Slope Repair	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 60,000.00	\$ 60,000.00
10349	GHAD	Community Park Slide Repair	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10350	Facilities	Downtown Elbow Conn.	\$ 40,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 40,000.00
10351	Facilities	Fire Station	\$ 1,610,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 110,000.00	\$ 1,720,000.00
10352	Landscaping	Library Landscaping	\$ 1,400,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,400,000.00
10353	Streets	Lowtown Re-habilitation	\$ 3,000,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,000,000.00
10354	Streets	Four Oaks Area	\$ 237,700.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 237,700.00
10355	Streets	Oak Street Bridge	\$ 62,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 62,000.00
10356	Landscaping	Westwood Open Space	\$ 166,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 166,000.00
10357	Facilities	OH City Hall Renovation	\$ 72,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 72,000.00
10358	Facilities	Grove Property Acquisition	\$ 500,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 500,000.00
10359	Facilities	Endeavor Hall Parking I	\$ 104,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 104,000.00
10360	Facilities	Endeavor Hall Parking II	\$ 155,500.76	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 155,500.76
10361	Facilities	Stanley Property	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 350,000.00	\$ 350,000.00
10362	Facilities	Stanley Property Parking**	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10363	Facilities	Corp. Yard Expansion	\$ 596,720.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 596,720.00
10364	Streets	Downtown Signage**	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10365	Facilities	Library Parking Expansion	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10366	Facilities	Police Parking Expansion	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10367	Parks	Deerwood Park	\$ 2,009,700.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,009,700.00
10368	Parks	City Hall Park**	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10369	Streets	Marsh Creek Road Narrowing**	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10370	Streets	Creek Revitalization	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,000,000.00	\$ 3,000,000.00
10371	Streets	Survey Monuments	\$ 30,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 30,000.00
10372	Streets	Traffic Signal Modifications	\$ 9,500.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9,500.00
10373	Streets	Peacock Creek Dr. Signal	\$ 155,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 155,000.00
10374	Parks	North Valley Park	\$ 45,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 45,000.00
10375	Parks	Samuel Ct. Park	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 85,000.00	\$ 85,000.00
10376	Facilities	Equestrian Staging Area	\$ 140,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 140,000.00
10377	Streets	DVMS - Right Turn Lane	\$ 51,100.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 51,100.00
10378	Streets	Keller Ridge Drive Planters	\$ 100,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 100,000.00

Summary of Project Costs by Fiscal Year

* Deleted by City Council

Project Number	Project Category	Project Description	Prior Year Funding	FY 2013/14	FY 2014/15	FY 2015/16	FY 2016/17	FY 2017/18	Future Costs	Total Budget
10379	Streets	Pine Hollow Road - Upgrade	\$	\$	\$	\$	\$	\$	\$ 325,000.00	\$ 325,000.00
10380	Parks	Community Park - Rt. Turn Lane**	\$	\$	\$	\$	\$	\$	\$	\$ 43,431.00
10381	Parks	Boces Ball Courts	\$ 43,431.00	\$	\$	\$	\$	\$	\$	\$ 43,431.00
10382	GHAD	Inclinator	\$ 50,000.00	\$	\$	\$	\$	\$	\$	\$ 50,000.00
10383	GHAD	Keller Ridge Drive Subdrain	\$ 5,000.00	\$	\$	\$	\$	\$	\$	\$ 5,000.00
10384	Streets	Mitchell Canyon Rd. Overlay	\$ 125,000.00	\$	\$	\$	\$	\$	\$	\$ 125,000.00
10385	Parks	Community Park Tot Lot Upgrade	\$ 112,496.99	\$	\$	\$	\$	\$	\$	\$ 112,496.99
10386	GHAD	Wells**	\$	\$	\$	\$	\$	\$	\$	\$ 954,000.00
10387	Streets	Pavement Rehab 2012/03	\$ 954,000.00	\$	\$	\$	\$	\$	\$	\$ 954,000.00
10388	Streets	Pavement Rehab 2003/04	\$	\$	\$	\$	\$	\$	\$	\$ 537,650.00
10389	Streets	Pavement Rehab 2004	\$ 537,650.00	\$	\$	\$	\$	\$	\$	\$ 537,650.00
10390	Streets	Pavement Rehab 2005**	\$	\$	\$	\$	\$	\$	\$	\$ 11,190,552.00
10391	Streets	Pavement Rehab 2006	\$ 11,190,552.00	\$	\$	\$	\$	\$	\$	\$ 294,718.00
10392	Sewers	Oak - High Street	\$ 304,718.00	\$	\$	\$	\$	\$	\$	\$ 750,000.00
10393	Parks	Skateboard Park	\$	\$	\$	\$	\$	\$	\$	\$ 60,182.00
10394	Streets	Handicap Ramp - RDA Area	\$ 60,182.00	\$	\$	\$	\$	\$	\$	\$ 60,182.00
10395	Streets	ADA Compliance Program	\$ 16,190.00	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	\$ 453,810.00	\$ 500,000.00
10396	Streets	Catch Basin Modifications	\$	\$	\$	\$	\$	\$	\$	\$ 100,000.00
10397	Streets	East Marsh Creek Road Signal	\$	\$	\$	\$	\$	\$	\$	\$ 350,000.00
10398	Streets	Utility Undergrounding	\$ 236,469.00	\$ 21,000.00	\$ 21,000.00	\$ 21,000.00	\$ 21,000.00	\$ 21,000.00	\$ 2,658,531.00	\$ 3,000,000.00
10399	Streets	Clayton Rd. MCR Shurry Seal	\$ 235,456.00	\$	\$	\$	\$	\$	\$	\$ 235,456.00
10400	Other	Pine Hollow Area	\$ 141,596.00	\$	\$	\$	\$	\$	\$	\$ 141,596.00
10400A	Other	Downsizing Homeless Development	\$ 125,738.00	\$	\$	\$	\$	\$	\$	\$ 125,738.00
10401	Other	Town Center Property Purchase	\$ 1,040,843.00	\$	\$	\$	\$	\$	\$	\$ 1,040,843.00
10402	Streets	Pedestrian Xing Signals**	\$	\$	\$	\$	\$	\$	\$	\$ 254,879.00
10403	Streets	Clayton Road Trail Connection	\$ 264,879.00	\$	\$	\$	\$	\$	\$	\$ 319,950.17
10404	Streets	Downtown Entry Signage (a 10402)	\$	\$	\$	\$	\$	\$	\$	\$ 128,684.22
10405	Streets	Marsh Creek Rd. Remaining Wall	\$ 319,950.17	\$	\$	\$	\$	\$	\$	\$ 1,056,717.00
10406	Streets	2007 Pavement Patching Project	\$ 125,684.22	\$	\$	\$	\$	\$	\$	\$ 23,895.60
10407	Streets	2008 Pavement Rehab Project	\$ 1,060,427.62	\$	\$	\$	\$	\$	\$	\$ 430,310.00
10408	Streets	Clayton Road Trail Connection	\$ 463,000.00	\$	\$	\$	\$	\$	\$	\$ 1,195,839.00
10409	Streets	2409 Pavement Rehab Project**	\$	\$	\$	\$	\$	\$	\$	\$ 360,922.00
10410	Streets	2010 Pavement Rehab Project	\$	\$	\$	\$	\$	\$	\$	\$ 4,084,000.00
10411	Streets	2011 Neighborhood Street Project**	\$	\$	\$	\$	\$	\$	\$	\$ 200,000.00
10412	Streets	2012 Neighborhood Street Project**	\$	\$	\$	\$	\$	\$	\$	\$ 50,000.00
10413	Parks	2009 Artisanal Overlay Project	\$	\$	\$	\$	\$	\$	\$	\$ 330,000.00
10414	Streets	Community Park Parking Lot Exp	\$ 531,490.00	\$	\$	\$	\$	\$	\$	\$ 1,000,000.00
10415	Parks	East Marsh Creek Rd. Upgrade	\$ 100,000.00	\$	\$	\$	\$	\$	\$	\$ 315,000.00
10416	Streets	Well Improvement	\$ 23,295.00	\$	\$	\$	\$	\$	\$	\$ 315,000.00
10417	Streets	Marsh Creek Rd. 10416 Overlay	\$ 490,309.00	\$ 315,000.00	\$ 315,000.00	\$ 315,000.00	\$ 315,000.00	\$ 315,000.00	\$ 315,000.00	\$ 315,000.00
10418	Streets	2013 Neighborhood Street Project	\$ 580,839.00	\$	\$	\$	\$	\$	\$	\$ 360,922.00
10419	Parks	2014 Neighborhood Street Project	\$	\$	\$	\$	\$	\$	\$	\$ 4,084,000.00
10420	Parks	Community Park Lighting, etc.	\$	\$	\$	\$	\$	\$	\$	\$ 200,000.00
10421	Creeks	School Bridge Area Improvements	\$	\$	\$	\$	\$	\$	\$	\$ 50,000.00
10422	Sewers	Cardinal Trail Restoration	\$ 50,000.00	\$	\$	\$	\$	\$	\$	\$ 330,000.00
10423	Facilities	El Molino Drive Sanitary Sewer Impr.	\$ 10,000.00	\$	\$	\$	\$	\$	\$	\$ 1,000,000.00
10424	Streets	Library Upgrades	\$	\$	\$	\$	\$	\$	\$	\$ 315,000.00
10425	Streets	2015 Neighborhood Street Project	\$	\$	\$	\$	\$	\$	\$	\$ 319,438.00
10426	Facilities	Collector Street Rehabilitation Project	\$	\$	\$	\$	\$	\$	\$	\$ 45,000.00
10427	Facilities	City Hall Parking Area Rehabilitation	\$ 45,000.00	\$	\$	\$	\$	\$	\$	\$ 75,000.00
10428	Facilities	Library Parking Lot Rehabilitation	\$ 75,000.00	\$	\$	\$	\$	\$	\$	\$ 20,000.00
10429	Facilities	Lydia Lane Park Parking Rehabilitation	\$ 20,000.00	\$	\$	\$	\$	\$	\$	\$ 50,000.00
10430	Landscaping	2012 Trail Repaving Project	\$ 50,000.00	\$	\$	\$	\$	\$	\$	\$ 320,000.00
10431	Landscaping	Clayton Road Median Landscaping	\$ 320,000.00	\$	\$	\$	\$	\$	\$	\$ 30,000.00
10432	Streets	Daffodil Hill Landscaping	\$ 50,000.00	\$	\$	\$	\$	\$	\$	\$ 315,000.00
10433	Streets	2016 Neighborhood Street Project	\$	\$	\$	\$	\$	\$	\$	\$ 40,000.00
10434	Streets	DVMAS Safety Signage	\$	\$	\$	\$	\$	\$	\$	\$
Cost Totals			\$ 41,047,523.77	\$ 427,822.00	\$ 1,683,662.00	\$ 342,000.00	\$ 342,000.00	\$ 27,000.00	\$ 17,206,341.00	\$ 61,076,948.77

Red denotes completed projects

Green denotes active projects funded in FY 13/14

Blue denotes active projects funded prior to FY 13/14

** Deleted by City Council

Budget Cost by Funding Source - FY 2013/14

Red denotes projects completed in FY 12/13
Green denotes active projects funded in FY 13/14
Blue denotes active projects funded prior to FY 13/14

**CITY OF CLAYTON
CAPITAL IMPROVEMENT PROGRAM FY 2013/14 to 2017/18**

Budget Cost by Funding Source - FY 2015/16

Fund Name		Carry Forward	Gas Tax	Fed. Funds ARRA	Measure J	Community Fac. Fee	Offsite Arterial Fee	PG&E Rule 20A	15/16 Project Totals
Fund Balance as of 6/30/15									
Estimated Revenue thru FY 15/16			\$ -	\$ -	\$ -	\$ 5,124	\$ 73,458	\$ -	
Estimated non-CIP Expenses in FY 15/16			\$116,000	\$ -	\$ 205,000	\$ -	\$ -	\$ 21,000	
Funds Available for CIP in FY 15/16			\$116,000	\$ -	\$ 205,000	\$ 5,124	\$ 73,458	\$ 21,000	
#	Category	Project							
10337A	Facilities	Keller House Rehabilitation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10343	GHAD	Crow Debris Basin	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10347A	GHAD	Eagle Peak Slope Repairs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10348	GHAD	Keller Ridge Drive Area Slope Repair	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10349	GHAD	Community Park Slide Repair	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10361	Facilities	Stanley Property	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10370	Creeks	Creek Revitalization	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10375	Parks	Samuel Ct. Park	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10379	Streets	Pine Hollow Road - Upgrade	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10393	Parks	Skateboard Park	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10394A	Streets	ADA Compliance Program	28,190	\$ 6,000	\$ -	\$ -	\$ -	\$ -	6,000
10395	Streets	Catch Basin Modifications	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10396	Streets	East Marsh Creek Road Signal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10397	Streets	Utility Undergrounding	278,469	\$ -	\$ -	\$ -	\$ -	\$ 21,000	21,000
10400	Other	Downtown Economic Development	1,166,581	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10415	Streets	2014 Neighborhood Street Project	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10419	Parks	Community Park Lighting, etc.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10423	Facilities	Library Upgrades	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10424	Streets	2015 Neighborhood Street Project	\$ -	\$110,000	\$ 205,000	\$ -	\$ -	\$ -	\$ -
10432	Streets	2016 Neighborhood Street Project	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total CIP Expenditures in FY 15/16			\$116,000	\$ -	\$ 205,000	\$ -	\$ -	\$ 21,000	\$ 342,000
Fund Balance as of June 30, 2016			\$ -	\$ -	\$ -	\$ 5,124	\$ 73,458	\$ -	\$ -

Red denotes projects completed in FY 14/15
Green denotes active projects funded in FY 15/16
Blue denotes active projects funded prior to FY 15/16

**CITY OF CLAYTON
CAPITAL IMPROVEMENT PROGRAM FY 2013/14 to 2017/18**

Budget Cost by Funding Source - FY 2016/17

Fund Name		Carry Forward	Gas Tax	Fed. Funds	Measure J	Community Fac. Fee	Offsite Arterial Fee	PG&E Rule 20A	16/17 Project Totals
Fund Balance as of 6/30/15									
Estimated Revenue thru FY 16/17			\$ 116,000	\$ -	\$ 205,000	\$ 5,124	\$ 73,458	\$ -	
Estimated non-CIP Expenses in FY 16/17			\$ -	\$ -	\$ -	\$ -	\$ -	\$ 21,000	
Funds Available for CIP in FY 16/17			\$ 116,000	\$ -	\$ 205,000	\$ 5,124	\$ 73,458	\$ 21,000	
#	Category	Project							
10337A	Facilities	Keller House Rehabilitation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10343	GHAD	Crow Debris Basin	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10347A	GHAD	Eagle Peak Slope Repairs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10348	GHAD	Keller Ridge Drive Area Slope Repair	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10349	GHAD	Community Park Slide Repair	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10361	Facilities	Stanley Property	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10370	Creeks	Creek Revitalization	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10375	Parks	Samuel Ct. Park	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10379	Streets	Pine Hollow Road - Upgrade	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10393	Parks	Skateboard Park	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10394A	Streets	ADA Compliance Program	\$ 16,190	\$ 6,000	\$ -	\$ -	\$ -	\$ -	\$ 6,000
10395	Streets	Catch Basin Modifications	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10396	Streets	East Marsh Creek Road Signal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10397	Streets	Utility Undergrounding	\$ 299,469	\$ -	\$ -	\$ -	\$ -	\$ 21,000	\$ 21,000
10400	Other	Downtown Economic Development	\$ 1,166,581	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10419	Parks	Community Park Lighting, etc.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10423	Facilities	Library Upgrades	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10424	Streets	2015 Neighborhood Street Project	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10430	Streets	2016 Neighborhood Street Project	\$ -	\$ 110,000	\$ 205,000	\$ -	\$ -	\$ -	\$ 315,000
Total CIP Expenditures in FY 16/17			\$ 116,000	\$ -	\$ 205,000	\$ -	\$ -	\$ 21,000	\$ 342,000
Fund Balance as of June 30, 2017			\$ -	\$ -	\$ -	\$ 5,124	\$ 73,458	\$ -	\$ -

Red denotes projects completed in FY 15/16
Green denotes active projects funded in FY 16/17
Blue denotes active projects funded prior to FY 16/17

**CITY OF CLAYTON
CAPITAL IMPROVEMENT PROGRAM FY 2013/14 to 2017/18**

Budget Cost by Funding Source - FY 2017/18

Fund Name		Carry Forward	Gas Tax	Fed. Funds	Measure J	Community Pac. Fee	Offsite Arterial Fee	PG&E Rule 20A	17/18 Project Totals
Fund Balance as of 6/30/16			\$ -	\$ -	\$ -	\$ 5,124	\$ 73,458	\$ -	
Estimated Revenue thru FY 17/18			\$ 116,000	\$ -	\$ 205,000	\$ -	\$ -	\$ 21,000	
Estimated non-CIP Expenses in FY 17/18			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Funds Available for CIP in FY 17/18			\$ 116,000	\$ -	\$ 205,000	\$ 5,124	\$ 73,458	\$ 21,000	
#	Category	Project							
10337A	Facilities	Keller House Rehabilitation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10343	GHAD	Crow Debris Basin	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10347A	GHAD	Eagle Peak Slope Repairs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10348	GHAD	Keller Ridge Drive Area Slope Repair	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10349	GHAD	Community Park Slide Repair	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10361	Facilities	Stanley Property	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10370	Creeks	Creek Revitalization	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10375	Parks	Samuel Ct. Park	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10379	Streets	Pine Hollow Road - Upgrade	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10393	Parks	Skateboard Park	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10394A	Streets	ADA Compliance Program	\$ 22,190	\$ 6,000	\$ -	\$ -	\$ -	\$ -	\$ 6,000
10395	Streets	Catch Basin Modifications	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10396	Streets	East Marsh Creek Road Signal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10397	Streets	Utility Undergrounding	\$ 320,469	\$ -	\$ -	\$ -	\$ -	\$ 21,000	\$ 21,000
10400	Other	Downtown Economic Development	\$ 1,166,581	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10419	Parks	Community Park Lighting, etc.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10423	Facilities	Library Upgrades	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10430	Streets	2016 Neighborhood Street Project	\$ -	\$ 110,000	\$ -	\$ -	\$ -	\$ -	\$ 315,000
Total CIP Expenditures in FY 17/18			\$ -	\$ 116,000	\$ -	\$ 205,000	\$ -	\$ 21,000	\$ 342,000
Fund Balance as of June 30, 2018			\$ -	\$ -	\$ -	\$ 5,124	\$ 73,458	\$ -	\$ -

Red denotes projects completed in FY 16/17
Green denotes active projects funded in FY 17/18
Blue denotes active projects funded prior to FY 17/18

CITY OF CLAYTON
CAPITAL IMPROVEMENT PROGRAM FY 2013/14 to 2017/18

* Completed - no project sheet included

**Deleted by City Council

Master Index of Projects by Number

Project Number	Project Category	Project	Project Number	Project Category	Project
10330	Streets	Overlays*	10382	GHAD	Inclinometers*
10331	Streets	Slurry Seals (deleted)*	10383	GHAD	Keller Ridge Drive Subdrain*
10332	Streets	High Street Bridge*	10384	Streets	Mitchell Canyon Rd. Overlay*
10333	Streets	Marsh Creek Road - TEA-21*	10385	Parks	Community Park Tot Lot*
10334	Parks	Community Dog Park*	10386	GHAD	Wells (cancelled)*
10335	Parks	El Molino Park*	10387	Streets	Pavement Rehab 2002/03*
10336	Parks	Lydia Lane Park Ph. II*	10388	Streets	Pavement Rehab 2003/04*
10337	Facilities	Keller House Preservation*	10389	Streets	Pavement Rehab 2004*
10337A	Facilities	Keller House Rehabilitation	10390	Streets	Pavement Rehab 2005**
10338	Facilities	Endeavor Hall*	10391	Streets	Pavement Rehab 2006*
10339	Facilities	Youth Center/Gym*	10392	Sewers	Oak - High Street*
10340	Landscape	Marsh Creek Road LS*	10393	Parks	Skateboard Park
10341	Streets	Center Street Crossing*	10394	Streets	Handicap Ramps - RDA Area*
10342	GHAD	Windmill Debris Basin*	10394A	Streets	ADA Compliance Program
10343	GHAD	Crow Debris Basin	10395	Streets	Catch Basin Modifications
10344	GHAD	Obsidian Landslide*	10396	Streets	East Marsh Creek Road Signal
10345	GHAD	Clayton Rd. Landslides*	10397	Streets	Utility Undergrounding
10346	GHAD	Black Diamond Landslide**	10398	Streets	Clayton Rd. MCR Slurry Seal*
10347	GHAD	V-ditch Repairs*	10399	Sewers	Pine Hollow Area*
10347A	GHAD	Eagle Peak Slope Repair	10400	Other	Downtown Economic Development
10348	GHAD	Keller Ridge Slope Repair	10400A	Other	Town Center Property Purchase
10349	GHAD	Community Park Slide Repair	10401	Streets	Pedestrian Xing Signals**
10350	Facilities	Downtown Elec. Conn.*	10402	Streets	Clayton Road Trail Connection*
10351	Facilities	Fire Station*	10403	Streets	Downtown Entry Signs*
10352	Landscape	Library Landscaping*	10404	Streets	Marsh Creek Rd. Retaining Wall*
10353	Streets	Downtown Revitalization*	10405	Streets	2007 Pavement Patching Project*
10354	Streets	Four Oaks Area*	10406	Streets	2008 Pavement Rehab Project*
10355	Streets	Oak Street Bridge*	10407	Parks	Community Park Upgrades*
10356	Landscape	Westwood Open Space*	10408	Streets	2009 Pavement Rehab Project**
10357	Facilities	Old City Hall Renovation*	10409	Streets	2010 Pavement Rehab Project*
10358	Facilities	Grove Property Acquisition*	10410	Streets	2011 Neighborhood Street Project**
10359	Facilities	Endeavor Hall Parking I*	10411	Streets	2012 Neighborhood Street Project
10360	Facilities	Endeavor Hall Parking II*	10412	Streets	2009 Arterial Overlay Project*
10361	Facilities	Stanley Property	10413	Parks	Community Park Parking Lot Expan.*
10362	Facilities	Stanley Property Parking**	10414	Streets	East Marsh Creek Rd. Upgrade
10363	Facilities	Corp. Yard Expansion*	10415	Parks	Well Renovation*
10364	Streets	Downtown Signage**	10416	Streets	Marsh Creek Rd. (old) Overlay*
10365	Facilities	Library Parking Expansion*	10417	Streets	2013 Neighborhood Street Project
10366	Facilities	Police Parking Expansion*	10418	Streets	2014 Neighborhood Street Project
10367	Parks	Downtown Park*	10419	Parks	Community Park Lighting, etc.
10368	Parks	City Hall Park**	10420	Parks	School Bridge Area Improvements
10369	Streets	Marsh Creek Road Narrowing**	10421	Creeks	Cardinet Trail Restoration
10370	Creeks	Creek Revitalization	10422	Sewers	El Molino Drive Sanitary Sewer Impr.
10371	Streets	Survey Monuments*	10423	Facilities	Library Upgrades
10372	Streets	Traffic Signal Modifications*	10424	Streets	2015 Neighborhood Street Project
10373	Streets	Peacock Creek Dr. Signal*	10425	Streets	Collector Street Rehabilitation Project
10374	Parks	North Valley Park*	10426	Facilities	City Hall Parking Area Rehabilitation
10375	Parks	Samuel Ct. Park	10427	Facilities	Library Parking Lot Rehabilitation
10376	Facilities	Equestrian Staging Area*	10428	Facilities	Lydia Lane Park Parking Rehabilitation
10377	Streets	DVMS - Right Turn Lane*	10429	Facilities	2012 Trail Repaving Project
10378	Streets	Keller Ridge Drive Planters*	10430	Landscape	Clayton Road Median Landscaping
10379	Streets	Pine Hollow Road - Upgrade	10431	Landscape	Daffodil Hill Landscaping
10380	Parks	Community Park - Rt. Turn Lane**	10432	Streets	2016 Neighborhood Street Project
10381	Parks	Bocce Ball Courts**	10433	Streets	DVMS Safety Signing

CITY OF CLAYTON
CAPITAL IMPROVEMENT PROGRAM FY 2013/14 to 2017/18

*Completed - no project sheet included

**Deleted by City Council

Master Index of Projects by Type

Project Number	Project Category	Project	Project Number	Project Category	Project
<u>CREEKS</u>			<u>PARKS (cont'd.)</u>		
10370	Creeks	Creek Revitalization	10367	Parks	Downtown Park*
10421	Creeks	Cardinet Trail Restoration	10368	Parks	City Hall Park
<u>FACILITIES</u>			10374	Parks	North Valley Park*
10337	Facilities	Keller House Preservation*	10375	Parks	Samuel Ct. Park
10337A	Facilities	Keller House Rehabilitation	10380	Parks	Community Park - Rt. Turn Lane**
10338	Facilities	Endeavor Hall*	10381	Parks	Bocce Ball Courts**
10339	Facilities	Youth Center/Gym*	10385	Parks	Community Park Tot Lot*
10350	Facilities	Downtown Elec. Conn.*	10393	Parks	Skateboard Park
10351	Facilities	Fire Station*	10407	Parks	Community Park Upgrades*
10357	Facilities	Old City Hall Renovation*	10413	Parks	Community Park Parking Lot Exp.*
10358	Facilities	Grove Property Acquisition*	10415	Parks	Well Renovation*
10359	Facilities	Endeavor Hall Parking I*	10419	Parks	Community Park Lighting, etc.
10360	Facilities	Endeavor Hall Parking II*	10420	Parks	School Bridge Area Improvements
10361	Facilities	Stanley Property	<u>STREETS</u>		
10362	Facilities	Stanley Property Parking**	10330	Streets	Overlays*
10363	Facilities	Corp. Yard Expansion*	10331	Streets	Slurry Seals (deleted)*
10365	Facilities	Library Parking Expansion*	10332	Streets	High Street Bridge*
10366	Facilities	Police Parking Expansion*	10333	Streets	Marsh Creek Road - TEA-21*
10376	Facilities	Equestrian Staging Area*	10341	Streets	Center Street Crossing*
10423	Facilities	Library Upgrades	10353	Streets	Downtown Revitalization*
10426	Facilities	City Hall Parking Area Rehabilitation	10354	Streets	Four Oaks Area*
10427	Facilities	Library Parking Lot Rehabilitation	10355	Streets	Oak Street Bridge**
10428	Facilities	Lydia Lane Park Parking Rehabilitation	10364	Streets	Downtown Signage**
10429	Facilities	2012 Trail Repaving Project	10369	Streets	Marsh Creek Road Narrowing**
<u>GHAD</u>			10371	Streets	Survey Monuments*
10342	GHAD	Windmill Debris Basin*	10372	Streets	Traffic Signal Modifications*
10343	GHAD	Crow Debris Basin	10373	Streets	Peacock Creek Dr. Signal*
10344	GHAD	Obsidian Landslide*	10377	Streets	DVMS - Right Turn Lane*
10345	GHAD	Clayton Rd. Landslides*	10378	Streets	Keller Ridge Drive Planters*
10346	GHAD	Black Diamond Landslide**	10379	Streets	Pine Hollow Road - Upgrade
10347	GHAD	V-ditch Repairs*	10384	Streets	Mitchell Canyon Rd. Overlay*
10347A	GHAD	Eagle Peak Slope Repair	10387	Streets	Pavement Rehab 2002/03*
10348	GHAD	Keller Ridge Slope Repair	10388	Streets	Pavement Rehab 2003/04*
10349	GHAD	Community Park Slide Repair	10389	Streets	Pavement Rehab 2004*
10382	GHAD	Inclinometers*	10390	Streets	Pavement Rehab 2005**
10383	GHAD	Keller Ridge Drive Subdrain*	10391	Streets	Pavement Rehab 2006*
10386	GHAD	Wells (cancelled)*	10394	Streets	Handicap Ramps - RDA Area*
<u>LANDSCAPE</u>			10394A	Streets	ADA Compliance Program
10340	Landscape	Marsh Creek Road LS*	10395	Streets	Catch Basin Modification
10352	Landscape	Library Landscaping*	10396	Streets	East Marsh Creek Road Signal
10356	Landscape	Westwood Open Space*	10397	Streets	Utility Undergrounding
10430	Landscape	Clayton Road Median Landscaping	10398	Streets	Clayton Rd. MCR Slurry Seal*
10431	Landscape	Daffodil Hill Landscaping	10401	Streets	Pedestrian Xing Signals**
<u>OTHER</u>			10402	Streets	Clayton Road Trail Connection*
10392	Sewers	Oak - High Street*	10403	Streets	Downtown Entry Signs*
10399	Sewers	Pine Hollow Area*	10404	Streets	Marsh Creek Rd. Retaining Wall*
10400	Other	Downtown Economic Development	10405	Streets	2007 Pavement Patching Project*
10400A	Other	Town Center Property Purchase	10406	Streets	2008 Pavement Rehab Project*
10422	Sewers	El Molino Drive Sanitary Sewer Imp.	10408	Streets	2009 Pavement Rehab Project**
<u>PARKS</u>			10409	Streets	2010 Pavement Rehab Project*
10334	Parks	Community Dog Park*	10410	Streets	2011 Neighborhood Street Project**
10335	Parks	El Molino Park*	10411	Streets	2012 Neighborhood Street Project
10336	Parks	Lydia Lane Park Ph. II*	10412	Streets	2009 Arterial Overlay Project*
			10414	Streets	East Marsh Creek Rd. Upgrade
			10416	Streets	Marsh Creek Rd. (old) Overlay*
			10417	Streets	2013 Neighborhood Street Project
			10418	Streets	2014 Neighborhood Street Project
			10424	Streets	2015 Neighborhood Street Project
			10425	Streets	Collector Street Rehabilitation Project
			10430	Streets	2016 Neighborhood Street Project
			10433	Streets	DVMS - Safety Signing

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Facilities	10337A	Keller House Rehabilitation

DESCRIPTION - LOCATION

Rehabilitation of historical ranch home and grounds located across Mt. Diablo Creek from the library.



COMMENTS

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction							\$2,000,000	\$2,000,000
CM/Inspection								
ROW Acquisition								
Other								
TOTAL							\$2,000,000	\$2,000,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$2,000,000	\$2,000,000
Total							\$2,000,000	\$2,000,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
GHAD	10343	Crow Place – Debris Basin

DESCRIPTION - LOCATION

Clean out debris basin located in GHAD easement behind golf course and single family lots.



COMMENTS

Subject to approval of increased assessments.

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design							\$10,000	\$10,000
Construction							\$95,000	\$95,000
CM/Inspection							\$5,000	\$5,000
ROW Acquisition								
Other								
TOTAL							\$110,000	\$110,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$110,000	\$110,000
Total							\$110,000	\$110,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
GHAD	10347A	Eagle Peak Slope Repair

DESCRIPTION - LOCATION

Stabilize and/or repair large slope moving adjacent to single family houses and streets in Eagle Peak Subdivision.

COMMENTS

Cost estimate per Soils Engineer.
Dependent on GHAD assessment increase.



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design							\$70,000	\$70,000
Construction							\$1,140,000	\$1,140,000
CM/Inspection							\$40,000	\$40,000
ROW Acquisition								
Other								
TOTAL							\$1,250,000	\$1,250,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$1,250,000	\$1,250,000
Total							\$1,250,000	\$1,250,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
GHAD	10348	Keller Ridge Drive Area - Slope Repair

DESCRIPTION - LOCATION

Stabilize and/or repair small slope pop-out adjacent to single family homes.

COMMENTS

Subject to approval of increased assessments.



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design							\$5,000	\$5,000
Construction							\$50,000	\$50,000
CM/Inspection							\$5,000	\$5,000
ROW Acquisition								
Other								
TOTAL							\$60,000	\$60,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$60,000	\$60,000
Total							\$60,000	\$60,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
GHAD	10349	Community Park - Landslide

DESCRIPTION - LOCATION

Repair landslides that occurred above Field #3 (uppermost field).

COMMENTS

Subject to approval of increased assessments.



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design							\$7,000	\$7,000
Construction							\$95,000	\$95,000
CM/Inspection							\$8,000	\$8,000
ROW Acquisition								
Other								
TOTAL							\$110,000	\$110,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$110,000	\$110,000
Total							\$110,000	\$110,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Facilities	10361	Stanley Property

DESCRIPTION - LOCATION

Assist in the development and construction of affordable housing.

COMMENTS

Funding availability uncertain due to demise of RDA



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction								
CM/Inspection								
Acquisition								
Other							\$350,000	\$350,000
TOTAL							\$350,000	\$350,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$350,000	\$350,000
Total							\$350,000	\$350,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Creeks	10370	Creek Revitalization

DESCRIPTION - LOCATION

Clean out creeks, improve access to creek banks, reinforce creek banks and repair adjacent trails where needed, replace riparian vegetation.

City-Wide

COMMENTS

Catch-all project for when City hits the lottery.

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction							\$3,000,000	\$3,000,000
CM/Inspection								
ROW Acquisition								
Other								
TOTAL							\$3,000,000	\$3,000,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$3,000,000	\$3,000,000
Total							\$3,000,000	\$3,000,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10375	Samuel Ct. Park

DESCRIPTION - LOCATION

Install landscaping and irrigation improvements.

COMMENTS



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design							\$5,000	\$5,000
Construction							\$75,000	\$75,000
CM/Inspection							\$5,000	\$5,000
ROW Acquisition								
Other								
TOTAL							\$85,000	\$85,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$85,000	\$85,000
Total							\$85,000	\$85,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10379	Pine Hollow Rd. - Upgrade

DESCRIPTION - LOCATION

Improve City entry on Pine Hollow Road with new painting, monument sign, etc.



COMMENTS

Revised 3/08/10. Widen north side of Pine Hollow Road with new curb, gutter, and sidewalk between Pine Hollow Estates and westerly City Limit.

Work will require acquisition of right of way for new improvements. Conform paving will cross City Limit line into Concord. Install previously purchased entry sign on south side of roadway within existing pavement/ROW area.

Originally scheduled for funding from Measure J. This funding has been transferred for the overlay of Marsh Creek Road (old), CIP Project No. 10416.

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								\$25,000
Construction								\$235,000
CM/Inspection								\$15,000
ROW Acquisition								\$50,000
Other								
TOTAL								\$325,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded								\$325,000
Total								\$325,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Parks	10393	Skateboard Park

DESCRIPTION - LOCATION

Construct skateboard park at an undetermined location.

COMMENTS

Undetermined

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design							\$45,000	\$45,000
Construction							\$650,000	\$650,000
CM/Inspection							\$45,000	\$45,000
ROW Acquisition								
Other								
TOTAL							\$750,000	\$750,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$750,000	\$750,000
Total							\$750,000	\$750,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10394A	ADA Compliance Program

DESCRIPTION - LOCATION

Construction of various improvements.

COMMENTS

As needed to comply with ADA standards as determined by City's transition plan

City-Wide

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction							\$500,000	\$500,000
CM/Inspection								
ROW Acquisition								
Other								
TOTAL							\$500,000	\$500,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Gas Tax	\$16,190	\$6,000	\$6,000	\$6,000	\$6,000	\$6,000	\$453,810	\$500,000
Total	\$16,190	\$6,000	\$6,000	\$6,000	\$6,000	\$6,000	\$453,810	\$500,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10395	Catch Basin Modifications

DESCRIPTION - LOCATION

Add bar across openings.

COMMENTS

City Wide

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction							\$100,000	\$100,000
CM/Inspection								
ROW Acquisition								
Other								
TOTAL							\$100,000	\$100,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$100,000	\$100,000
Total							\$100,000	\$100,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10396	East Marsh Creek Road Traffic Signal

DESCRIPTION - LOCATION

Install traffic signal on Marsh Creek Road
somewhere east of Diablo Parkway.

COMMENTS

City Council postponed project.



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design							\$20,000	\$20,000
Construction							\$230,000	\$230,000
CM/Inspection							\$20,000	\$20,000
ROW Acquisition								
PG&E Poles							\$80,000	\$80,000
TOTAL							\$350,000	\$350,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$350,000	\$350,000
Total							\$350,000	\$350,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10397	Utility Undergrounding

DESCRIPTION - LOCATION

Underground overhead utility lines at undetermined locations.

COMMENTS

City Wide

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction							\$3,000,000	\$3,000,000
CM/Inspection								
ROW Acquisition								
Other								
TOTAL							\$3,000,000	\$3,000,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$2,658,531	\$2,658,531
PO&E Rule 20A	\$236,469	\$21,000	\$21,000	\$21,000	\$21,000	\$21,000		\$341,469
Total	\$236,469	\$21,000	\$21,000	\$21,000	\$21,000	\$21,000	\$2,658,531	\$3,000,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Other	10400	Downtown Economic Development

DESCRIPTION - LOCATION

Provide funding for improving the economic viability of the downtown area.

COMMENTS

Transferred \$1,0408,843 to CIP No. 10400A in FY 12/13 for the purchase of a 1.67 Acre parcel in the Town Center (from Clayton Community Church)



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction								
CM/Inspection								
ROW Acquisition								
Other							\$125,738	\$125,738
TOTAL							\$125,738	\$125,738

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
CIP Const								\$125,738
Total								\$125,738

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Other	10400A	Town Center Property Purchase

DESCRIPTION - LOCATION

Purchase of a 1.67 acre parcel in the town center (from Clayton Community Church)



COMMENTS

Funding transferred from CIP no. 10400

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction								
CM/Inspection								
ROW Acquisition								
Other	1,040,843							1,040,843
TOTAL	1,040,843							1,040,843

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
CIP No. 10400	1,040,843							1,040,843
Total	1,040,843							1,040,843

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10411	2012 Neighborhood Street Project

DESCRIPTION - LOCATION

Pavement resurfacing and treatment on various streets throughout City.

Various Locations

COMMENTS

Prior year funding from CIP 10410.
Local Street and Road Shortfall (LS&RS)
Funds plus \$76,000 in Gas Tax Funds moved to CIP 10425 per Council direction 5/25/12

Project cancelled due to high bids. Funding transferred to CIP 10417 (2013 Paving Project). Also \$76,000 in Gas tax funds previously transferred to CIP 10425 (Council direction 5/25/12) are now transferred to CIP 10417.

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction								
CM/Inspection								
ROW Acquisition								
Other								
TOTAL								

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Gas Tax								
Measure J								
Total								

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10414	East Marsh Creek Road Upgrade

DESCRIPTION - LOCATION

Widen existing roadway to provide two full-width travel lanes, bike lanes, shoulders and pedestrian paths.

COMMENTS

Due to possible land movement areas and steep grades along the roadway, there may be the need for additional right of way in order to complete the proposed work. The stormwater requirements coming into effect will necessitate some type of water treatment.



Original budget was \$1,200,000; \$119,000 transferred to Marsh Creek Road (old) Overlay project, CIP Project No. 10416; upon completion of 10416, \$41,224 transferred back to 10414.

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design	\$30,000							\$30,000
Final Design	\$60,000							\$60,000
Construction			\$942,224					\$942,224
CM/Inspection			\$60,000					\$60,000
ROW Acquisition			\$30,000					\$30,000
Other								
TOTAL	\$90,000		\$1,032,224					\$1,122,224

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Measure J (grant)	\$100,000		\$1,022,224					\$1,122,224
Total	\$100,000		\$1,022,224					\$1,122,224

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10417	2013 Neighborhood Street Project

DESCRIPTION - LOCATION

Pavement resurfacing and treatment on various streets throughout City.

COMMENTS

Moved to FY 12/13 per Council direction on 1/15/13. Includes funding from FYs 2011, 2012 and 2013

Various Locations

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design	\$20,000							\$20,000
Construction	\$1,150,839							\$1,150,839
CM/Inspection	\$25,000							\$25,000
ROW Acquisition								
Other								
TOTAL	\$1,195,839							\$1,195,839

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Gas Tax	\$270,598	\$110,000						\$380,598
Measure J	\$534,281	\$205,000						\$739,281
Gas Tax (from 10426)	\$76,000							\$76,000
Total	\$880,839	\$315,000						\$1,195,839

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10418	2014 Neighborhood Street Project

DESCRIPTION - LOCATION

Pavement resurfacing and treatment on various streets throughout City.

Various Locations

COMMENTS

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design			\$10,000					\$10,000
Construction			\$290,000					\$290,000
CM/Inspection			\$15,000					\$15,000
ROW Acquisition								
Other								
TOTAL			\$315,000					\$315,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Gas Tax		\$45,822	\$110,000					\$155,822
Measure J			\$205,000					\$205,000
Total		\$45,822	\$315,000					\$360,822

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Parks	10419	Community Park Lighting, & Resurfacing

DESCRIPTION - LOCATION

Install sports field lighting, remove and replace turf with synthetic surfacing at Clayton Community Park

COMMENTS

Cost estimates per Cost-Benefit Analysis prepared by PMC and dated August 31, 2009



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction							\$4,084,000	\$4,084,000
CM/Inspection								
ROW Acquisition								
Other								
TOTAL							\$4,084,000	\$4,084,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$4,084,000	\$4,084,000
Total							\$4,084,000	\$4,084,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10420	School Bridge Area Improvements

DESCRIPTION - LOCATION

Improve area at Mt. Diablo Elementary School Bridge and Mitchell Creek to enhance Town Center area.



COMMENTS

Includes decorative wall, landscaping and riparian vegetation restoration; funding transferred from CIP No. 10400, Downtown Economic Development.

Project now unfunded due to RDA demise.

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design							\$20,000	\$20,000
Construction							\$160,000	\$160,000
CM/Inspection							\$20,000	\$20,000
ROW Acquisition								
Other								
TOTAL							\$200,000	\$200,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$200,000	\$200,000*
Total							\$200,000	\$200,000*

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10421	Cardinet Trail Restoration

DESCRIPTION - LOCATION

Construct creek bank reinforcement and perform trail restoration work along Cardinet Trail locations to repair damage caused by creek erosion.

Various Locations

COMMENTS

Funding from Citywide Landscape Maintenance District; significant permitting requirements

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design	\$10,000							\$10,000
Construction	\$35,000							\$35,000
CM/Inspection	\$5,000							\$5,000
ROW Acquisition								
Other								
TOTAL	\$50,000							\$50,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
LMD	\$50,000							\$50,000
Total	\$50,000							\$50,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10422	El Molino Drive Sanitary Sewer Improvements

DESCRIPTION - LOCATION

Construct modifications to existing sanitary sewer mains to prevent potential sewer overflows in areas adjacent to Mt. Diablo Creek .



COMMENTS

Includes pipe enlargement and construction of a bypass line in El Molino Drive; funding from CIP 10400, Downtown Economic Development

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design	\$10,000						\$30,000	\$40,000
Construction							\$270,000	\$270,000
CM/Inspection							\$20,000	\$20,000
ROW Acquisition								
Other								
TOTAL							\$320,000	\$330,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
RDA								\$10,000*
Unfunded							\$320,000	\$320,000
Total								\$330,000*

*transfer from CIP 10400

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10423	Library Upgrades

DESCRIPTION - LOCATION

Construct improvements to update Library including automatic checkout facilities, coffee/snack bar, etc.



COMMENTS

Includes 3,500 sf building addition plus new equipment and furniture

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction							\$850,000	\$850,000
CM/Inspection								
ROW Acquisition								
Other							\$150,000	\$150,000
TOTAL							\$1,000,000	\$1,000,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Unfunded							\$1,000,000	\$1,000,000
Total							\$1,000,000	\$1,000,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10424	2015 Neighborhood Street Project

DESCRIPTION - LOCATION

Pavement resurfacing and treatment on various streets throughout City.

Various Locations

COMMENTS

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design				\$10,000				\$10,000
Construction				\$290,000				\$290,000
CM/Inspection				\$15,000				\$15,000
Right of Way Acquisition								
Other								
TOTAL				\$315,000				\$315,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Gas Tax				\$110,000				\$110,000
Measure J				\$205,000				\$205,000
Total				\$315,000				\$315,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10425	Collector Street Rehabilitation Project

DESCRIPTION - LOCATION

Pavement resurfacing and treatment on various collector streets throughout City.

Various Locations

COMMENTS

Local Streets & Roads Shortfall (LS&RS) funding is federal requiring extensive processing for construction approval. Gas Tax funding from CIP 10411 for Regency Drive west of El Molino Dr. to end.

Regency Drive moved to CIP 10417; Gas Tax amount covers city share as required by federal funding.

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design			\$15,000					\$15,000
Final Design			\$10,000					\$10,000
Construction			\$269,438					\$269,438
CM/Inspection			\$25,000					\$25,000
ROW Acquisition								
Other								
TOTAL			\$319,438					\$319,438

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
LS&RS			\$285,438					\$285,438
Gas Tax*			\$34,000					\$34,000
Total			\$319,438					\$319,438

*from 10411 per Council direction 5/15/12; revised per Council direction 1/15/13

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10426	City Hall Parking Area Rehabilitation Project

DESCRIPTION - LOCATION

Pavement resurfacing of Heritage Trail and City Hall parking areas

COMMENTS

Included in CIP 10411 bid.

Funding per Council authorization 1/15/13.



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction	\$45,000							\$45,000
CM/Inspection	\$2,000							\$2,000
ROW Acquisition								
Other								
TOTAL	\$47,000							\$47,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Financing Authority	\$47,000							\$47,000
Total	\$47,000							\$47,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10427	Library Parking Lot Rehabilitation Project

DESCRIPTION - LOCATION

Pavement resurfacing of Library Parking Lot.

COMMENTS

Included in CIP 10411 bid.

Funding per Council authorization 1/15/13.



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction	\$75,000							\$75,000
CM/Inspection	\$2,000							\$2,000
ROW Acquisition								
Other								
TOTAL	\$75,000							\$75,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Financing Authority	\$75,000							\$75,000
Total	\$75,000							\$75,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10428	Lydia Lane Park Parking Area Rehabilitation Project

DESCRIPTION - LOCATION

Pavement resurfacing of Lydia Lane Park parking area.

COMMENTS

Included in CIP 10411 bid.

Funding per Council authorization 1/15/13.



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction	\$18,000							\$18,000
CM/Inspection	\$2,000							\$2,000
ROW Acquisition								
Other								
TOTAL								\$20,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Financing Authority	\$20,000							\$20,000
Total	\$20,000							\$20,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10429	2012 Trail Repaving Project

DESCRIPTION - LOCATION

Repave the Bruce Lee Trail from Center St. to Grenache Circle

COMMENTS

Included in CIP 10411 bid.

Funding per Council authorization 1/15/13.



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction	\$48,000							\$48,000
CM/Inspection	\$2,000							\$2,000
ROW Acquisition								
Other								
TOTAL	\$50,000							\$50,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
City of Clayton	\$50,000							\$50,000
TOTAL	\$50,000							\$50,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10430	Clayton Road Median Landscaping Project

DESCRIPTION - LOCATION

Install new landscaping and irrigation in Clayton Road Medians between Mitchell Canyon Road and Oakhurst Drive

COMMENTS

Includes landscaping along CVS retaining walls.

Completed in FY 12/13.



Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction	\$292,000							\$292,000
CM/Inspection	\$28,000							\$28,000
ROW Acquisition								
Other								
TOTAL	\$320,000							\$320,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
LMD	\$320,000							\$320,000
Total	\$320,000							\$320,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10431	Daffodil Hill Landscaping Project

DESCRIPTION - LOCATION

Modify irrigation and install landscaping on Daffodil Hill at SE corner of Clayton Road and Marsh Creek Road



COMMENTS

Completed in FY 12/13

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction	\$45,000							\$45,000
CM/Inspection	\$5,000							\$5,000
ROW Acquisition								
Other								
TOTAL	\$50,000							\$50,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
LMD	\$25,000							\$25,000
CBCA	\$25,000							\$25,000
Total	\$50,000							\$50,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10432	2016 Neighborhood Street Project

DESCRIPTION - LOCATION

Pavement resurfacing and treatment on various streets throughout City.

Various Locations

COMMENTS

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design					\$10,000			\$10,000
Construction					\$290,000			\$290,000
CM/Inspection					\$15,000			\$15,000
Right of Way Acquisition								
Other								
TOTAL					\$315,000			\$315,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Gas Tax					\$110,000			\$110,000
Measure J					\$205,000			\$205,000
Total					\$315,000			\$315,000

City of Clayton

2013/14-2017/18 Capital Improvement Program

Category	Project Number	Project
Streets	10433	DVMS Safety Signing

DESCRIPTION - LOCATION

Install flashing beacons, signs and radar speed readout on Marsh Creek/Clayton Road, north and south of DVMS entry.



COMMENTS

Estimated Cost	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
Preliminary Design								
Final Design								
Construction								\$0,000
CM/Inspection								
ROW Acquisition								
Other								
TOTAL								\$0,000

Funding (Sources)	Prior Yrs.	2013-14	2014-15	2015-16	2016-17	2017-18	Future	TOTAL
511 Grant								\$40,000
Total								\$40,000

City of Clayton